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TROPICAL CROP BREEDING - ACHIEVEMENTS AND CHALLENGES^{1/}

Kazuo Kawano and Peter R. Jennings^{2/}

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2/

Plant breeders, CIAT, Colombia

Dr. Davis

from D. R. Jennings

IRRI

Conference

BOX

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1 One of man's greatest achievements was the domestication of crop
2 species. The domestication of nearly all the major food crops occurred
3 in the tropics or adjacent areas. Numerous pathogens, pests and cultu-
4 ral practices co-evolved with each crop species in its center(s) of or-
5 igin. Consequently, the great bulk of variability in crop germplasm,
6 pests, and cultural practices is found in the tropics. Agricultural
7 advance in the temperate countries constitutes a recent and small chap-
8 ter in the history of the domestication and evolution of crop produc-
9 tion. Yet, modern theory related to crop breeding and production large-
10 ly developed in the temperate countries and resulting technology tended
11 to be imported to the tropics without appropriate modification. While
12 some of the imported technologies were useful, it is now generally rec-
13 ognized that crop improvement in the tropics is more difficult and
14 complex than originally thought.

15 The basic difficulty of tropical crop breeding derives from the
16 tremendous variability in crop germplasm, pests and the cultural sys-
17 tems for crop production and the highly complex interactions among
18 these factors.

19 We present several underlying factors about crop breeding in the
20 tropics which may be important in defining the basic strategy for spe-
21 cific crop breeding programs. Many of these are not emphasized in the
22 textbooks prepared from the temperate experience.

23 I. Physiological factors under different environments in dif-
24 ferent crops.

25 Genetic improvement for yield of food crop has been achieved
26 through the improvement of total dry matter production, or of harvest
27 index, or both. Harvest index is the proportion of economic yield to

1 the total biological yield of a plant. In cereal crops, it is the pro-
2 portion of grain weight to the total plant weight. In root and tuber
3 crops, it is the proportion of root or tuber weight to the total plant
4 weight. Total biological yield represents the effectiveness of photo-
5 synthetic exploitation by the crop while harvest index represents the
6 efficiency of the crop to convert photosynthesized products into an
7 economically valuable form.

8 We evaluated the relative importance of harvest index and of
9 total plant weight to yield at different levels of environmental pro-
10 ductivity in rice (Table 1) and cassava (Table 2), using yield data of
11 wide germplasm variability under a range of environments. Two statis-
12 tics are compared to assess the relative importance. One is the simple
13 correlation coefficient between yield and harvest index or total plant
14 weight and the other is the relative size of variance of harvest index
15 or total plant weight compared to that of grain or root yield. Envi-
16 ronmental productivity is given by the total average yield of each
17 yield trial.

18 In rice grown in high yield environments the importance of harvest
19 index to grain yield was much more significant than of the total plant
20 weight. Under low yielding environments, the importance of total plant
21 weight to rice yield was overwhelming (Table 1).

22 In cassava, on the other hand, harvest index was important across
23 all the yield levels. The relative importance of total plant weight
24 tended to be greater in the lower than in the higher yield environ-
25 ments (Table 2).

26 Tropical food crops may be grouped according to the relative
27 importance of harvest index and of total biological yield to economic

1 yield. The first, exemplified by cassava, includes crops where the
2 harvest index is universally important to economic yield over a wide
3 range of environmental productivity. The second group includes crops
4 in which the harvest index is more important under high yielding envi-
5 ronments while total biological yield is more important under low yield-
6 ing environments. This is represented by rice.

7 In crops such as wheat (Syme, 1970, McEwan, 1973, Donald and
8 Hamblin, 1976), barley (Singh and Stoskopf, 1971), oats (Simò, 1963),
9 and peanut (Duncan et al, 1978), harvest index is more important under
10 high yielding environments. It is at present difficult to analyze
11 which factor is more important under low yielding conditions with these
12 crops because very limited attention has been given to the genetic
13 aspect of yield factors under less productive conditions. However,
14 these crops may fall into the same category as rice.

15 In field bean, total plant weight is highly correlated with grain
16 yield while harvest index is not correlated (CIAT, 1975, 1978). Simi-
17 larly, in soybean, harvest index is not an important factor to grain
18 yield (Buzzell and Buttery, 1977). In tropical maize, total plant
19 weight is highly correlated with grain yield throughout a wide range of
20 planting densities, while harvest index is equally important only at a
21 high planting density (Yamaguchi, 1974).

22 These field bean, soybean and maize studies were conducted in
23 comparatively well managed fields, receiving adequate fertilizer, irri-
24 gation, and weed and pest control. Thus, they represent relatively
25 high yielding environments.

26 In these crops also, very limited research has been conducted
27 under low yielding environments. Since the relative importance of

1 total plant weight to grain yield tends to be greater under low yield
2 environments in rice and cassava, it appears that total plant weight is
3 important to grain yield also under low yielding environments in these
4 crops. Thus, field bean, soybean, and maize represent a third group
5 where total biological yield is important over a wide range of environ-
6 mental productivity.

7 We conclude that the crucial physiological factors related to
8 yield differ drastically according to the crops and to the potential
9 productivity of the environment.

10 II. Competition and evolution of cultivars.

11 Twenty-five genotypes of rice and 20 of cassava of different
12 growth habits were mix-planted in alternative rows with a tester geno-
13 type in separate experiments conducted under high yielding environments.
14 The yield data of each genotype were compared with those of the same
15 genotype grown in monoculture. Competitive ability of each genotype
16 was given as: yield in mixture/yield in monoculture.

17 In rice, competitive ability of each genotype was positively
18 correlated with straw weight of the same genotype in monoculture ($r =$
19 0.615^{**}) and negatively with harvest index ($r = -0.690^{**}$). Those geno-
20 types that performed well in mixtures (defined as strong competitors)
21 had large straw weight and low harvest index. Grain yield of each ge-
22 notype in mixture was highly correlated with grain yield of the same
23 genotype in monoculture under low yielding environment ($r = 0.762^{**}$);
24 but it was not correlated with grain yield under high yielding environ-
25 ment ($r = -0.034$, Fig. 1). On the contrary, harvest index of each ge-
26 notype in mixture was highly correlated with grain yield of the same
27 genotype in monoculture under a high yielding environment ($r = 0.809^{**}$)

1 but it was not correlated with grain yield under a low yielding environ-
2 ment ($r = -0.042$, Fig. 2). Thus, selection for harvest index is much
3 more efficient than selection for grain yield itself if the selection
4 target is high grain yield under a high yielding environment. When the
5 objective is improved grain yield under a low yielding environment, se-
6 lection for grain yield is efficient.

7 In cassava, competitive ability was highly correlated with stem
8 and leaf weight of the same genotype in monoculture ($r = 0.806^{**}$) and
9 it was negatively correlated with harvest index of the same genotype in
10 monoculture ($r = -0.859^{**}$). Since harvest index is highly important to
11 root yield, competitive ability was negatively correlated with root
12 yield in monoculture ($r = -0.703^{**}$). Stem and leaf weight, which is a
13 good indicator for the quantity of stem cuttings for propagation, in
14 mixture was negatively correlated with root yield in monoculture ($r =$
15 -0.539^{*}). Root yield in mixture was correlated with root yield of the
16 same genotype in monoculture ($r = 0.568^{**}$). However, harvest index in
17 mixture was most closely correlated with root yield in monoculture ($r =$
18 0.905^{**} , Fig. 3). Thus, selection for harvest index is more efficient
19 than for root yield itself when the selection objective is higher root
20 yield. If plantings from genetically mixed cassava populations are made
21 at random from available stem cuttings, genotypes with high competitive
22 ability, but low yielding ability, would dominate after several cycles
23 of plantings.

