Title: Economic trade-offs of biomass use in crop-livestock systems: exploring more sustainable options in semi-arid Zimbabwe

In complex mixed crop-livestock systems with limited resources and biomass scarcity, crop residues play an important but increasingly contested role. This paper focuses on farming systems in the semi-arid areas of Zimbabwe, where biomass production is limited and farmers integrate crop and livestock activities. Conservation Agriculture (CA) is promoted to intensify crop production, emphasizing the retention of surface mulch with crop residues (CR). This paper quantifies the associated potential economic trade-offs and profitability of using residues for soil amendment or as livestock feed, and explores alternative biomass production options. We draw on household surveys, stakeholder feedback, crop, livestock and economic modeling tools. We use the Trade-Off Analysis Model for Multi Dimensional Impact Assessment (TOA-MD) to compare different CR use scenarios at community level and for different farm types: particularly the current base system (cattle grazing of maize residues) and sustainable intensification alternatives based on a CA option (mulching using maize residues +/- inorganic fertilizer) and a maize-mucuna (Mucuna pruriens) rotation. Our results indicate that a maize-mucuna rotation can reduce trade-offs between CR uses for feed and mulch, providing locally available organic soil enhancement, supplementary feed and a potential source of income. Conservation Agriculture without fertilizer application and at non-subsidized fertilizer prices is not financially viable; whereas with subsidized fertilizer it can benefit half the farm population. The poverty effects of all considered alternative biomass options are however limited; they do not raise income sufficiently to lift farmers out of poverty. Further research is needed to establish the competitiveness of alternative biomass enhancing technologies and the socio-economic processes that can facilitate sustainable intensification of mixed crop-livestock systems, particularly in semi-arid environments.

Highlights

CA technologies can enhance immediate food security, but input costs are high. Diversification into legumes can reduce biomass trade-offs and can be profitable. Biomass technologies have marginal effects on small farms; poverty remains high. Stakeholder feedback is critical for tailoring desirable intensification pathways.

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1	Economic trade-offs of biomass use in crop-livestock systems: exploring more sustainable
2	options in semi-arid Zimbabwe
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4	Sabine Homann-Kee Tui ¹ , Diego Valbuena ^{2,3} , Patricia Masikati ¹ , Katrien Descheemaeker ³ , Justice
5	Nyamangara ¹ , Lieven Claessen ⁴ , Olaf Erenstein ⁵ , Andre van Rooyen ¹ , Daniel Nkomboni ⁶
6	
7	¹ International Crops Research Institute for the Semi-Arid Tropics (ICRISAT), PO Box 776,
8	Bulawayo, Zimbabwe
9	² International Center for Tropical Agriculture (CIAT), PO Box 172, Managua, Nicaragua.
10	³ Wageningen University (WUR), PO Box 430, 6700 AK Wageningen, The Netherlands
11	⁴ International Crops Research Institute for the Semi-Arid Tropics (ICRISAT), PO Box 39063,
12	Nairobi, Kenya
13	⁵ International Maize and Wheat Improvement Centre (CIMMYT), c/o ILRI, PO Box 5689, Addis
14	Ababa, Ethiopia
15	⁶ Matopos Research Institute, Private Bag K5137, Bulawayo, Zimbabwe
16	
17	Abstract
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19	(CR) play an important but increasingly contested role. This paper focuses on farming systems in the
20	semi-arid areas of Zimbabwe, where biomass production is limited and farmers integrate crop and
21	livestock activities. Conservation Agriculture (CA) is promoted to intensify crop production,
22	emphasizing the retention of surface mulch with CR. This paper quantifies the associated potential
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28	maize residues) and sustainable intensification alternatives based on a CA option (mulching using

29 maize residues +/- inorganic fertilizer) and a maize-mucuna (Mucuna pruriens) rotation. Our results 30 indicate that a maize-mucuna rotation can reduce trade-offs between CR uses for feed and mulch, providing locally available organic soil enhancement, supplementary feed and a potential source of 31 income. Conservation Agriculture without fertilizer application and at non-subsidized fertilizer prices 32 33 is not financially viable; whereas with subsidized fertilizer it can benefit half the farm population. The 34 poverty effects of all considered alternative biomass options are however limited; they do not raise 35 income sufficiently to lift farmers out of poverty. Further research is needed to establish the competitiveness of alternative biomass enhancing technologies and the socio-economic processes that 36 can facilitate sustainable intensification of mixed crop-livestock systems, particularly in semi-arid 37 38 environments.

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40 Key words: Crop-livestock intensification, biomass trade-offs, farm types, economic impacts,
41 Zimbabwe

42

43 **1. Introduction**

44

Smallholder farmers in the semi-arid tropics combine farm and off-farm activities to achieve food 45 security, and preserve or improve their livelihoods. Diversified systems, using the complementarities 46 of crop production and livestock husbandry, appear to be robust opportunities for farmers to reduce 47 vulnerability to climatic shocks and improve adaptive capacity to continuous changes in the social-48 49 ecological context (Ellis and Freeman, 2004; Lemaire et al., 2013). In particular, where external 50 inputs are relatively inaccessible, animal manure provides essential nutrients for crop growth, while 51 crop residues (CR) provide essential animal feed (McIntire et al, 1992). Using animal draught power 52 farmers can prepare land in time, which improves water and nutrient use efficiency and increases crop 53 yields (Tittonell et al., 2007). In addition to crop input functions, livestock serve as the most important 54 on-farm capital and insurance in times of drought (Moll, 2005), equating livestock to an asset that can 55 be converted to cash. The cash from livestock can be used to buy food and cover shortfalls in crop 56 production. Livestock also make an important contribution to quality of life as the cash from livestock sales can be used for educational purposes and also to pay for medical expenses (van Rooyen andHomann, 2009).

59

Resources for conducting the different farm activities, including crop production, soil conservation 60 61 and livestock husbandry are often limited. Limited access to biomass, nutrients, water, and labor 62 creates short and long-term trade-offs in resource allocation (Erenstein, 2002; Giller et al., 2009; 63 Thierfelder et al., 2012). Within a community, farm households are diverse in terms of resource endowments; their level of resource access determines how they will be affected by the trade-offs and 64 what options they have to reduce the trade-offs (Dorward et al., 2009). The trade-offs on biomass use 65 66 are increasingly contested, particularly on CR allocation for feed and soil amendment in sub-Saharan 67 Africa (e.g. Giller et al., 2009). Crop residues play an important yet often underestimated economic 68 role as the link between crop and livestock activities (McIntire et al, 1992; FAO, 2001a). Crop 69 residues are mostly used as animal feed (Valbuena et al. 2012). Semi-arid Zimbabwe illustrates a case 70 where rangeland feed resources are increasingly being converted into cropland, and CR therefore 71 increasingly serves the important function of supplementing livestock feed, especially during the dry 72 season from May until October (Rufino et al., 2011). Even though the nutritive value of cereal 73 residues is relatively low, feeding CR to livestock during dry periods and droughts sustains survival 74 when little alternative feed is available (Holness, 1999; Masikati, 2011). It also sustains body condition of draught animals, for early preparation of fields after the first rains. 75

76

77 The consequence of feeding most of the CR to livestock is that there are few alternatives to return 78 biomass to the fields, limiting the replenishment of organic material and protection of the soils (e.g. 79 against wind or water erosion). Although animal manure provides important nutrients for crop growth, 80 recommended volumes of 8-10 t/ha are rarely achieved (Mapfumo and Giller, 2001). Investing land 81 and labor in biomass producing cover crops has largely failed because smallholder farmers prefer 82 using their land for food production or would prefer feeding the biomass to livestock (Mazvimavi and 83 Twomlow, 2009). Therefore, the design of more sustainable farming systems needs to account for the 84 limited access to resources, potential trade-offs on resource allocation and the diversity of smallholder households. This design should go beyond describing potential trade-offs of biomass allocation
(Baudron et al. 2014), and should offer feasible and more sustainable pathways to overcome the
biomass production gap (Keating et al., 2010; Power, 2010).