24 Since the essential part of intergenotypic competition occurs
25 through competition for light interception by different rice genotypes
26 (Kawano and Tanaka, 1967, Jennings and Aquino, 1968), those genotypes
27 with high harvest index are expected to be weak competitors because of

1 the relatively fewer resources allocated for stem and leaf expansion.
2 We generalize that genotypes with high harvest index are weak competitors
3 and those with large total plant weight are strong competitors.

4 In cassava and its wild relatives, roots are not an indispensable
5 organ for reproduction because seeds and stems are the means of propa-
6 gation. The evolution of cassava cultivars for higher productivity must
7 have occurred mainly through the improvement in harvest index because
8 the species must have started from a harvest index near zero. The gain
9 in productivity was attained at the expense of competitive ability.

10 In grain crops seeds are the essential organ for reproduction even
11 in their wild forms. Evolution of grain cultivars must have started
12 from a harvest index higher than zero. Evolution of such crops as rice,
13 wheat, oats, and peanuts for higher productivity under high yielding
14 environments must have occurred mainly through the improvement in harvest
15 index at the expense of competitive ability.

16 In field bean, competitive ability is positively correlated with
17 yielding ability in monoculture (CIAT, 1977). The same is true for
18 maize (Kannenbergh and Hunter, 1972). Evolution of yield in field bean
19 and maize must have occurred through a delicate balance between the im-
20 provement in harvest index and in competitive ability. Evolution of
21 other grain crop yield for low yielding environments must have been
22 attained in a similar manner.

23 The bulk population method of crop improvement is characterized
24 by exposing genetically mixed populations to natural selection. From
25 the available data we speculate that the bulk population method would
26 result in rice genotypes which yield reasonably well under low produc-
27 tivity environments, cassava genotypes which yield poorly under medium

1 to high productivity environment, and field beans which may yield satis-
2 factorily under low or high yielding environments. Farmers' selection
3 and propagation over thousands of years constitute a large-scale bulk
4 population breeding program. Many old land varieties cultivated tradi-
5 tionally in the tropics resulted from this process and they perform
6 quite respectably in their accustomed environments.

7 The competition studies cited were conducted in high productivity
8 environments. The present discussion may not be extended to intra-
9 specific competition under low productivity conditions. Thus, interac-
10 tion of competition factors with the adverse yield factors of low pro-
11 ductivity environments merits further attention to establish breeding
12 methodology for these stress environments.

13 III. Disease and pest factors

14 Among the factors which influence the productivity level of en-
15 vironments, biological restraints, especially diseases and pests, con-
16 tribute to low productivity. California, New South Wales in Australia,
17 and the northern coast of Peru are known for extremely high yields of
18 rice and the sub-tropical elevations of Colombia (Caicedonia) produce
19 high yields of cassava. These areas are characterized by such factors
20 as fertile soil, excellent water management or favorable rainfall pat-
21 terns, and good agronomic practice. They also are remarkable in having
22 very few disease or insect problems.

23 The rainfed rice area of Asia shows stagnant, low yields. Tro-
24 pical American savannas are extremely difficult for successful cassava
25 production. While physical factors contribute to the low productivity
26 of these areas, multiple disease and insect problems abound in each.
27 Biological factors are most numerous and severe in low productivity

1 environments and they are most accentuated within centers of crop origin.
2 Under low productivity environments, the number of major biological yield
3 restraints is seldom less than half a dozen and the interactions of crop
4 genotypes with these biological factors is extremely complex. The reduc-
5 tion of one or two pests through breeding would not result in successful
6 cultivars for these difficult conditions. New strategies for multiple
7 and stable pest resistance are needed for low productivity environments
8 and particularly for those falling within centers of crop origin.

9 Reviewing numerous cases of crop vs. disease interaction, Robin-
10 son (1976) carefully distinguished between vertical and horizontal path-
11 osystems. He concluded that vertical (non-rate reducing, monogenic)
12 resistance frequently characterizes sexually propagated annual species,
13 often as a result of disturbance by plant breeders of evolutionarily
14 balanced systems. Nature, and less meddling by man, favored the de-
15 velopment of horizontal (rate reducing, polygenic) resistance in vege-
16 tatively propagated perennials. This suggests that vegetatively pro-
17 pagated perennial crops such as sugarcane and cassava are more easily
18 bred for durable disease resistance. Durable, multiple resistance in
19 sexually propagated annuals such as rice and field bean in less favored
20 environments must come from exploitation of the rate reducing resistance
21 remaining in land varieties. Alternative strategies include the
22 pyramiding of "major" genes of the development of genotypically diverse
23 cultivars.

24 IV. Traditional cultivars

25 Traditional cultivars are genotypes that have been selected and
26 grown for many years by farmers of a given region. They are charac-
27 terized by stable performance and are in balance with their total

1 physical and biological environment.

2 The relative productivity of traditional strains of rice and cas-
3 sava was analysed under different levels of environmental productivity.
4 Minabir 2 is a local rice cultivar of the northern coast of Peru. Its
5 grain yield was compared with the total average yield of 25 genotypes
6 including many modern selections in 11 different environments. The
7 relative yield of Minabir 2 was higher in low yielding environments than
8 in high yielding environments (Fig. 4).

9 Valluna is a traditional cassava cultivar of the Valle region of
10 Colombia while Manteca and Montero are traditional cultivars from the
11 northern coast of Colombia. Llanera and Chiroso Yema de Huevo are tra-
12 ditional cultivars from the Llanos Orientales region of Colombia. Twen-
13 ty-eight varietal yield trials, including the local cultivars, were
14 conducted in these regions. The root yield of these traditional culti-
15 vars was compared with the total average yield of all the varietal en-
16 tries in each trial. The relative root yield of the traditional cul-
17 tivars was highest in the low yielding environments (Fig. 5).

18 Traditional cultivars of rice and cassava (and perhaps all other
19 crops) are successful under less productive conditions. Most farm con-
20 ditions in the past had low productivity by modern standards and the
21 ancient practice of bulk population selection favored adaptation to low
22 productivity environments. Natural selection favored the accumulation
23 of genetic resistance to diseases and pests and an array of other phy-
24 sical stresses including soil, weeds, drought, flooding, and so forth.
25 Thus, we confirm the direct usefulness of traditional strains under low
26 productivity environments and we view them as elite sources of resis-
27 tances for more modern varietal types designed for high productivity

1 environments.

2 V. Center of origin and productivity

3 Man has domesticated plants and transferred them from their cen-
4 ters of origin to other continents, so that now many crops are culti-
5 vated on a worldwide basis. Pursglove (1968) and Jennings and Cock
6 (1977) have shown that the principal production areas for many major
7 economic crops are distant from the regions in which they originated.
8 The average yield figures for some important food and industrial crops
9 show that in general crops yield better outside their centers of origin
10 (Table 3).

11 Crops extensively cultivated in the more developed temperate
12 countries such as maize, soybean, barley, and potato show the largest
13 yield increases outside their centers of origin. All of our major
14 crops have their centers of origin in less developed areas of the world.
15 Hence, the yield increase when a crop is grown outside its centers of
16 origin can be ascribed in many cases to the greater technology availa-
17 ble in the developed countries. Yet those crops grown only in the un-
18 derdeveloped tropical areas including cassava, banana, and several tree
19 crops, all yield better outside their centers of origin (Table 3).

20 Remarkable success in tropical crop production are exemplified
21 by sugarcane in South America, rubber in Malaysia, rice in Colombia and
22 Peru, and cassava in Thailand. All of these involve crops transferred
23 to places distant from their centers of origin. These successes, how-
24 ever, are limited to medium to high productivity environments with me-
25 dium to high technology. In the areas where the physical environment
26 is extremely unfavorable or where the production technology is very
27 poor, crop production is very low even the crop is carried far away

1 from its center of origin. Upland rice production in central Brasil is
2 an example for the former case and rice in West Africa exemplifies the
3 latter.

4 On the contrary, importation into centers of origin of specific
5 crop technology developed outside the center of origin is normally un-
6 successful. Maize hybrids to the Andean zone and Japanese or United
7 States rice cultivars to tropical Asia are clear examples.

8 Crop species, including their weedy progenitors, existed within
9 centers of origin for thousands of years before man developed agricul-
10 ture and directed crop improvement towards his ends. The evolution of
11 parasites followed a course parallel to crop evolution. Just as centers
12 of crop origin are distinguished by their wealth of varietal diversity,
13 they are also centers of variability of pathogens and pests. Jennings
14 and Cock (1977) deduced that the major reason why the principal areas
15 of production of many important crops are located outside their centers
16 of origin is that losses in crop yields due to insect and disease damage
17 are greatest within centers of crop origin.

18 Although crop productivity suffers greater biological restraints
19 within centers of origin, crops outside centers of origin are not free
20 from insect and disease damage, but the causal agents are fewer and the
21 overall damage is easier to contain. Nevertheless, there are several
22 cases of massive disease and pest losses of crops outside their centers
23 of origin including coffee rust in Sri Lanka, the Panama disease of
24 bananas in Central America, stem rust of wheat in North America, late
25 blight of potato in Europe, Sogatodes feeding and hoja blanca virus on
26 rice in the American tropics, and the Southern corn leaf blight epi-
27 phytotic in the United States (Jennings and Cock, 1977). Most of these

1 epidemics, however, were induced by genetic crop uniformity including
2 the Gros Michel banana, the Lumper potato, a few resistance genes in
3 wheat, the rice cultivar Bluebonnet 50, and the Texas cytoplasm in mai-
4 ze. These examples of genetic vulnerability outside centers of origin
5 resulted from human miscalculations and emphasize the importance of ge-
6 netic diversity wherever crops are produced.

7 The massive biological, physical, and social restraints to pro-
8 ductivity within centers of origin demand a comprehensive research pro-
9 gram to achieve progress. These restraints, the inadequacy of invest-
10 ment capital for infrastructure improvements, and the frequently limited
11 research capability in these areas render difficult the overcoming of
12 stagnancy in food production within centers of origin.

13 Incomplete technological packages inadequate within centers of
14 origin may dramatically increase food production outside centers of
15 origin. This point is well illustrated by the new rice and wheat tech-
16 nology based on dwarfed cultivars which yield only about 0.5 additional
17 ton/ha in tropical Asia and the Middle East, respectively, whereas a
18 portion of this technology adds 2.0 additional ton/ha of rice in tro-
19 pical America or wheat in Mexico. Although a technology related to
20 improved yielding ability can be tested outside centers of origin with
21 possible success, a simple technological change is unlikely to achieve
22 change within centers of origin.

23 VI. Experiment stations vs. farmers' fields

24 One of the greatest difficulties in tropical agricultural research
25 is the transfer of experiment station results to farm production. This
26 difficulty exists also in temperate areas, but to a lesser degree.

27 In Japan, for example, there are eight national agriculture ex-

1 periment stations and forty seven prefectural agriculture experiment
2 stations. Each of these stations has sub-stations. This network covers
3 most of the environmental variation found on farms. Scientists in deve-
4 loped temperate countries can pursue the objective of maximum crop pro-
5 ductivity for most farmers. The technology thus generated often invol-
6 ves high input levels. Most farmers can apply the new technology and
7 many surpass the experiment station yields. Well developed educational,
8 social and credit systems permit the farmers to respond to the recommen-
9 dations by the experiment station. A yield increase of 10% is consi-
10 dered as a significant technical advance for extension to farmers. Ja-
11 pan may be an overly specific case, yet the basic goal of research in
12 temperate countries is the generation of maximum yield technology for
13 each environment.

14 Brasil has about 23 times the area of Japan but there are fewer
15 experiment stations than in Japan. Yet, among the tropical countries,
16 Brasil has one of the most developed experiment station networks. The
17 typical situation in the tropics involves a scattering of experiment
18 stations over a great area and enormous environmental variability. Ex-
19 periment stations tend to be located on the more fertile soils in the
20 more favorable rainfall areas and they usually have irrigation facili-
21 ties. The clients for research are the minority of influential farmes
22 who produce high value cash crops in favored environments. The majority
23 of the farmers grow traditional crops such as upland rice, beans, maize,
24 or cassava in mixed associations on less fertile soils. These farmers
25 benefit little from research aimed at productive environments. Agricul-
26 tural production infrastructure is normally insufficient to reach the
27 majority of the farmers.

1 We suggest two reasons why experiment station technology is often
2 ineffective. The first one is that the experiment station is not loca-
3 ted in the representative environment for the majority of the farmers.
4 The second is that the technology developed on experiment stations is
5 unsuited to the needs of most of the farmers because it is generated
6 with cultural practices atypical of most farms. The cultivars developed
7 with high fertilizer application and good water and weed control are
8 inappropriate for low productivity environments (Table 1) characterized
9 by low soil fertility, irregular water and weed control and by complex
10 disease and pest problems. The genotypes selected under chemical dis-
11 ease and pest protection are biologically unfit for low productivity
12 environments.

13 The CIAT cassava program, in awareness of these factors, conducts
14 genotype evaluation and selection in three different environments: The
15 Cauca Valley is characterized by fertile soil and favorable rainfall
16 and the environment is considered as highly productive for cassava.
17 The tropical northern coast, a center of cassava production in Colombia
18 that resembles many other cassava production areas, is characterized by
19 a wide range of cultural practices. The Llanos Orientales characteri-
20 zed by infertile oxisols, represents a vast area of Latin American Sa-
21 vannas. In each of these areas, trials involving varieties and agro-
22 nomic practices are conducted on experiment stations and farms.

23 The remarkable progress made by breeding in the Cauca Valley is
24 indicated by the large difference between the average yield of CIAT
25 lines and that of local traditional cultivars (Table 4). This differ-
26 ence narrows considerably when the trials are conducted on farmers'
27 fields but the superiority of CIAT lines is maintained. The decrease

1 in the superiority of CIAT lines on farms is partly due to the excellent
2 production systems developed for local cultivars on large farms. The
3 newest CIAT lines now average 50 ton/ha on farms indicating that breed-
4 ing is successful for this highly productive environment which repre-
5 sents, however, a tiny portion of cassava production in the tropics.

6 On the northern coast, progress was achieved in cassava breeding
7 on the local experiment station since the CIAT lines consistently out-
8 yield the local traditional cultivars (Table 5). The superiority of
9 CIAT lines is maintained on farmers' fields with improved cultural prac-
10 tices (good land preparation, good preparation of planting stakes, and
11 good weeding). However, it disappears totally on farms that employ no
12 improved cultural practices. There the traditional cultivars are best.

13 In the Llanos Orientales progress was made in breeding and selec-
14 tion at the experiment station. The superiority of CIAT
15 lines over traditional cultivars is greater with high input technology
16 (heavier lime application and irrigation) than with medium input tech-
17 nology. The soils in this area are so poor that no meaningful yield
18 can be obtained either from traditional strains or CIAT lines without
19 soil amendments. In this low yield environment breeding advance is not
20 possible if fertilizer is not applied.