88

89 One option to improve the sustainable intensification of these farming systems is the use of CR as 90 mulch, thereby recycling biomass and improving fertility and water management of inherently 91 infertile and often depleted soils. In Zimbabwe mulching has been promoted since 2004 as one of the Conservation Agriculture (CA) components, providing crop-based food security (FAO, 2001b; 92 Hobbs, 2008; Kassam et al., 2009). Even though CA has a high potential for improving crop 93 94 productivity it faces several challenges particularly in semi-arid areas (Erenstein, 2002; 2003). Naudin 95 et al. (2011) infer a critical amount of about 2 -3 t residue mulch/ha to maintain soil fertility. Retaining these volumes of CR is difficult in areas with low residue production, where farmers prefer 96 feeding the CR to livestock and where open grazing is a traditional practice (Giller et al., 2009; 97 Valbuena et al., 2012). Furthermore, substantial fertilizer application is required to prevent N 98 99 immobilization when mulching CR with high C:N ratios (Rusinamhodzi et al., 2011; Nyamangara et 100 al., 2013b). The soil health effects of mulching also depend on the length of consistent mulching and 101 build up over time (Thierfelder et al., 2012). Apart from limited biomass in areas like semi-arid 102 Zimbabwe, the access to fertilizer and the lack of immediate yield benefits are major constraints for 103 the uptake of CA practices.

104

105 An alternative option is to diversify the cropping system by producing fodder legumes, low cost/input 106 technologies that can address soil fertility amendment and provide quality livestock feed at the same 107 time (Maasdorp and Titterton 1997; FAO, 2011). Mucuna (mucuna pruriens) has been identified as 108 one possibly attractive option for smallholder mixed farming systems. It was originally introduced 109 and promoted as a cover crop in commercial farming systems to improve crop productivity (Buckles 110 et al., 1998). It was later recognized for maintaining soil fertility, also under low soil fertility 111 conditions and for its drought tolerance (Cook et al., 2005). Experiments in Zimbabwe confirmed high 112 mucuna biomass production (2-6 t/ha) and feed quality (12.5% Crude Protein) under smallholder 113 conditions in sub-humid and semi-arid areas, on poor quality soils and without P-fertilizer application 114 (Maasdorp et al., 2004; Masikati, 2011). In on-farm experiments farmers choose mucuna over other 115 legume crops for its high seed and biomass yield, low susceptibility to pests and diseases, and also for 116 its insecticidal effects and ability to suppress weeds such as imperata cylindrica and striga species 117 (dito). Despite its advantages, mucuna has not been widely adopted by smallholder farmers in 118 southern Africa (Homann-Kee Tui et al., 2013). With government and development agents focussing 119 on staple food production, attention on feed and fodder technologies has been limited and is only 120 recently regaining interest.

121

The objective of this paper is twofold: i) to make explicit the economic value and trade-offs of biomass allocation options for different types of smallholder crop-livestock farming systems in semiarid Zimbabwe; and ii) to analyse how alternative options could reduce such trade-offs, reducing the biomass trap for these smallholder households. This study combines household questionnaires, crop and livestock modeling tools, secondary data from on-farm experiments and an economic model to calculate the net returns and economic trade-offs of biomass use.

128

129 2. Material and methods

130

131 2.1 Study area: Nkayi District

132

133 This study was implemented in Nkayi District in semi-arid Zimbabwe (Figure 1), characterized by 134 low and variable rainfall (Natural region III and IV; Vincent and Thomas, 1957). Soils are mostly 135 deep Kalahari sands (Arenosols), with pockets of clay and clay loams, inherently infertile, with N, P 136 and S deficits. These soils have suffered degradation due to extended periods of crop production 137 under limited fertility management. Human population growth and expansion of households has led to 138 an increase of croplands by 13% against a reduction of rangelands and forests by 14% in the past 20 139 years (ICRISAT, 2010). Similar livestock densities on smaller rangeland areas aggravate degradation 140 processes and increase feed shortages (Powell et al. 2004). Land use is relatively extensive 141 (Rockstrom et al., 2003), but with a strong integration of crops and livestock (Homann Kee-Tui et al.,

142 2013).

143

144 Figure 1. approximately here.

145

146 In Nkayi District crop productivity is currently very low, around 650 kg/ha of maize (Mazvimavi et 147 al., 2010; Masikati, 2011). During the 1990s, however, when maize production was promoted along with improved seed and fertilizer, yields were commonly around 1500 kg/ha (Government of 148 149 Zimbabwe, 2002). Currently, crop input use is low and largely limited to maize production. Only one 150 fifth of the farming households apply inorganic fertilizer with an average fertilizer rate of 54 kg/ha, 151 whereas only a third apply manure at an average rate of 1.5 t/ha (Homann-Kee Tui et al., 2013). 152 Animal traction is used to prepare 96% of the cropland. Conservation Agriculture, although widely 153 promoted, is practiced by less than 10% of the households. Planting basins are the most common CA 154 option, but these are associated with higher labour requirements. Livestock production is 155 recommended as the most appropriate form of land use that can be intensified by growing drought-156 resistant fodder crops (Holness, 1999). About 60% of the households keep cattle, mostly for draught 157 power, manure, milk and sale (Homann-Kee Tui et al., 2013). Cattle mortality rates are high (~ 15%), 158 implying that valuable resources are being wasted and important income options from selling cattle 159 not realized. Average milk yields remain low (1.5 l per cow and day). Feed deficits are common but 160 less than 3% of farmers grow forages. Farmers estimated using about 20% of the available maize 161 residues for kraal feeding, with most CR (about 60%) being grazed in situ.

162

163 2.2 Data collection

The quantification of net returns of different farm activities and the ex-ante analysis of economic trade-offs of biomass use were based on various combined datasets. Eight villages were selected based on their distance to the market, nearby and far from main roads and the market place. Village level focus group discussions were conducted in 2010 to better understand local land use systems, and collect price information for agricultural inputs and outputs. Between 20 and 30 farmers from 169 different backgrounds attended each group discussion. Household questionnaires were conducted in 170 2011 with 20 households of each of the selected village (n=160). This selection was based on 171 stratified random sampling accounting for levels of land and livestock ownership. Data collected 172 include socio-economic household characteristics, crop and livestock inputs and outputs and 173 estimated expenditures for crop and livestock activities, for the one-year observation period preceding 174 the surveys (Table 1). In 2012, feedback workshops engaged farmers and other local stakeholders in verifying research results and identifying promising options for more sustainable intensification of 175 176 smallholder agriculture in each of the selected villages. Finally, secondary data were used to verify 177 household and village level data on input and output prices, crop and livestock production and to 178 quantify the effect of alternative options in crop and livestock production and costs (see Appendix 1).

179

180 # Table 1 approximately here.

181

182 **2.3** Net returns for different types of households

183

Households were stratified in three categories based on cattle herd size, as this influences farmers' wealth status and the ability to invest in alternative technologies. Prices for crop and livestock production (P) are derived from the median of estimated village prices by farmers. The quantities (Q) and costs (C) of cereal grains and CR are assessed for each individual farmer for the one-year observation period (Appendix 1).

189

The values of crop outputs were obtained from the grain outputs collected during the household survey and the harvest index (HI, in Zimbabwe: 0.4 for maize, 0.35 for sorghum, and 0.3 for millet and legumes - adapted from Hay and Gilbert, 2001). Cost components for crop production included farmers' estimates of cash expenses for maize production during the observed year, including land preparation and (in)organic inputs. The costs for animal draught power used for field preparations are based on field sizes, proportion of the fields prepared using animal tillage and village prices for draught power (cd subscript, see equations in section 4.2.1). The costs of manure applied were calculated from estimated quantities of manure applications and village prices for manure (cma subscript). Opportunity costs of draught power and manure were factored in even if households did not pay cash for these services.

200

201 The value of livestock outputs was derived from the economic value of draught power, milk, manure 202 and animals sold. First, the value of draught power (ld subscript) was calculated based on the number 203 of draught animals in the herd, village price for draught power, a ploughing period of 38 days/year, 204 and weighed by 0.96 to account for the villages' actual area cultivated with draught power. Second, 205 the value of milk (lmi subscript) was calculated from the number of lactating animals in the herd, a 206 lactation period of 157 days for cattle and 93 days for goats (Ngongoni et al., 2006), the average milk 207 yield per animal and the village price for milk. Third, the value of manure (lma subscript) was 208 calculated from the number of animals, daily manure production (dry weight) estimated as 2.7% kg 209 bodyweight (Haileselassi et al., 2009), adjusted by utilization factor of 0.7 (i.e. the estimated 210 proportion of manure used for fertilizing the fields), and village price for manure. Fourth, the value of 211 the number of animals sold, given away and consumed (lh subscript) was calculated based on village 212 prices. Other important herd flows (births and mortalities) were factored in the annualized herd asset 213 (herd assets= herd size at the end of the year + herd size at the beginning of the year /2). Cost 214 components for livestock production included farmers estimated cash expenses for external inputs (le 215 subscript). Feed costs to maintain livestock condition during the dry period were factored in as 216 opportunity costs, even if farmers would not buy feed (If subscript). A 90 days dry season feeding 217 period was assumed; during the rainy season livestock feed entirely on rangelands (Masikati, 2011). 218 Farmers estimated that during this period livestock obtain about 40% of their daily feed requirements 219 (=0.4 x 2.5% bodyweight) from CR.