21 These data, although scanty, do permit a generalization that
22 agrees with our observation of plant breeding in the tropics. That is:
23 the superiority of newly selected genotypes is greatest on experiment
24 stations utilizing high input technology while the improved genotypes
25 are useless in traditional farmers' fields without any attendant im-
26 provement in technology.

27 The data also suggest that the genotypes selected at experiment

1 stations can be highly effective a) If the selection conditions resem-
2 ble those of the target area farms and, b) If the farmers are able to
3 upgrade their cultural practices to permit expression of genotype po-
4 tential. Thus, to plant breeders, the crucial issue is the capability
5 of farmers to improve their level of cultural practices. In the case of
6 cassava, this includes land preparation, selection of planting stakes,
7 weeding, and fertilization for poor oxisols as the basic requirements
8 for the expression of higher productivity in new cultivars.

9 The data also question whether crop breeders can improve yield in
10 low yielding environments of traditional farms where the farmers are
11 unable or unwilling to improve to change their cultural practices.
12 Other studies demonstrate that the evolution of cultivars was accompan-
13 ied by improvemnt in cultural practices, suggesting the difficulty of
14 changing cultivars without changing crop agronomy (Oka and Chang, 1964,
15 Kawano et al 1974). Traditional strains resulted from natural and bulk
16 selection over thousands of years. It is understandable that traditio-
17 nal cultivars have remained superbly adapted to their total environment
18 for long periods of time without major change. No viable strategy is
19 available for the genetic improvement of traditional agriculture. Subs-
20 tantial change in cultivar type would require equivalent change in crop
21 husbandry. Minor change at minimum yield levels would be invisible
22 both to the scientist and to the farmer.

23 Traditional farmers produce their crops for their own subsistence.
24 They are more concerned about crop failure than a modest yield increase.
25 Consequently, subsistence farmers are the most conservative farmers.
26 To convince them to adopt new cultivars, it would be necessary to demons-
27 trate the large yield advantage of modern cultivars under their farm

1 conditions. While new cassava materials may demonstrate a 50 to 100%
2 yield increase on farms of medium to high level technology, it would be
3 extremely difficult to show a yield gain on traditional farms. The pa-
4 radox is that we can produce a quantum yield advance for rich farmers
5 where only a small increase would suffice, while we cannot create small
6 improvement for poor farmers who theoretically would benefit most from
7 great yield increases.

8 Very little scientific attention has been given to the improvement
9 of traditional farms. Our understanding is too scanty to abandon the
10 possibility of productivity advance through plant breeding. Neverthe-
11 less, improvement in the traditional low yield agriculture in the tro-
12 pics is more logically a task for production agronomists.

13 Large interactions between genotypes and geographical areas in-
14 troduce an additional complication in tropical crop breeding. This
15 type of interaction has been the subject of much study and is relati-
16 vely easy to understand. Careful characterization of the target area
17 and location of experimental sites, are the best response to the pro-
18 blem. However, the interaction between genotype and technology level
19 is more difficult to quantify, less attractive scientifically, and more
20 dependent on socio-economic factors. The present level of understand-
21 ing of this type of interaction is inadequate to allow us to define a
22 comprehensive strategy of breeding and selection particularly for low
23 yielding environments in the traditional agricultural sector.

24 VII. Success and failure

25 A review of modern crop breeding would indicate unquestionable
26 success in some areas and failure in others.

27 The early rice breeding work at IRRI is one of the few notable

1 successes in tropical crop breeding. The success stemmed from the stra-
2 tegy to seek maximum and rapid progress by concentrating research on
3 high yielding environments largely defined by good water control, nitro-
4 gen fertilizer application and the selection for high harvest index ty-
5 pes having short stems, erect leaves, and photoperiod non-sensitivity
6 (Jennings, 1964, 1974; Tanaka et al, 1967; Chandler, 1969). Rice genoty-
7 pes with a high harvest index are productive under high yielding environ-
8 ments but not under low yielding environments (Table 1). Human and na-
9 tural selection evolved many venerable strains well adapted to tradition-
10 al low input technology. These rices are tall, vigorously growing, low
11 harvest index types. Selection for harvest index was not practiced by
12 farmers. Similarly the bulk population breeding method would discrimi-
13 nate against genotypes with a high harvest index because of their low
14 competitive ability. Thus, advance in rice breeding depended upon find-
15 ing a plant character productive in the target area but which had not
16 been uncovered in the farmers' practice of bulk selection. The charac-
17 ter employed was a simply inherited diwarfism of stems and leaves.

18 The great success of the wheat breeding program in Mexico may be
19 interpreted in the same manner. These small grain achievements, how-
20 ever, are largely confined to areas having good water control and high
21 fertilizer application. Yield increases have been higher outside the
22 center of origin than inside. Their inability to increase the yield un-
23 der low yielding environments is readily explained by the fact that phy-
24 siological factors related to high yield are completely different bet-
25 ween high and low yielding environments (Table 1). A distinct breeding
26 strategy for low yielding rice and wheat areas requires an assessment
27 of these different yield restraints and a search for distinct genotypes

1 to overcome them.

2 In cassava, harvest index is the critical trait for high yield in
3 medium to high productivity environments where medium to high technology
4 is available. Improved harvest index did not usually result from long
5 term natural and farmer selection. Thus, progress in modern cassava
6 breeding in favored environments should result from attention to harvest
7 index (Kawano et al 1978a, 1978b). The process, however would be leng-
8 thy because the crop is propagated vegetatively, its multiplication rate
9 is low, and it has a low economic value.

10 Since harvest index is also important for cassava production under
11 low yielding environments, there should be scope for breeders to make
12 some progress in these areas although it appears to be extremely dif-
13 ficult for farms having no improvement in cultural practices.

14 The case of tropical maize is confounded by the added complexi-
15 ties of genetic manipulation of populations. Increased economic yield
16 in maize is related to an increase in total biological yield. In tem-
17 perate favored environments maize is successful as a result of vigorous
18 single and double cross hybrids. These genetically uniform populations
19 were unknown during the domestication of maize. The reasons why this
20 success cannot be repeated on small farms in the tropics may involve
21 the following factors: (a) precise husbandry of genetic populations is
22 difficult on small farms; (b) the yield restraints and agronomy in
23 maize growing areas differ from the cultural conditions of maize re-
24 search stations; (c) open-pollinated maize in low productivity envi-
25 ronments was milked dry by farmers during thousands of years of bulk
26 selection leaving little scope for modern breeders; (d) hybrids lack
27 the genetic variability to tolerate the multiple stresses of low yield

1 environments.

2 Field beans were domesticated in the Andean zone and have been a
3 major component of the human diet there for thousands of years. Varia-
4 bility, and adaptability to diverse local environments is evident in the
5 collections of traditional cultivars found from Mexico to Chile. In
6 this crop total biological yield is highly correlated with competitive
7 ability and with grain yield under a wide range of environments. This
8 suggests that breeders must work fundamentally in the same path of na-
9 tural and farmer selection. Hence, modern efforts to improve the yield
10 level may represent only a fraction of what has been achieved during
11 thousands of years. Consequently, a quantum jump in yielding ability
12 is not likely.

13 Apart from these major food crops, we cite sugarcane as a superb
14 example of breeding success. This crop is among the highest in dry
15 matter production per unit area per unit time. Especially in the Ame-
16 rican tropics highly efficient dry matter production is achieved on
17 millions of hectares largely in the absence of major disease or pest
18 problems. Sugarcane is managed as a plantation crop on highly fertile
19 lands with good water control, heavy fertilizer application and good
20 cultural practices. It would appear that intelligent plant breeding
21 successfully managed the relatively uncomplicated physical and bio-
22 logical yield restraints in this high value cash crop whose main pro-
23 duction areas are now concentrated remote from the center of origin.

24 Progress in tropical crop breeding has been confined to medium
25 to high productivity environments with medium to high technological
26 investment levels. No crop breeding program has made a significant
27 contribution to the traditional farming situations in low productivity

1 environments. Attempts to extend technology developed in high produc-
2 tivity environments to low productivity environments have failed.

3 VIII. Easy and difficult cases

4 Apart from those factors already described which control the de-
5 gree of difficulty of plant breeding in the tropics, there are others
6 that are more generally understood. In terms of ease of handling ge-
7 netic materials, vegetatively propagated crops are the easiest, sexually
8 propagated crops are more complicated, and outcrossing crops are the
9 most difficult. In terms of physiological yield formation, root and
10 tuber crops are the simplest because the sink-source relationship is
11 fairly straight forward and there is no danger of lodging caused by
12 overfilling the sink. In this connection crops such as sugarcane or
13 oil palm have the same advantage. Cereal crops are more complex because
14 the time factor complicates the sink - source relationship and yield
15 components are more numerous. Grain legumes are among the most diffi-
16 cult because of the added factor of the balance between protein and
17 carbohydrate synthesis. Table 7 summarizes these factors according
18 to their contributions to the difficulty of plant breeding.

19 IX. The challenge

20 The major research efforts in tropical crop breeding have con-
21 cerned development of high yielding cultivars for high yielding envi-
22 ronments with high technology levels partly because of the tradition
23 of agronomic research in temperate areas and also because it is easier
24 to expect measurable research results in a short period of time. In
25 several instances, this strategy has been successful. Wherever such
26 opportunity remains it should be exploited without hesitation. Exam-
27 ples of potential success would include high technology cassava pro-

1 duction for animal feed in Asia or cassava farming with massive inputs
2 in Brasil for alcohol production.

3 In some instances research for high productivity environments has
4 fulfilled its primary mission and a continuing strategy of research
5 priority for these environments is debatable. The majority of arable
6 land area in the tropics is characterized by infertile soils and irre-
7 gular water supply. The underlying philosophy in agronomic research
8 in temperate areas has been to convert unfavored environments into
9 highly productive ones. Low productivity environments in the tropics
10 may be upgraded but only with immense investment for irrigation, drain-
11 age, and fertilizer application. Such capital investment is rarely
12 available. Hence, more research attention is needed for moderate and
13 stable yield levels under less favorable environments. Important exam-
14 ples include Asian rainfed rice, Andean maize and field beans and acid
15 infertile soils for cassava.

16 The first requirement is a careful analysis and definition of
17 target areas in climatic, soil, biological and socio-economic terms.
18 The most critical determination is the level of cultural practices or
19 technology falling within the reach of the majority of the farmers in
20 each target area. Experiment sites are required within each target
21 area. The research organization that assumes world responsibility for
22 the crops should be located in the principal center of origin.

23 Experimental plot management cannot exceed the cultural practices
24 within the reach of average farmers. Impractical and cosmetic plot
25 protection including pesticides and excessive water or weed control
26 should be eliminated. The major selection criterion should be overall
27 performance within a given environment. Selection for individual

1 traits is used to reinforce general adaptability. Extensive use of land
2 cultivars from each target area is a necessary component of the broad
3 germplasm variation in hybridization programs.

4 The pedigree method of selection is falling into disfavor largely
5 because it requires prohibitive costs to accommodate a desired volume
6 of segregants. Renewed interest in modified bulk population breeding
7 for specific environmental complexes is emerging as a substitute for
8 pedigree selection.

9 We take issue with the belief that a widely adapted cultivar
10 confers stable yield. A farmer is not interested in wide adaptability.
11 His concern is stable yield on his farm. More important is stability
12 of performance over seasons within each target area. Multilocal
13 testing identifies tolerance to important disease and pest problems
14 that are sporadic in any single location. The physical rotation of
15 segregating populations among relatively similar environments may permit
16 identification of tolerances to subtle but cumulatively massive physical
17 and biological yield restraints.

18 Finally, we are confronted with the most difficult question of
19 whether the breeder can serve those traditional farmers who cannot
20 improve their cultural practices. This is primarily a socio-economic
21 issue and even for agriculturalists it is primarily a concern of agro-
22 nomists. Observations suggest that it is extremely difficult for
23 breeders to contribute to this situation since most of the possible
24 improvement might have been done by farmers already. Yet, one approach
25 that farmers may not have exhausted is wide and multiple crosses among
26 varied germplasm sources. Thousands of multiple crosses in one year at
27 one location may be equivalent to natural crossing over many years at

1 many locations. This, combined with modified bulk selection, may be our
2 only realistic approach to the lowest yielding situations.

3 X. Conclusions

4 All the major food crops were domesticated in the tropics or its
5 adjacent areas. Diseases, pests, and cultural practices co-evolved
6 over ages with the crop species. Profound richness in the variability
7 of crop germplasm, pests, environments, and cultural practices compli-
8 cate tropical crop breeding. Yet, the major difficulty in breeding is
9 the need to grapple with the bulk of farming situations that have par-
10 tial or no access to modern cultural practice technology.

11 Breeding may be relatively easy for the areas of high environ-
12 mental productivity with high cultural technology outside the center
13 of origin of the species. When the crop is a vegetatively propagated
14 perennial, disease resistance may be obtained with relative ease. Whe-
15 re the harvest index is the yield limiting factor, a quantum yield in-
16 crease by selection is possible.

17 In contrast, breeding becomes extremely difficult for the areas
18 of low environmental productivity with low cultural technology inside
19 the center of origin of the crop. If the crop is a sexually propagated
20 annual it will be a challenge to obtain stable disease resistance. If
21 the total biological yield is the yield limiting factor, substantial
22 yield increase by breeding may not be expected.

23 Earlier research emphasis given to the tractable farming situa-
24 tions of high input technology is shifting to the bulk of tropical
25 farms having low to medium technology possibilities where yields are
26 stagnant.

27 These target areas for research must be identified in terms of

1 biological, climatic, soil and socio-economic factors. A critical issue
2 is identification of cultural practices within the reach of the farmers
3 in each target area. Research plots for varietal selection should be
4 managed at a level within the reach of the average farm. A maximum use
5 of land cultivars within each target area combined with broad based and
6 high volume hybridization is critical. The major selection criterion
7 should be the overall performance of a population and secondarily se-
8 lection for individual single traits. The final selection should be
9 made on the basis of moderate and stable yield over years within a
10 given environment.

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TABLE 1. RELATIONSHIP OF HARVEST INDEX (A) AND TOTAL PLANT WEIGHT (B) WITH
RICE GRAIN YIELD (Y) UNDER DIVERSE ENVIRONMENTAL CONDITIONS. ^{1/}

Average yield of experiment (ton/ha)	r_{YA}	V_A/V_Y	r_{YB}	V_B/V_Y
5.26	0.222	0.381	0.886**	0.997
7.44	0.177	0.414	0.886**	1.065
7.82	0.723**	0.693	0.682**	0.690
8.03	0.591**	0.684	0.536**	0.843
8.14	0.288	0.568	0.574**	0.969
8.14	0.534**	0.672	0.230	0.813
8.81	0.893**	0.911	0.074	0.457
9.13	0.820**	0.673	0.240*	0.386
9.18	0.764**	0.971	0.236	0.810
9.28	0.744**	0.651	0.096	0.567
9.59	0.657**	1.182	0.078	0.727

r : Correlation coefficient

V/V_Y : Relative size of variance of harvest index or total plant weight to
that of yield (variables are converted into logarithmic scale).