220

221 2.4 Economic trade-offs: The TOA-MD model

To calculate the economic trade-offs associated with biomass use, the Trade-Off Analysis model for
Multi Dimensional Impact assessment (TOA-MD) was used. TOA-MD is a parsimonious model that

224 simulates potential technology adoption rates and welfare impact across entire, heterogeneous farm 225 populations and for different types of households (Antle, 2011). In the TOA-MD each farmer operates 226 a specific production system and earns net returns per defined time period. When the production 227 system changes because of the adoption of an alternative technology or policy, the returns for each 228 farmer also change. Following this, technology adoption is modeled as the proportion of farmers who 229 would obtain a positive net return after correcting for the opportunity costs associated with the 230 technology (Antle and Valdivia, 2011).

231

232 This study expands available TOA-MD methods, by assessing the full values of the multiple crop and 233 livestock outputs and cross linkages within an integrated mixed crop-livestock farming system. We 234 estimated the monetized output values and valued the outputs used, consumed or sold at opportunity 235 costs. We assumed that the alternative systems (CA and maize-mucuna rotation) would affect the 236 maize and cattle activities, with cattle as main consumers of maize residues. The total cultivated land 237 would not change, and the other crop and livestock activities would not be affected.

- 238
- 239

2.4.1 Alternative options for biomass allocation

240 The current system (conventional tillage, no mulching, predominantly grazing of CR) was compared 241 with two alternative systems to quantify economic trade-offs of different CR uses: (1) CA on a third 242 of the maize land with different fertilizer applications; (2) crop-diversification by converting a third of 243 the maize land into a maize-mucuna rotation(Figure 2). The third of the area that could be allocated to 244 CA or mucuna was determined during feedback workshops with farmers.

245

246 **Conservation Agriculture option**

247 The comparison included different fertilizer use rates and subsidies, to better differentiate the impact

- 248 of CA and fertilizer use on farm net returns:
- 249 -S2a: CA with no fertilizer;
- 250 S2b: CA with the recommended fertilizer rates (132kg/ha NPKS) at full cost; and -
- 251 S2c: CA with the recommended fertilizer rates at subsidized rates. _

The expected effects of the CA treatments on maize grain and residue yields were determined using the 2009-11 Protracted Relief Program panel survey data (PRP, Nyamangara et al., 2013a). Average maize yields without CA treatments as assessed by the PRP survey (767kg/ha) were slightly above those obtained from the household survey (710kg/ha). According to PRP data, mulching without fertilizer application resulted in lower maize grain yields (518 kg/ha, 67.5%, relative yield), while mulching with fertilizer application increased maize grain yields (1760kg/ha, 229.5%, relative yield).

258

259 In the CA alternative, additional costs and benefits for maize and livestock production were included. 260 The crop function included additional costs for fertilizer application, distinguishing subsidized and 261 non-subsidized fertilizer (cfe subscript). Maize residues were allocated for mulching the CA land 262 (2t/ha, Naudin et al., 2012) (cmu subscript). Farmers with draught animals were assumed to invest in 263 the CA ripper mechanization, recently introduced to allow coverage of larger areas at relatively low 264 cost (25US\$ acquisition). The costs for purchasing the ripper were discounted over 5 years (cr 265 subscript). We also assumed that the draught power set free by CA ripper mechanization was used for 266 other fields. Farmers without cattle were assumed to use CA based planting basins which require 84.7 267 labor days per ha - an increase by 9 days per ha compared to the current system for farmers without 268 draught animals (cl subscript) compared to mechanized tillage that requires only 38.6 labor days/ha 269 (Nyamangara et al., 2013a). Retaining CR in the field as mulch is likely to require some protective 270 measure. Costs of protection were however not included, since crop fields are usually fenced with 271 local fencing material, and can be maintained using labour during the off-season.

272

Livestock production under the alternative systems was calculated with the LIVSIM (LIVestock
SIMulator, Rufino et al., 2009) model, calibrated for Zimbabwean conditions (Rufino et al., 2011).
LIVSIM simulates cattle production with a monthly time step based on breed-specific genetic
potential and feed intake, following the concepts of Konandreas and Anderson (1982), and taking into
account specific rules for herd management. Energy and protein requirements are calculated based on
AFRC (1993), whereas actual feed intake is simulated according to Conrad (1966). As a result of

mulching under CA there is lower CR availability during the dry season, increasing feed shortages,with repercussions on milk production, mortality and calving rates.

281

282 Mucuna option

Trade-offs associated with the three-year maize-mucuna rotation were calculated by substituting a third of the maize area with mucuna (Figure 2). Field experiments in research-managed conditions showed that at 3.3t/ha mucuna biomass production and with 30% of the biomass retained on the fields, maize yields increased by 67% in the following cropping season (Masikati, 2011). As a result of the limitations of smallholder households, we assumed that they will only achieve half of the researcher managed yield increase (i.e. a 34% increase in the subsequent maize yield). We assumed the other 70% of the mucuna biomass are used as livestock feed.

290

291 Introduction of mucuna generated a new yield component (cmucc subscript) as well as costs for using 292 the biomass as mulch (cmucm subscript) or livestock feed (cmucf subscript). Since prices were not 293 available, equivalent values were derived. The equivalent value of mucuna as mulch was derived from 294 its N content (2%, Masikati, 2011) in comparison to inorganic fertilizer (8%). We assumed that 295 realistically only 75% N is potentially available, and use this as basis for estimating the fertilizer 296 effect. The equivalent feed value was derived from its CP content (13-15%) in comparison to 297 commercial stock-feeds (17%). We used 75% of the feed value as a basis for estimating the feed 298 effect, acknowledging that commercial stock-feed is generally preferable. Extra labor costs for 299 production, harvesting and storage were not included, since mucuna requires similar investments as 300 conventional maize. As for CA the costs for protective measures to retain mucuna biomass on the soil 301 were also not included. Effects of the introduction of mucuna on livestock production were simulated 302 with the LIVSIM model. Simulated effects on livestock are entirely due to changes in feed availability 303 and, in particular in this case, also feed quality (energy and protein content).

304

The expected net returns from crop (Σ_c) and livestock (Σ_L) activities, base for the choice of alternative biomass allocations, were defined as follows, see also Figure 2:

307	
308	$(S1)R=\sum_{c}((P_{cg}Q_{cg})+P_{cr}(Q_{cr}(1-HI)/HI_{cr})-C_{ce}-(P_{cd}Q_{cd})-(P_{cma}Q_{cma}))$
309	$+\sum_{L}((P_{Id}Q_{Id})+(P_{Imi}Q_{Imi})+(P_{Ima}Q_{Ima})+(P_{Ih}Q_{Ih})-C_{Ie}-(P_{If}Q_{If}))$
310	
311	$(2a)R = \sum_{c} ((P_{cg} \Delta Q_{cg}) + P_{cr} (\Delta Q_{cr} (1 - HI) / HI_{cr}) - C_{ce} - (P_{cd} Q_{cd}) - (P_{cma} Q_{cma}) - (P_{cmu} Q_{cmu}) - (P_{cl} Q_{cl}) - P_{cr} (P_{cmu} Q_{cmu}) - (P_{cmu} Q_{cmu}) $
312	$+\sum_{l}(P_{ld} \triangle Q_{ld}) + (P_{lmi} \triangle Q_{lmi}) + (P_{lma} \triangle Q_{lma}) + (P_{lh} \triangle Q_{lh}) - C_{le} - (P_{lf} \triangle Q_{lf}))$
313	
314	$(2b,c)R = \sum_{C} ((P_{cg} \triangle Q_{cg}) + P_{cr}(\triangle Q_{cr}(1-HI)/HI_{cr}) - C_{ce} - (P_{cd}Q_{cd}) - (P_{cma}Q_{cma}) - (P_{cmu}Q_{cmu}) - (P_{cl}Q_{cl}) - P_{cr} - (P_{cfe}Q_{cfe}) - (P_{cmu}Q_{cmu}) - (P_$
315	$+\sum_{l}(P_{ld} \triangle Q_{ld}) + (P_{lmi} \triangle Q_{lmi}) + (P_{lma} \triangle Q_{lma}) + (P_{lh} \triangle Q_{lh}) - C_{le} - (P_{lf} \triangle Q_{lf}))$
316	
317	$(S3)R=\sum_{C}((P_{cg}\Delta Q_{cg})+P_{cr}(\Delta Q_{cr}(1-HI)/HI_{cr})+(P_{cmucc}Q_{cmucc})-C_{ce}-(P_{cd}Q_{cd})-(P_{cma}Q_{cma})-(P_{cmucm}Q_{cmucm})$
318	$+\sum_{l}(P_{ld} \triangle Q_{ld}) + (P_{lmi} \triangle Q_{lmi}) + (P_{lma} \triangle Q_{lma}) + (P_{lh} \triangle Q_{lh}) - C_{le} - (P_{lf} \triangle Q_{lf}))$
319	
320	# Figure 2 approximately here.
321	
322	4. Results
323	
324	4.1 Net returns: crops, livestock and farms
325	
326	In what follows, we first compare the net returns per crop production area unit and per tropical
327	livestock unit (TLU). We then aggregate and compare these net returns at farm level for the different
328	household types.
329	
330	Crop production
331	The current net returns of crop activities differ by types of households (Table 2). The net returns from
332	conventional maize production are highest for households with small cattle herds (1-8 cattle). These
333	farmers achieve higher yields and revenues at relatively low production cost. The households with no

cattle achieve medium net returns per ha maize; they have low revenues, and production costs are also
low. Farmers with large herds have the lowest net returns, because of high production costs for
external inputs and manure application. Similar results were found for the net returns from other
crops, which were higher than for maize for farms with small and large herds. Other crops also have
lower variations in revenues implying less risk.

339

340 # Table 2 approximately here.

341

The comparison of conventional maize production with the CA applications illustrates reduced net returns under CA without inorganic fertilizer application, due to reduced yields and revenues and increased costs for using the CR as mulch (Table 3). Net returns from CA with non-subsidized fertilizer application are similar to conventional production practices; whereas with subsidized fertilizer, farmers' net returns are 30% higher. Through positive effects on maize yields, fertilizer application can improve immediate food security, but high costs of (unsubsidized) external inputs reduce profitability.

349

The maize-mucuna rotation promises higher per ha net returns than the CA technologies. The higher revenues stem largely from high quality mucuna biomass as maize production and revenues are lower per aggregate unit crop area than under conventional practice due to land foregone from maize production. The costs of the maize-mucuna rotation also seem high, accounting for mucuna biomass used as mulch, although these are imputed in-kind costs for internal services within the system.

355

356 *#* Table 3 approximately here.

357

Figure 3 compares the net returns from alternative technologies on maize production for different types of farmers. For all farm types the maize-mucuna rotation seems the most profitable option as well as having less variation, i.e. less production risk associated with this technology. Farmers with small herds (1-8 cattle) have the highest net returns per unit land across the various technologies. For them mucuna can be an option of accessing high quality feed and mulch locally. Farmers without cattle might find the maize-mucuna rotation advantageous as compared to CA practices, because of increased revenues with limited investments. Farmers with large herds (>8 cattle) have the lowest net returns per unit land; for them expanding or exchanging mucuna (e.g. draught power for mucuna) is an option to reduce the costs for external inputs.

367

368 # Figure 3 approximately here.

369

370 Livestock activities

371 Net returns are higher per TLU cattle as compared to other ruminants, due to the multiple functions of 372 cattle (Table 4). The highest revenues are from draught power, milk and manure, less from off-take 373 (percentage of animals sold, consumed or given away in exchange for other benefits during a 1 year 374 observation period to the initial stock). Unlike for crops, the returns per TLU are higher for farmers with large herds, notably through higher milk production and off-take rates. In comparison, farmers 375 376 with small cattle herds benefit from their animals mostly through draught power. Their milk yields are 377 lower and they can not afford to sell and/or consume cattle as much as their neighbours with larger 378 herds. It is important to note that few of the farmers with small herds bought cattle to invest in 379 upgrading the cattle herd. However, farmers with small cattle herds or those without cattle derive 380 higher benefits per unit small ruminants than farmers with large cattle herds. They generate more milk 381 from small ruminants and they also have higher off-take rates from small ruminants. A number of 382 farmers invested in goats, which explains the low off-take rates, and is a strong indication that these 383 farmers are trying to move up the livestock ladder.

384 # Table 4 approximately here

385

Withdrawal of CR from conventional grazing to mulching has limited effects on livestock performance (Table 5). Net returns per unit cattle are about 10% lower under CA without fertilizer application, and similar under CA with fertilizer application than under conventional grazing. Supplementary feeding mucuna biomass raises cattle production, notably though increased milk yields and off-take, due to higher feed quality. Other effects associated with increased herd sizes are limited. Since we are looking at a one-year period, limited effects on herd sizes are to be expected. High standard deviations in Table 5 reflect variation across the farm types, especially milk yields and off-take in the mucuna scenario. Including the feed costs for mucuna biomass reduces the total net returns per unit cattle production. Since these costs are internal services, adding mucuna as feed may provide a viable livestock intensification option.

396

397 # Table 5 approximately here.

398

399 Farm level comparison

Table 6 aggregates the crop and livestock activities at farm level for scenarios with conventional and alternative allocations of CR. Farmers without cattle are extremely cash and resource constrained and they also have less land for farming. A greater share of their household income stems from off-farm activities (>50%). Compared to farmers without cattle, those with small and large cattle herds make about 7 and 14 times the aggregate returns from agricultural activities. The owners of large cattle herds derive the largest share of their income from livestock, and less than 20% from off-farm activities.

407

The CA scenario without fertilizer application results in reduced farm net returns. Poor households without cattle lose proportionally more - about 40% of their farm net returns. The effects of CA with fertilizer application are marginal on the net returns of the different farm types. If not subsidized, the fertilizer costs tend to reduce the farm net returns. The net returns in the subsidized fertilizer scenario are similar to conventional practices.

413

414	The maize-mucuna scenario suggests the largest potential for improvement. Farmers without cattle
415	can almost double their net returns. Those with cattle can increase net returns by about 30%, through
416	mucuna biomass surplus, which positively affects cattle productivity.
417	
418	# Table 6 approximately here.
419	
420	4.2 Economic trade-offs and impacts on poverty
421	
422	Here we assess the economic trade-offs of alternative CR uses for entire farms, also including off-
423	farm income activities (Figure 4). We compare potential welfare effects of alternative CR allocations
424	for the community and farm types.
425	
426	Figure 4 illustrates the results from TOA-MD analysis, aggregated for the entire farming population.
427	The proportion of farm households that is expected to improve their economic situation is located left
428	from where the curves cross the x-axis (= negative opportunity costs). Those farms make benefits up
429	to the amounts on the y-axis. The areas between curves and under the x-axis present the possible
430	benefits. The points right from where the curve crosses the x-axis represent the percentage of farms
431	that are expected not to adopt the technologies because they would lose up to the amounts on the y-
432	axis. Above the x-axis are the costs. For the majority of farms in Nkayi District the maize-mucuna
433	rotation is economically the most attractive option - up to 82% of the farm households would benefit
434	and might therefore be willing to adopt the maize-mucuna rotation. The maize-mucuna rotation would
435	provide on average net benefits of additional 269 US\$/farm. Fewer farms benefit from CA with
436	fertilizer application (46% in the subsidized and 37% in the non-subsidized scenario) and the average
437	net returns are less than under the current practices (a net loss of 44 US\$/farm in the non-subsidized
438	scenario, net loss of 21 US\$/farm in the subsidized scenario). The comparison further illustrates that
439	farm level effects of subsidizing fertilizer are marginal (small area between the curves CA-fertilizer,
440	non-subsidized and CA-fertilizer, subsidized). Only about 13% of the farmers would find some

advantage in adopting CA without fertilizer application; but on average this implies a net loss of 140
US\$/farm.