^{1/}

Data from 11 varietal yield trials conducted in northern coast of
Peru. Variation in yield level was caused by differences in ni-
trogen application and plant spacing. The general yield level
was high because of the extremely high productivity of Peruvian
north coast but the relative yield comparison may be valid.

TABLE 2. RELATIONSHIP OF HARVEST INDEX (A) AND TOTAL PLANT WEIGHT (B) WITH CASSAVA
ROOT YIELD (Y) UNDER DIVERSE ENVIRONMENTAL CONDITIONS*.

Location	Average yield of experiment (ton/ha)	r_{YA}	V_A/V_Y	r_{YB}	V_B/V_Y
Carimagua	4.9	0.813**	0.615	0.840**	0.530
Carimagua	15.3	0.691**	0.406	0.932**	0.748
Carimagua	19.1	0.773**	0.640	0.889**	0.712
Caribia	24.1	0.582**	0.710	0.789**	0.738
Caribia	27.3	0.852**	0.690	0.807**	0.436
Caribia	29.8	0.711**	0.499	0.821**	0.760
CIAT	26.3	0.840**	0.956	0.242	0.042
CIAT	27.8	0.817**	0.907	0.409	0.321
CIAT	28.6	0.918**	1.040	0.542	0.254
CIAT	30.4	0.763**	0.823	0.551**	0.476
CIAT	37.2	0.668**	0.708	0.767**	0.670
CIAT	42.1	0.776**	0.767	0.525*	0.404

r : Correlation coefficient

V/V : Relative size of variance of harvest index or total plant weight to that of
yield (variables are converted into logarithmic scale).

*Data from replicated yield trials in three years at three locations.

TABLE 3. YIELDS OF VARIOUS CROPS WITHIN AND OUTSIDE THEIR CENTERS OF ORIGIN.

(Data extracted from the FAO Production Yearbook, 1977).

Crop	Center of origin	Area planted in center of origin (1000 ha)	Yield in center of origin (ton/ha)	Area planted outside center of origin (1000 ha)	Yield outside center of origin (ton/ha)
Wheat	West Asia	26,966	1.41	209,605	1.82 (129) ^{1/}
Rice	South Asia	84,199	1.85	58,909	3.31 (179)
Maize	Mexico through Andean region of Latin America	10,241	1.22	106,662	3.02 (248)
Barley	West Asia	6,592	1.33	87,383	2.05 (154)
Sorghum	North east Africa	5,841	0.70	45,894	1.33 (190)
Potato	Andean region of Latin America	615	8.20	17,869	14.41 (176)
Cassava	Northern South America	306	8.48	12,216	9.01 (106)
Groundnut	South east South America	124	0.94	17,872	0.96 (104)
Soybean	China	14,236	0.87	30,388	1.67 (192)
Field bean	Andean region of Latin America	6,079	0.50	21,821	0.60 (120)
Cow pea	Africa	5,035	0.20	135	0.70 (350)
Sugarcane	South Asia	4,923	47.62	7,784	58.74 (123)
Banana	South Asia	846	11.37	2,069	13.23 (116)
Coffee	North east Africa	656	0.27	7,266	0.48 (178)

^{1/} Yield outside the center of origin as a percentage of that within.

TABLE 4. CASSAVA ROOT YIELDS OF CIAT LINES AND TRADITIONAL CULTIVARS ON EXPERIMENT STATION AND FARMERS IN THE CAUCA VALLEY OF COLOMBIA (HIGH YIELD ENVIRONMENT).

	On experiment station (CIAT-Palmira, 8 season average)	On farms (Caicedonia, 5 year average)
Root yield of CIAT lines ^{1/} (ton/ha)	45.3	32.2
Root yield of traditional cultivars (ton/ha)	25.5	29.3
Agronomic description of cultural environment	Fertile soil Favorable rain fall Good land preparation Good preparation of planting stakes Good weeding No fertilizer application No irrigation No chemical application	The same on the experiment station

^{1/}
CIAT line Genotype selected or developed by the CIAT cassava program

Yield Average of upper 50% of all the CIAT lines tested.

Data source Agronomy and varietal improvement sections, CIAT cassava program
(CIAT, 1975, 1976, 1977, 1978, 1979, Kawano et al, 1978).

TABLE 5. CASSAVA ROOT YIELDS OF CIAT LINES AND TRADITIONAL CULTIVARS ON EXPERIMENT STATION AND FARMS ON THE NORTHERN COAST OF COLOMBIA (LOW TO MEDIUM YIELD ENVIRONMENT).

	On experiment station (ICA-Caribia, 7 season average)	On farms fields with improved cultural practice (9 trial average)	On farms without improved cultural practice
Root yield of CIAT lines ^{1/} (ton/ha)	32.2	17.6	7.3
Root yield of traditional cultivars (ton/ha)	22.9	11.6	8.4
Agronomic description of cultural environment	Medium fertile soil Long dry season Good land preparation Good preparation of planting stakes. Good weeding No fertilizer application No irrigation No chemical application	Poor to medium soils Long dry season Good land preparation Good preparation of planting stakes. Good weeding No fertilizer application No irrigation No chemical application	Poor to medium soil Long dry season Poor or no land preparation Poor preparation of planting stakes. Poor or no weeding No fertilizer application No irrigation No chemical application

^{1/}
 CIAT line Genotype selected or developed by the CIAT cassava program
 Yield Average of upper 50% of all the CIAT lines tested.
 Data source Agronomy, Economics, and Varietal improvement sections, CIAT Cassava program (CIAT, 1975, 1976, 1977, 1978, 1979, 1980, Kawano et al 1978).

TABLE 6. CASSAVA ROOT YIELDS OF CIAT LINES AND TRADITIONAL CULTIVARS UNDER HIGH AND MEDIUM INPUT TECHNOLOGIES ON AN EXPERIMENT STATION IN THE LLANOS OF COLOMBIA (LOW YIELD ENVIRONMENT).

	With high input technology (2 year average)	With medium input technology (11 trial average)
Root yield of CIAT lines ^{1/} (ton/ha)	35.2	20.2
Root yield of traditional cultivars (ton/ha)	15.6	14.7
Agronomic description of cultural environment	Infertile acid soil 4 months dry season Good land preparation Good preparation of planting stakes Good weeding 2 ton/ha of lime applied 1 ton/ha of 10-20-20 applied Frequent irrigation No fungicide and pesticide applied	Infertile acid soil 4 months dry season Good land preparation Good preparation of planting stakes Good weeding 0.5 ton/ha of lime applied 1 ton/ha of 10-20-20 applied No irrigation No fungicide and pesticide applied

^{1/}

CIAT line Genotype selected or developed by the CIAT cassava program.

Yield Average of upper 50% of all the CIAT lines tested.

Data source Agronomy, Soil Science, and Varietal Improvement sections, CIAT Cassava program (CIAT, 1975, 1976, 1977, 1978, 1979, Kawano *et al* 1978).

TABLE 7. SCHEMATIC DESCRIPTION OF DEGREE OF DIFFICULTY IN TROPICAL CROP BREEDING.

Factor related to breeding work	Breeding is	
	Less difficult	More difficult
Productivity level of environment	High	Low
Level of cultural practice	High	Low
Center of origin	Outside	Inside
History of production	Short	Long
Kind of crop (complexity of physiological yield factor)	Root/tuber crop	Legume grain crop
Kind of crop (mode of propagation)	Vegetative	Outcrossing
Kind of crop (possibility of further improvement)	Harvest index being yield limiting factor	Total biological yield being yield limiting factor.
Kind of crop (complexity of pest interactions).	Evergreen perennial with vegetative propagation	Annual with sexual propagation.
Kind of crop (Ease of cultivar replacement)	Seed propagation	Vegetative propagation.