443

444 Figure 4 approximately here.

445

446 Figures 5 a-c and Table 7 disaggregate the results by farm type, reiterating the relative 447 unattractiveness of CA without fertilizer and the attractiveness of the maize-mucuna option. The maize-mucuna option is particularly attractive for the poor farmers without cattle (net benefits 85 448 449 US\$/farm), with 91% potentially adopting against 78% for the farmers with larger cattle herds. 450 Whether they will realize these benefits depends on whether they could generate revenue from 451 mucuna biomass sale/exchange with other farmers. In an environment where farmers' first priority is 452 producing food, reduced grain production might be a barrier for poor farmers to adopt this technology. 453 The CA with fertilizer application is particularly attractive to the intermediate group. More farms with 454 small herds would be self-sufficient in maize, 36% under the base scenario and 59% with fertilizer, 455 albeit with higher costs and risks involved in the purchase of inorganic fertilizer. Poor farmers with no 456 cattle would benefit from fertilizer use by improving their immediate food security situation. During 457 the observation year only 10% of the households were food self-sufficient, whereas fertilizer 458 application could raise this proportion to 18% of the households. Farmers with no cattle of their own 459 can spare their CR for mulch; although by restricting other cattle from grazing their CR they might 460 lose access to draught power exchange arrangements. The maize-mucuna rotation is associated with 461 reduced maize grain production (only 23% of the households are self-sufficient), but does not involve 462 external inputs. During dry years and maize failure farmers can harvest at least some mucuna biomass 463 for supplementary feed. Considering that these farm households are also extremely cash limited and 464 vulnerable, mucuna biomass through local seed multiplication can support these farmers to buffer dry 465 season feed and food shortages. Trade offs are highest for farms with large cattle herds. Greater 466 variation in net returns implies higher risks for these farmers, for either of the technologies (Figure 5c, 467 Table 7). As they are more livestock oriented and own more land than their neighbors, they would 469 security needs through sales of livestock. 470 471 # Figure 5, a-c approximately here. 472 473 The TOA-MD also simulates the effects of the adaptation strategies on poverty rates in a given farm population. According to the assumptions in this assessment, currently about 90% of the population 474 475 lives on less than 1 US\$ per person per day (all households with no livestock and small herds, and 476 70% of those with large herds, Table 7). The effects of the simulated CA-options on poverty reduction 477 are extremely limited. Maize-mucuna technologies could drop the overall poverty rate to around 78%, 478 although primarily benefiting those few farmers with large cattle herds, and overall poverty would 479 remain high. 480 481 # Table 7 approximately here. 482 483 5. Discussion 484 5.1 Trade-offs and profitability of CR allocation in mixed smallholder farming systems 485 486 The study results support the argument that trade-offs and profitability should be considered at farm 487 level for better-informed discussions and decisions on how crop-livestock systems can be intensified 488 in more sustainable ways (Pretty et al., 2011). Taking into account the complexity of crop and 489 livestock activities in farming systems like those in Nkayi, this study illustrates that biomass 490 constraints and trade-offs between CR uses for feed and mulch can be reduced. 491 492 The quantification of net returns and economic trade-off analysis has several limitations, which might 493 lead to overestimating the expected benefits from alternative technology options (Claessens et al., 494 2009; Claessens et al., 2012). We combined the ex-ante modeling with stakeholder consultation at

generate large volumes of supplementary feed under the maize-mucuna option, and sustain their food

468

feedback workshops to gain confidence about the implications of the modeling results (Homann-KeeTui et al., 2013). The limitations were addressed as follows:

497 - Quantification of non-monetary values: To account for the intrinsic services that crop and
 498 livestock production provides and considering absence/weakness of functional markets, systems
 499 products were valued based on simplifying assumptions and farmer estimations.

Causal relations and feedbacks of alternative biomass enhancing options in these complex
 systems: This was partly solved by using the TOA-MD approach, combining different data
 sources and farm components in order to assess the economic trade-offs of biomass allocation at
 opportunity costs.

Farmers' preferences on the adoption of alternative options: Even if the biomass enhancing
 technologies seem to improve overall farm productivity and profitability, farmers might be
 reluctant to adopt them. A close interaction with stakeholders to design and verify the potential
 adoption of alternative options is needed.

Exogenous factors that inhibit adoption: Barriers that influence the context in which the biomass
 enhancing technologies are disseminated were discussed with stakeholders at feedback
 workshops. Stakeholders explained key factors required to enable the widespread adoption of
 economically rational technologies.

Inter- and intra-annual variation in rainfall and rainfed crop production: We used an average
 production year as the basis for the simulations. Seasonal variation in production and prices were
 not taken into account. Interpretation of results should include a consideration that high frequency
 of drought years implies high risk for investments, especially for external inputs.

Accounting for labor: Quantification of labor in crop and livestock activities was beyond the
 scope of this study. Stakeholders confirmed that most activities are based on family labor and
 focus on crop production.

519

520 The results from economic modeling provide important insights on the comparative advantages of 521 technical alternatives. Although maize is nearly universally grown and the main food staple in the 522 study area, yields and returns are low. Farmers with small herds can obtain higher maize yields and revenues at reduced costs. There seems to be room for farmers with larger herds to achieve about 30-40% increases in maize revenues, and up to three-fold higher net returns if they use their resources more efficiently. Our analysis also shows that the returns to other crops per unit area are higher than for maize, leading to the conclusion that the promotion of dual-purpose legumes merits new attention. Off-farm income provides an important complement, and income from cattle is particularly important for medium to large farms.

529

530 Considering the dominance of maize in this area, motivated by food preference and stronger support, 531 it is important to find cost-effective options for increasing the net returns from maize. Under the 532 current specification, maize under CA without fertilizer is not an attractive option, given lower yields 533 and higher costs compared to farmers' current maize practices. Conservation agriculture with 534 subsidized fertilizer benefits almost 50% of the farm population in terms of immediate food security 535 and economically.

536

537 The maize-mucuna rotation shows potentially highest economic benefits, with positive feedbacks at 538 the farm-level, including organic fertilizer, supplementary feed and a source of income. Masikati et al. 539 (2013) established that mucuna can contribute to substantially higher yields of the subsequent maize 540 crop. Complete legume biomass removal can however lead to yield penalties (Mupangwa and 541 Tierfelder, 2013). The potential value of mucuna as high protein livestock supplementary feed has 542 been established earlier (Maasdorp and Titterton, 1997; Pengelly et al., 2004). Murungweni et al. 543 (2004) found the nutritional quality of mucuna biomass comparable with commercial stock feeds in 544 dairy and cattle pen fattening diets (15% and 14% CP respectively). Feeding mucuna can also replace 545 maize residues used for feed and avail more maize residues for soil amendment. While access to 546 mucuna seed has been a challenge for mucuna production in semi-arid Zimbabwe, recent projects 547 introduced mucuna seed multiplication by smallholder farmers, also on small-scale irrigation land 548 (ICRISAT reports). More land is being converted to forage production as farmers realize that mucuna 549 provides quality biomass for supplementing livestock when conventional crop harvests often fail. 550 Farmers have started selling mucuna seed to other farmers and development organizations. They

551 scored mucuna seed production higher than conventional crops for income generation and risk 552 management (dito). Adoption of mucuna however will depend on a careful assessment of farmers' 553 willingness to invest in feed instead of food, the local feed demand and feed transactions between 554 farmers. Less land under maize and cultivating mucuna as a forage could then generate higher net 555 returns per unit land than conventional maize. Further research is required also to establish whether 556 mucuna's prospects are a product of somewhat artificial demands created by the development 557 community or are genuinely viable in the real world of resource-poor famers without development 558 support.

559

560 In the current specification, maize with CA appears viable only with fertilizer. This presents a major 561 challenge given the high costs associated with fertilizer application and other external inputs such as 562 improved seed or herbicides. Fertilizer application has been identified as an indispensable but often 563 missing element in CA technologies, for greater food production and more residue biomass for soil 564 cover (Vanlauwe et al., 2014). Most CA studies focus on productivity criteria, but do not disclose the 565 full costs involved for farmers if CA was not subsidized or supported by development and relief 566 operations (Mazvimavi and Twomlow, 2009; Nldovu et al., 2013). With declining soil fertility, high 567 costs and inaccessibility of inorganic fertilizer, the challenge remains to make the external inputs 568 available to farmers on a sustainable basis. Apart from fertilizer, high labor demands for weeding and 569 land preparation also challenge the large-scale adoption of CA in an environment where 570 mechanisation or herbicides are not available to farmers in the mid-term (Ndlovu et al., 2013).

571

Farmers manage crop-livestock interactions to reduce biomass trade-offs (Valbuena et al., 2012). In Nkayi, through collection and storage of CR farmers try to reserve some of the residues for the critical dry season period and improve the nutritional value of the residues. Historically CR are considered to be community resources. Farmers open the crop fields after grain harvest for the communities to let their animals graze on the CR. Reserving more CR implies that CR are becoming a private resource of economic value (Sibanda et al., 2011). Feeding CR to livestock increases the availability of manure, which can contribute to maintaining and increasing crop yields. Feeding CR to draught power animals enables crop intensification. Establishing these linkages within individual households and through reciprocal arrangements within communities and eventually markets would support sustainable integrated crop-livestock systems. Whereas crop sales remain insignificant in the study area, households sell livestock and reinvest into agricultural production, e.g. to acquire fertilizer or feed. Livestock markets could serve as a platform to stimulate reinvestments into agricultural production, and even encourage fodder markets, with the overall result being increased farm productivity (Duncan et al., 2013).

586

An analysis of the nature and potential options to reduce economic trade-offs needs to include the 587 588 levels of resource endowments among smallholder households. The different types of households in 589 Nkayi experience trade-offs and benefits differently. In the medium term, once fodder markets are 590 established, fodder seed multiplication and/or biomass production bears the potential of a strong niche 591 market and low cost income opportunity for resource-poor farmers. Since these farmers make higher 592 net returns on crops other than maize, diversification into other legume crops should be promoted. 593 Households with small herds benefit from CA, but the economic benefits from maize-mucuna rotation 594 would be greater. Using high-quality mucuna biomass they can sustain the crop-livestock synergies, 595 and produce more on the limited land while reducing reliance on external inputs. Households with 596 large herds and more access to land and capital tend to focus on cattle production. Converting more 597 land to mucuna is an option for them to substitute CR and reduce the costs for external inputs like 598 fertilizer and animal feed.

599

600 5.2 Preconditions for sustainable intensification of CR usage

Practical approaches to enhance biomass supply and use efficiency should comprise combinations of technologies that strengthen the coupling between crops and livestock, stimulated by the right incentives (Baudron et al., 2014). Promoting combinations of technologies is thus insufficient; socioeconomic processes are required through which major barriers to sustainable intensification of mixed smallholder farming systems can be removed (The Montpellier Panel, 2013). While the barriers inherent to the biomass trap may appear common to many other parts of sub-Saharan Africa, addressing them requires context-specific solutions that involve innovative public support and links to
the private sector (Mc Dermott et al., 2010). Stakeholder consultation in Nkayi District identified the
following technical and institutional priorities for improvement:

Poor access to reliable supply of inputs and services and relevant knowledge about crop and
livestock production: While support given to CA-based agriculture has improved farmers' access
to extension, most farmers do not have the knowledge to manage, process and use alternative
crops. Even the extension system itself does often not have the adequate knowledge to act as an
agent of change. More integrated crop-livestock extension services are required to assist farmers
in building their crop and livestock assets. Dual-purpose legumes and fodder technologies should
also be mainstreamed in extension messages.

617 Poor access to crop and livestock input and output markets: Market development should 618 stimulate diversification into alternative crop and livestock activities. Studies have shown that in 619 reaction to improved livestock markets farmers increased off-takes and started investing in 620 productivity enhancing technologies and bought stock feed (ICRISAT reports). Supplementing 621 purchased feed through local production of e.g. mucuna offers opportunities for fodder markets. 622 The more farmers will be able to afford farming inputs, the more investors will be attracted to 623 supply inputs locally. Improved access to seed and fertilizer, with conducive government 624 policies towards affordable prices, appear indispensable requirements now for CA applications 625 in such semi-arid settings.

Lack of stakeholder coordination: Collective action among stakeholders is important – to link
 farmers to existing and new markets, ensure relevant support services and improved capacity to
 adjust to changing requirements, e.g. better preparedness to reorganize the activities in case of
 droughts or other shocks, or better ability to respond to new market opportunities. Stakeholder driven processes should play a much greater role for developing an attractive environment for
 technology adoption and incentives for market development and participation.

632

633 5.3 Beyond trade-offs: potential effects on food security and poverty

634 While promoting sustainable intensification options, we should acknowledge that from an entire farm 635 perspective, the economic effects of the biomass enhancing technologies are often small. The study 636 confirms that in Nkayi single technologies may improve immediate food security, but increasing 637 agricultural production may only have a modest impact on the total farm income. Small farm sizes (on 638 average < 2 ha) and low net returns from crop production (104 US\$/ha for maize and 124 US\$/ha for 639 other crops) – comparable with Harris and Orr (2013) – do not allow farming families to adequately 640 live from crop production alone. This study has shown that farmers generate substantially higher net 641 returns by combining crop and livestock production. However, even when off-farm income was included, about 90% of the farm population was still below the poverty line. The most promising 642 643 alternative technologies only reduced poverty among the top 25% of the farm households. The 644 extremely high poverty rates can be explained by the study area and the particular condition of 645 Zimbabwe during the study period – the second year after a major economic crisis with very low monetary transactions and limited off-farm incomes. The limited effect of CA and maize-mucuna 646 647 technologies on the livelihoods of poor households and stronger effects for households with larger 648 cattle herds seem plausible. More comprehensive approaches are needed to strengthen processes 649 towards diversification of mixed farming systems and enhanced markets and create incentives for re-650 investments into the rural economies.

651

652 6 Conclusions

653 This study combines multiple sources of data and models in a trade-off analysis for different farm 654 types in order to explore the economic feasibility of biomass enhancing technologies in the context of 655 mixed farming systems in semi-arid Zimbabwe. It offers good insight into the potential and 656 profitability of alternative biomass enhancing technologies. Technologies that strengthen crop and 657 livestock production and the interactions while reducing dependency on external resources are 658 available, but need to be better integrated and barriers to their adoption addressed, including 659 profitability and risk considerations. In the medium term, in an enabling context, alternative biomass 660 systems can strengthen the coupling of crop and livestock activities at the household and landscape 661 level. To realize potential benefits from enhanced biomass availability and use, it is critical to improve the contextual conditions that will enable farmers to invest in and make appropriate returns on the
investments. This will include processes that inform farmers and decision makers on the economic
trade-offs and demonstrate the returns on fodder and CA technologies for different farm types.

665

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678 6 References

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Items	Units	0 cattle	1-8 cattle	> 8 cattle	Т	otal
		Mean	Mean	Mean	Mean	Std. Dev.
Proportion in community	%	42.5	38.1	19.4		
Household members	people	5.9	6.9	7.4	6.6	2.5
Proportion of female	%	27.9	31.1	22.6	28.1	
headed households						
Net returns maize	US\$/farm	60	163	63	99	122
Net returns other crops	US\$/farm	32	58	51	45	53
Net returns cattle	US\$/farm	0	485	1363	449	596
Net returns other livestock	US\$/farm	9	19	15	14	29
Off-farm income	US\$/farm	223	292	295	263	219
Farms with maize	%	98.5	100.0	100.0	100.0	0.1
Maize area	На	1.1	1.4	1.8	1.3	0.8
Maize grain yield	kg/ha	497	826	675	657	531
Farms with small grains	%	23.5	32.8	41.9	30.6	46.2
Small grain area	Ha	0.7	0.7	1.0	0.8	0.8
Small grain yield	kg/ha	393	726	327	512	622
Farms with legumes	%	33.8	49.2	48.4	42.5	49.6
Legume area	ha	0.4	0.4	0.5	0.4	0.3
Legume yields	kg/ha	452	722	388	557	541
Cattle *	TLU	0	5.4	13.9	4.7	4.7
Other livestock *	TLU	0.3	0.5	1.6	0.6	0.9

Table 1. Base system characteristics of 160 mixed farms used for the analysis, by farm types, in Nkayi district