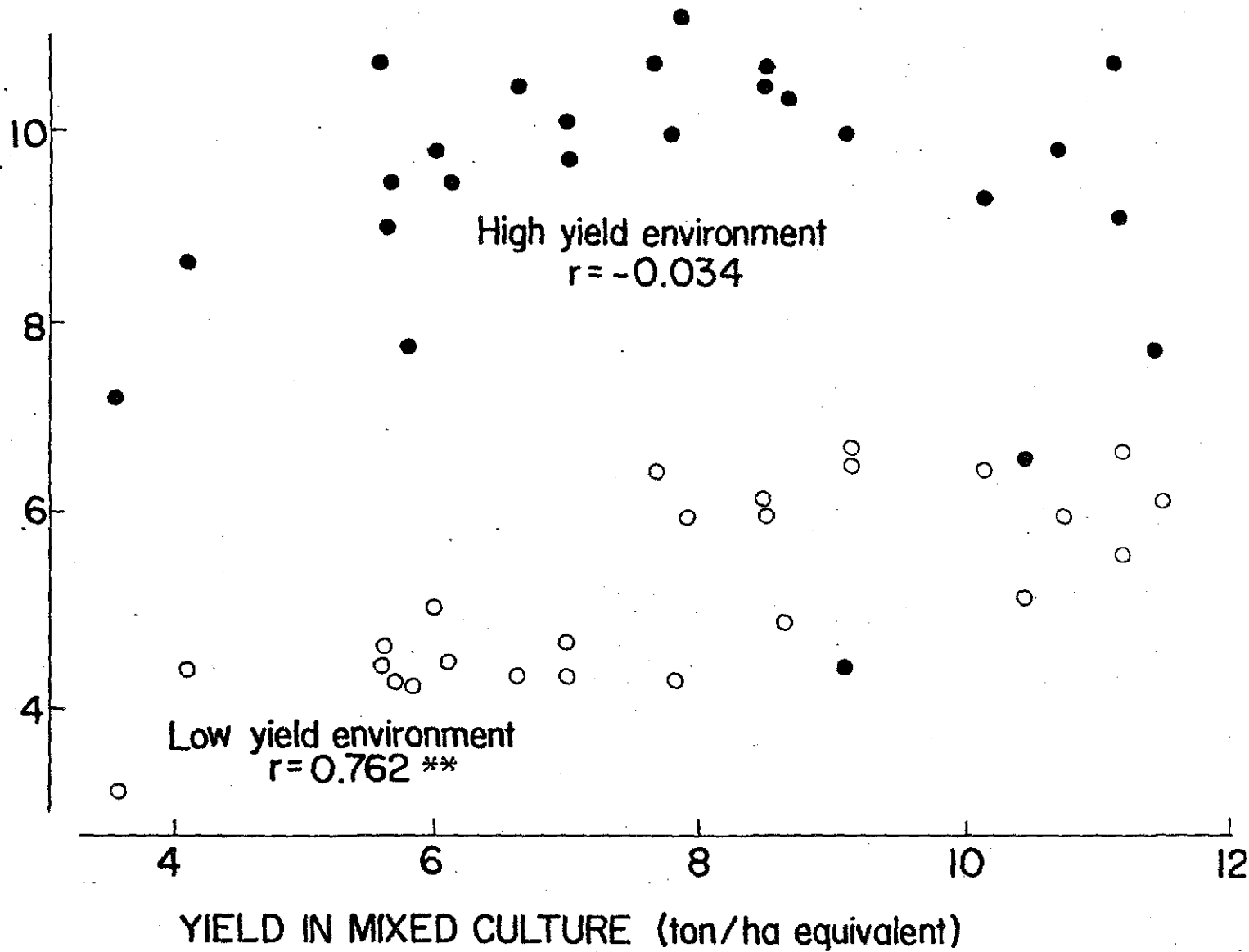


FIG. 1. Relationship between grain yield in mixed culture and that in monoculture (under high and low yield environments) of the same rice genotype.

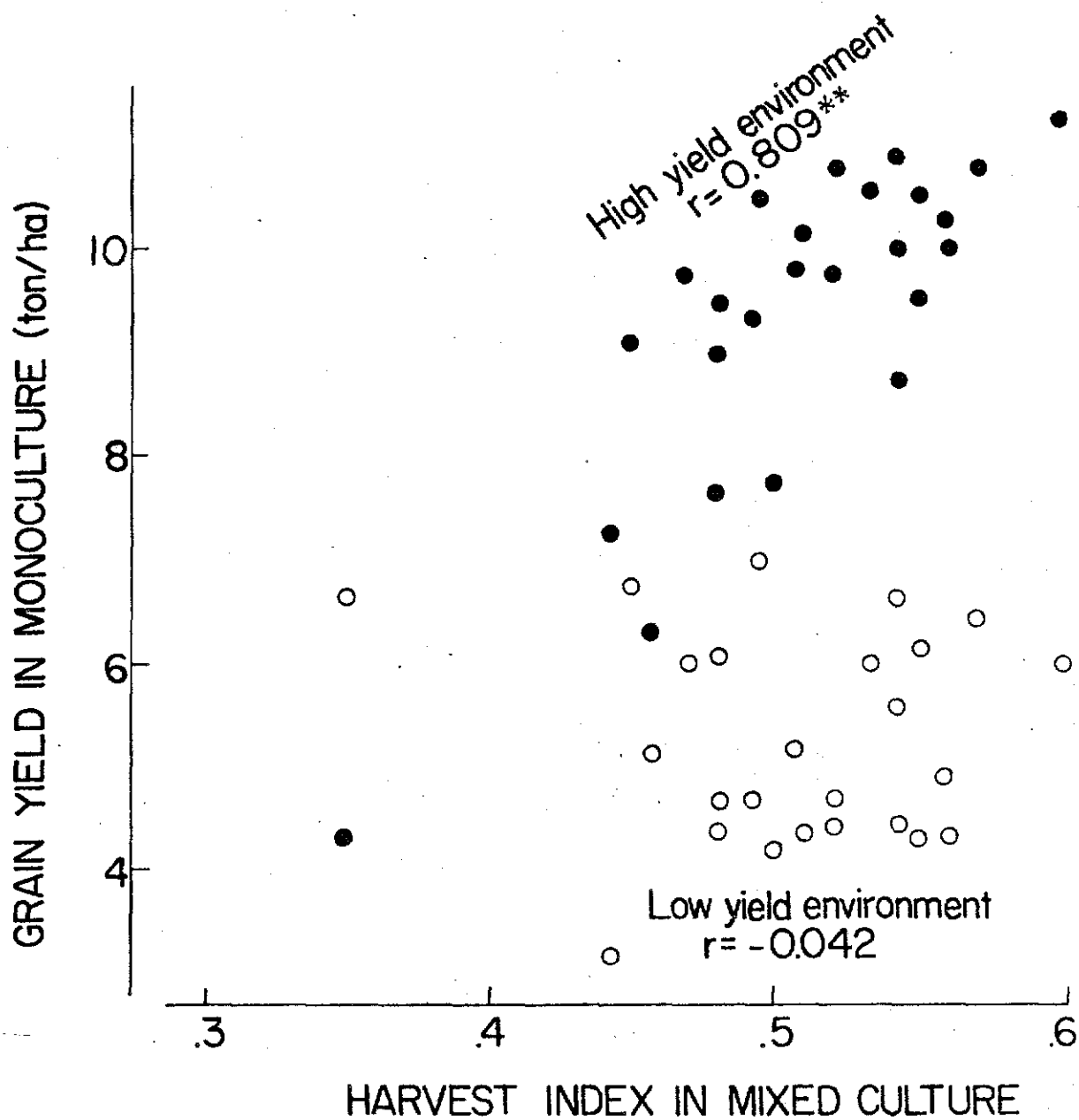


FIG. 2. Relationship between harvest index in mixed culture and grain yield in monoculture (under high and low yield environments) of the same rice genotype.