* Herd size: Cattle = 1.14 TLU, donkeys = 0. 5 TLU, goats and sheep =0.11 TLU

Items		0 c	0 cattle	1-8 (1-8 cattle	> 8 <	> 8 cattle	Sign
		Mean	Std.Dev.	Mean	Std.Dev.	Mean	Std.Dev.	
				M	Maize			
Revenue	Grain	93	81	165	125	129	76	p<0.05
	Residues	28	24	50	37	39	23	p<0.05
	Total	121	105	215	162	168	98	p<0.01
Var. Cost	Ext. Inputs	15	13	29	24	50	49	p<0.01
	Draft pwr.	20	11	22	11	27	15	n.s.
	Manure	4	11	7	11	44	53	p<0.01
	Total	38	19	58	34	123	78	p<0.01
Net return		83	102	156	163	45	92	p<0.05
				Othe	Other crops			
Revenue	Grain	76	35	124	51	91	50	n.s.
	Residues	42	29	53	32	36	26	n.s.
	Total	139	49	178	69	127	70	n.s.
Var. Cost	Draft pwr.	22	15	28	18	33	17	n.s.
Net return		116	53	150	63	94	68	p<0.05

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Items		Farmer	Farmer practice	CA , no	CA, no fertilizer	CA fertilizer,	·tilizer,	Maize – mu	Maize – mucuna rotation
		ಲ	(S1)	S)	(S2a)	non-subs. (S2)	non-subs. (S2b)/ subs. (S2c)	•	(S3)
	I	Mean	Std.Dev.	Mean	Std.Dev.	Mean	Std.Dev.	Mean	Std.Dev.
Revenue	Grain	127	104	114	93	183	149	100	81
	Res./Muc.bm	38	31	34	28	55	45	173^{1}	24
	Total	166	135	148	120	237	193	273	105
Var. Cost	Ext. Input	27	30	27	30	27	30	27	30
	Draft pwr.	22	12	15	8	15	8	22	12
	Manure	11	24	11	24	11	24	11	24
	+ CA/mulch	0	0	34	0	65/47	0	46	0
	Total	62	52	60	49	122/104	50	106	54
Net return		104	134	51	103	107/126	171/173	166	111

¹ Including about US\$ 142 revenue from Mucuna biomass and US\$ 31 from maize residues.

Items		0 0	cattle	1-8	cattle	> 8	cattle
		Mean	Std.Dev.	Mean	Std.Dev.	Mean	Std.Dev.
			Cattle	2			
Revenue	Draft pwr.			47	32	35	16
	Milk			22	28	37	24
	Manure			20	17	23	11
	Off-take			1	54	16	27
	Total			96	38	110	39
Var. Cost	CR feed *			9		9	
	Ext. input			1	1	1	1
	Total			10	1	10	1
Net return	Total			87	32	100	39
			Other rum	inants			
Revenue	Milk	34	41	20	35	3	11
	Manure	13	13	16	14	15	11
	Off-take	-2	144	7	88	13	43
	Total	67	105	56	62	37	37
Var. Cost	CR feed*	9		9		9	
	Ext. input	1	1	1	1	1	1
	Total	10	1	10	1	10	1
Net return	Total	57	106	45	62	26	37

Table 4. Budget analyses for conventional cattle and other ruminants in Nkayi district, by farm types, US\$ per TLU

*Feed costs per TLU are the same across cattle and goats, due to the assumptions made on feed intake.

Items		Farmer practice (S1	ractice (S1)	CA no fert	CA no fertilizer (S2a)	CA fertiliz	CA fertilizer (S2b, 2c)	Maize-mucun	Maize-mucuna rotation (S3)
	I	Mean	Std.Dev.	Mean	Std.Dev.	Mean	Std.Dev.	Mean	Std.Dev.
Revenue	Draft pwr.	43	28	43	29	44	30	45	30
	Milk	27	27	21	22	24	25	44	50
	Manure	21	15	18	13	19	13	18	13
	Off-take	9	47	9	12	6	19	36	72
	Total	76	39	88	28	96	32	144	83
Var. Cost	CR feed	6		6		6		9	
	Mucuna feed	0		0		0		11	
	Ext. Inputs	1	—	-		1	1	-1	1
	Total	10	1	10	1	10	1	18	1
Net return		88	35	78	28	87	28	128	83

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ev.MeanStd. Dev.Mean01961392830945396794644712927946447129279464471292779245774040710420177953026031427170539	Items	Farm Items Farmer Practice (S1) CA, no fe types	Farmer Practice (S1)	CA, no fer	no fertilizer (S2a)	CA fertilizer, non-subs. (S2b)	tilizer, s. (S2b)	CA fei subs.	CA fertilizer, subs. (S2c)	Maize - rotati	Maize – mucuna rotation (S3)
Revenue152108141101196139196139283Var. cost5133804511364945396Net return10092608682125100123186Revenue8824237863559464479464471292Var. cost15471183742258720179245Var. cost1535983157164077404071042Net return7233815983157164077404071042Net return733781528463177953017795302603Var. cost3781524031624591844271705302603		Mean	Std. Dev.	Mean	Std. Dev.	Mean	Std. Dev.	Mean	Std. Dev.	Mean	Std. Dev.
Var. cost5133804511364945396Net return10092608682125100123186Revenue8824237863559464479464471292Revenue88271183742258720179245Var. cost15471183742258770179245Net return7233815983157164077404071042Revenue18716271508463177953017795302603Var. cost3781524031624591844271705302603	Revenue	152	108	141	101	196	139	196	139	283	149
Net return 100 92 60 86 82 125 100 123 186 Revenue 882 423 786 355 946 447 946 447 1292 Var. cost 154 71 183 74 225 87 201 79 245 Net return 723 381 598 315 716 407 79 245 Net return 723 381 598 315 716 407 740 407 1042 Var. cost 1871 627 1508 463 1779 530 1779 530 2603 Var. cost 378 152 459 184 427 170 530 530	Var. cost	51	33	80	45	113	64	94	53	96	58
Revenue 882 423 786 355 946 447 946 447 1292 Var. cost 154 71 183 74 225 87 201 79 245 Var. cost 154 71 183 74 225 87 201 79 245 Net return 723 381 598 315 716 407 740 407 1042 Revenue 1871 627 1508 463 1779 530 1779 530 2603 Var. cost 378 152 403 162 459 184 427 170 539	Net return	100	92	09	86	82	125	100	123	186	101
Var. cost 154 71 183 74 225 87 201 79 245 Net return 723 381 598 315 716 407 740 407 1042 Revenue 1871 627 1508 463 1779 530 1779 530 2603 Var. cost 378 152 403 162 459 184 427 170 539	Revenue	882	423	786	355	946	447	946	447	1292	700
Net return 723 381 598 315 716 407 740 407 1042 Revenue 1871 627 1508 463 1779 530 1779 530 2603 Var. cost 378 152 403 162 459 184 427 170 539	Var. cost	154	71	183	74	225	87	201	79	245	94
Revenue 1871 627 1508 463 1779 530 530 2603 Var. cost 378 152 403 162 459 184 427 170 539	Net return	723	381	598	315	716	407	740	407	1042	659
Var. cost 378 152 403 162 459 184 427 170 539	Revenue	1871	627	1508	463	1779	530	1779	530	2603	1154
	Var. cost	378	152	403	162	459	184	427	170	539	188
Net return 1491 559 1103 389 1317 442 1350 441 2062	Net return	1491	559	1103	389	1317	442	1350	441	2062	1057
8<	1 1	Revenue Var. cost Net return Revenue Var. cost Net return Revenue Var. cost		Mean 152 51 100 882 154 154 723 1871 378 378	Mean Std. Dev. 152 108 51 33 51 33 51 33 100 92 882 423 154 71 723 381 1871 627 378 152 1401 550	Mean Std. Dev. Mean 152 108 141 51 33 80 51 33 80 51 33 80 51 33 786 882 423 786 154 71 183 723 381 598 378 152 403 141 550 1103 142 550 1103	Mean Std. Dev. Mean Std. Dev. M 152 108 141 101 N 51 33 80 45 86 51 33 80 45 86 100 92 60 86 355 9 882 423 786 355 9 74 2 154 71 183 74 2 74 2 74 2 73 381 598 315 74 2 1 1 187 627 1508 463 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 <td>Mean Std. Dev. Mean Std. Dev. Mean 152 108 141 101 196 51 33 80 45 113 51 33 80 45 113 60 86 82 946 882 423 786 355 946 154 71 183 74 225 723 381 598 315 716 733 381 598 315 716 733 381 598 315 716 733 381 598 315 716 741 578 463 1779 378 1791 550 1103 380 1317</td> <td>Mean Std. Dev. Mean Std. Dev. Dev <thdev< th=""></thdev<></td> <td>Mean Std. Dev. Mean Std. Dev. Mean Std. Dev. Mean 152 108 141 101 196 139 196 51 33 80 45 113 64 94 51 33 80 45 113 64 94 100 92 60 86 82 125 100 882 423 786 355 946 447 946 154 71 183 74 225 87 201 723 381 598 315 716 407 740 733 381 598 315 716 407 740 1871 627 1508 463 1779 530 1779 427 1401 550 1103 380 1317 442 1350</td> <td>Mean Std. Dev. Mean Std. Dev Mean Std.</td>	Mean Std. Dev. Mean Std. Dev. Mean 152 108 141 101 196 51 33 80 45 113 51 33 80 45 113 60 86 82 946 882 423 786 355 946 154 71 183 74 225 723 381 598 315 716 733 381 598 315 716 733 381 598 315 716 733 381 598 315 716 741 578 463 1779 378 1791 550 1103 380 1317	Mean Std. Dev. Dev Dev <thdev< th=""></thdev<>	Mean Std. Dev. Mean Std. Dev. Mean Std. Dev. Mean 152 108 141 101 196 139 196 51 33 80 45 113 64 94 51 33 80 45 113 64 94 100 92 60 86 82 125 100 882 423 786 355 946 447 946 154 71 183 74 225 87 201 723 381 598 315 716 407 740 733 381 598 315 716 407 740 1871 627 1508 463 1779 530 1779 427 1401 550 1103 380 1317 442 1350	Mean Std. Dev. Mean Std. Dev Mean Std.

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	0 cattle	1-8 cattle	> 8 cattle	Total
Potential adoption rate (% of farm population)				
CA, no fertilizer (S2a)	8	21	8	13
CA fertilizer, non subsidized (S2b)	35	48	23	37
CA fertilizer, subsidized (S2c)	50	55	27	46
Maize – Mucuna rotation (S3)	91	77	78	82
Potential net losses from technology adoption (US	\$ per farm)			
CA, no fertilizer (S2a)	40	126	389	140
CA fertilizer, non subsidized (S2b)	17	7	174	44
CA fertilizer, subsidized (S2c)	0	-17	142	21
Maize – Mucuna rotation (S3)	-85	-318	-571	-268
Poverty rate (% of farm population living on < 1U	S\$ per day)			
CA, no fertilizer (S2a)	100	99	70	90
CA fertilizer, non subsidized (S2b)	100	99	67	89
CA fertilizer, subsidized (S2c)	100	98	65	88
Maize – Mucuna rotation (S3)	100	82	38	78

Table 7. Economic indicators for impact of CA technologies and maize-mucuna rotation in Nkayi district, by farm types

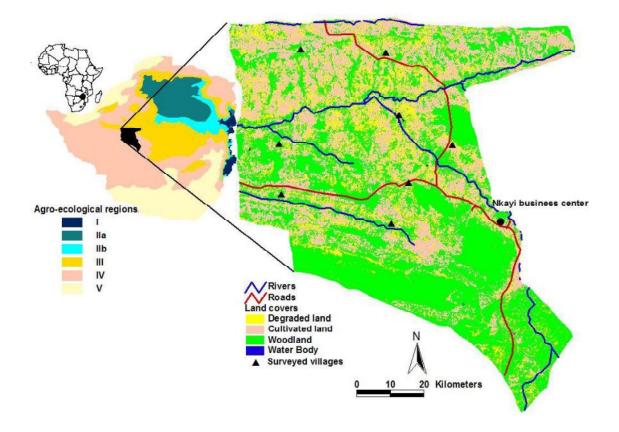
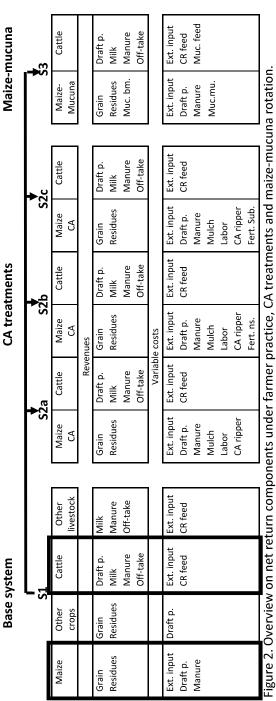


Figure 1. Study site Nkayi district in West Zimbabwe and agro-ecological regions in Zimbabwe (ICRISAT GIS o ce, 2C).



Note: Other crops and other livestock in base system assumed unaffected. CA treatments: 2a: without fertilizer; 2b: with non-subsidized fertilizer; 2c: with subsidized fertilizer.

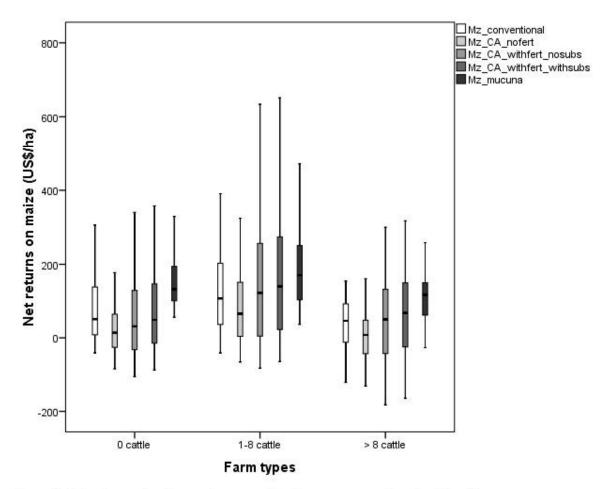


Figure 3. Net returns of maize produc on under alterna ve scenarios of residue /biomass a by farm types in Nkayi district, US\$ per ha cul vated la

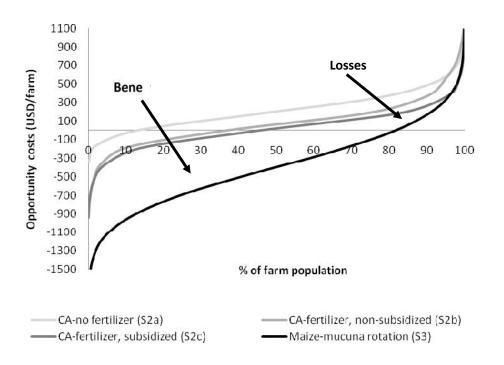
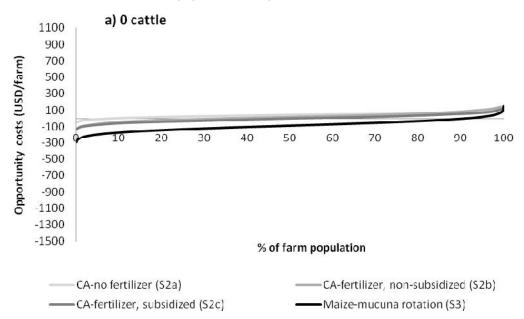


Figure 4. Simulated economic bene ts and losses from the adop on of CA an -mucuna rota on across the en re farm popula on, Nkayi district, Zin



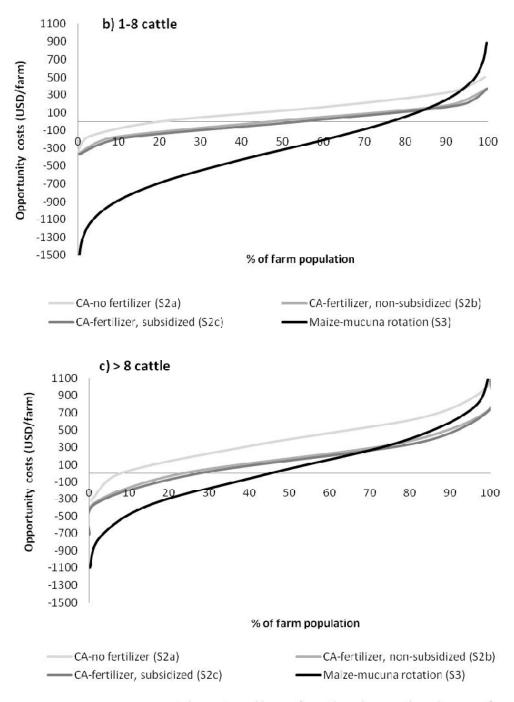


Figure 5, a-c. Simulated economic bene ts and losses from the adop on the adop on of maize-mucuna rota on, by farm types, Nkayi district, Zimba

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