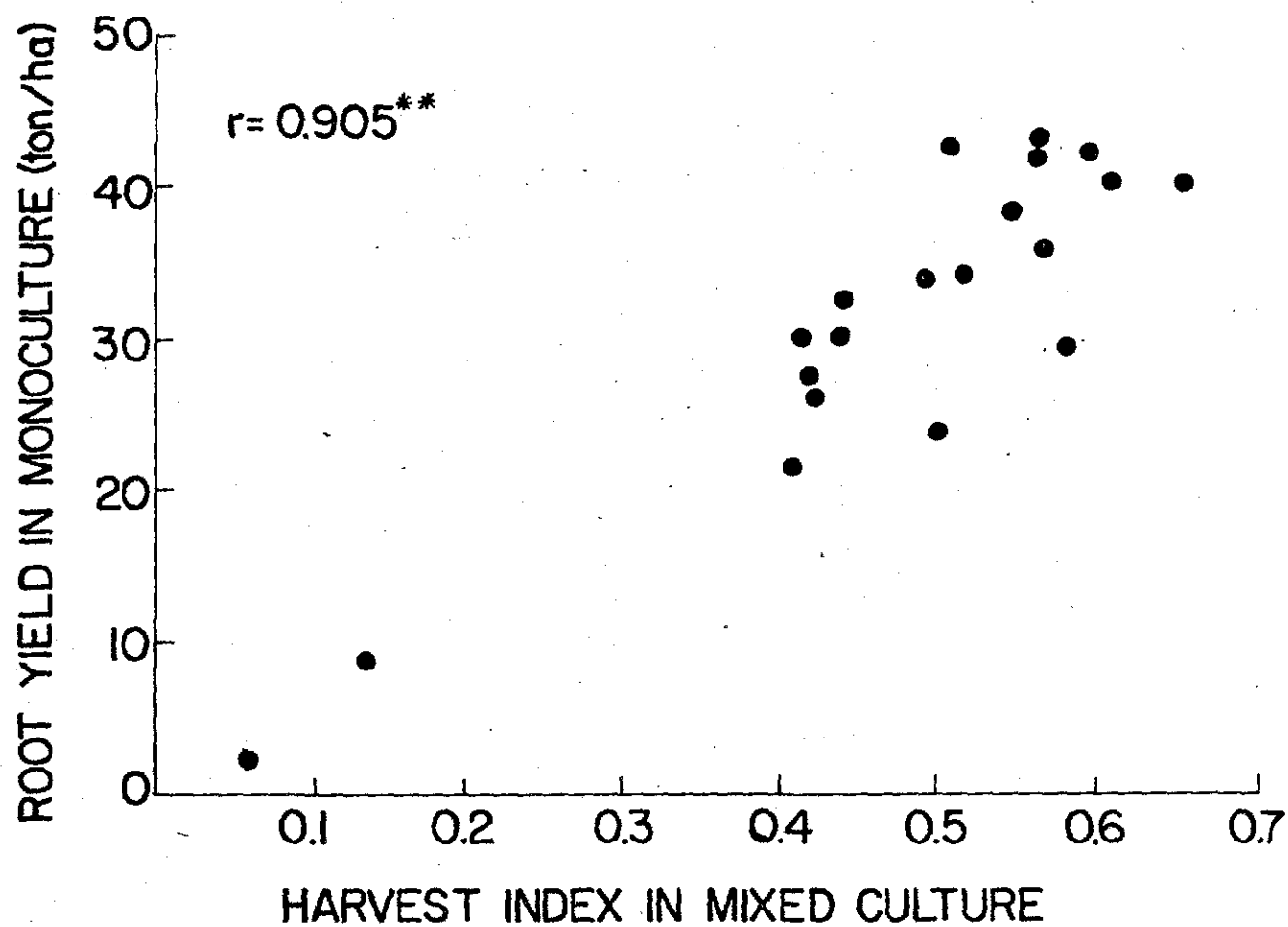


FIG. 3. Relationship between harvest index in mixed culture and root yield in monoculture of the same cassava genotype.

YIELD OF MINABIR 2 RELATIVE TO
AVERAGE YIELD OF EXPERIMENT

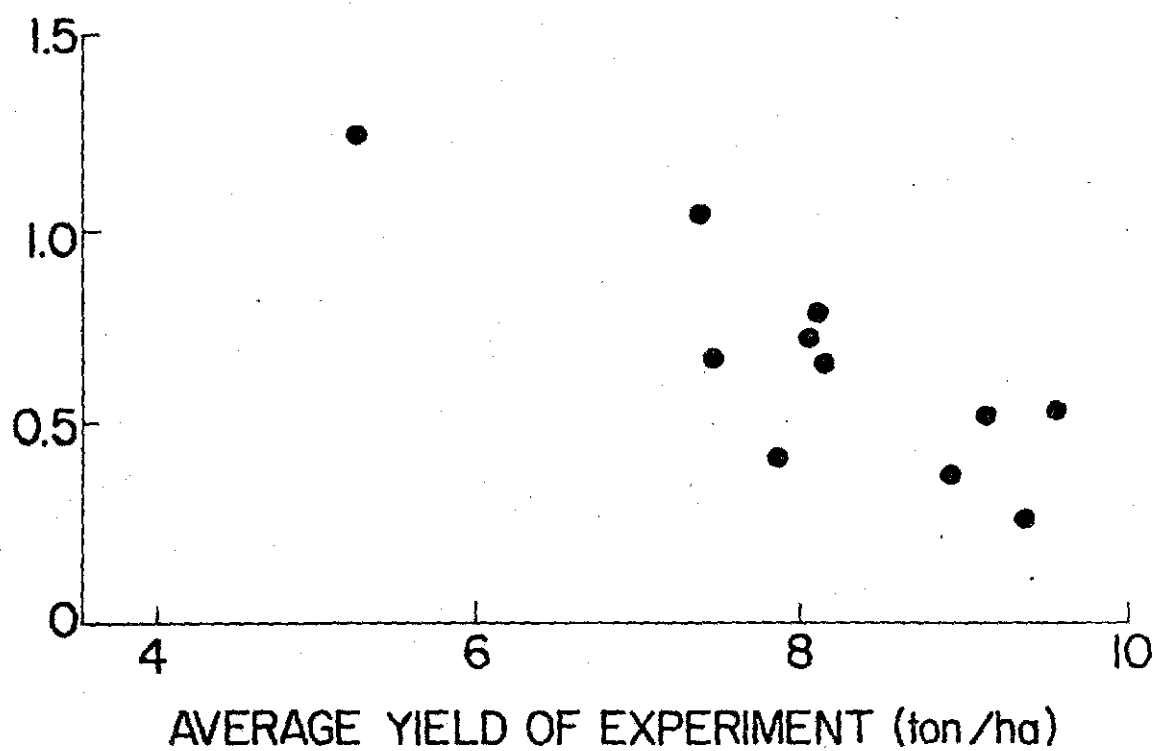


FIG. 4. Relationship between yield level of cultural environment and relative yield of traditional cultivar in rice.