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7	Title: Earthworms and litter management contributions to ecosystem services in a tropical
8	agroforestry system
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1 Abstract

2 The development of sustainable agricultural systems depends in part upon improved 3 management of non-crop species to enhance the overall functioning and provision of services by 4 agroecosystems. To address this need, our research examined the role of earthworms and litter 5 management on nutrient dynamics, soil organic matter (SOM) stabilization, and crop growth in 6 the Quesungual agroforestry system of western Honduras. Field mesocosms were established 7 with two earthworm treatments (0 vs. 8 Pontoscolex corethrurus individuals per mesocosm) and 8 four litter quality treatments: 1) low quality Zea mays, 2) high quality Diphysa robinioides, 3) a 9 mixture of low and high quality litters, and 4) a control with no organic residues applied. Mesocosms included a single Z. mays plant and additions of ¹⁵N labeled inorganic nitrogen. At 10 11 maize harvest, surface soils (0-15 cm) in the mesocosms were sampled to determine total and available P as well as the distribution of C, N and ¹⁵N among different aggregate-associated 12 13 SOM pools. Maize plants were divided into grain and non-grain components and analyzed for total P, N and ¹⁵N. Earthworm additions improved soil structure as demonstrated by a 10% 14 15 increase in mean weight diameter (P = 0.024) and higher C and N storage within large 16 macroaggregates (> 2000 μ m; P < 0.05). A corresponding 17% increase in C contained in 17 microaggregates within the macroaggregates (P = 0.033) indicates that earthworms enhance the 18 stabilization of SOM in these soils; however, this effect only occurred when organic residues 19 were applied. Earthworms also decreased available P (P < 0.001) and total soil P (P = 0.024), 20 indicating that earthworms may facilitate the loss of labile P added to this system. Earthworms 21 decreased the recovery of fertilizer derived N in the soil (P < 0.006), but increased the uptake of ¹⁵N by maize by 7% (P = 0.018). Litter treatments yielded minimal effects on soil properties and 22 23 plant growth. Our results indicate that the application of litter inputs and proper management of

1	earthworm populations can have important implications for the provision of ecosystem services
2	(e.g., C sequestration, soil fertility, and plant production) by tropical agroforestry systems.
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- 5 Keywords: agroforestry, litter quality, nitrogen dynamics, phosphorus, *Pontoscolex corethrurus*,
- 6 Quesungual, soil structure, soil organic matter, Zea mays

1 Introduction

2 Agricultural intensification throughout the tropics has reduced the multitude of ecosystem 3 services that agricultural systems have traditionally provided, and has led to the degradation of 4 soils and, therefore, their capacity to support life (Giller et al. 1997, Tilman et al. 2002). In light 5 of this issue, research on sustainable agroecosystem management has placed great emphasis on 6 the efficient use of nutrient resources, the maintenance of soil organic matter (SOM) and the 7 improved management of soil biota in agroecosystems (Sanchez 2002, Barrios 2007). 8 Agroforestry, in particular, has been offered as a valuable means for enabling small farmers in 9 the tropics to supply nutrients for crop growth, while contributing to the long-term fertility and 10 improved biological functioning of soils (Young 1997). In the rural mountains of western 11 Honduras, the Quesungual slash-and-mulch agroforestry system has emerged as a highly 12 successful alternative to traditional slash-and-burn practices that continue to dominate much of 13 the region. In place of burning, this system retains native trees, interspersed with crops, as a 14 source of green manure that is left as mulch on the soil surface (Hellin et al. 1999, Welchez et al. 15 2008). The lack of burning and continual application of organic inputs not only appears to 16 reduce erosion and improve long-term productivity (Hellin et al. 1999, Rivera Peña 2008), but 17 also promotes soil biological activity through reduced disturbance, improved soil moisture and 18 an expanded detritivorous resource base (Hendrix et al. 1986, Badejo et al. 1995). Earthworms, 19 in particular, appear to benefit from Quesungual management (Pauli 2008) and likely have 20 important, yet poorly quantified impacts on SOM and nutrient dynamics (Lee 1985). Sound 21 management of tropical agroecosystems depends on improved understanding of how alternative 22 management practices (e.g., mulching instead of burning) affect non-target organisms (e.g., soil 23 fauna) and their influence, in turn, on ecosystem functioning and service provision. This study

addresses these issues by focusing on the role of earthworms in the Quesungual system and how
 they interact with the management of organic inputs to influence key agroecosystem services
 (plant production, soil fertility, SOM stabilization) and ultimately the long-term sustainability of
 the system.

5 Often designated as ecosystem engineers (Jones et al. 1994), earthworms are known to 6 influence a number of key soil processes. They can accelerate the decomposition and 7 mineralization of nutrients from organic residues via comminution and incorporation of organic 8 materials into the soil (Lee 1985, Bohlen et al. 1997, Fragoso et al. 1997, Villenave et al. 1999). 9 They also affect these processes indirectly by altering the structure, aeration, and movement of 10 water in soils (Brown et al. 2000). Additionally, earthworms can facilitate the loss of soil 11 nutrients via increased leaching and gaseous losses of N (Parkin and Berry 1999, Dominguez et 12 al. 2004). Despite the potentially beneficial effects on plant growth, the overall influence of 13 earthworms on nutrient cycling and SOM dynamics remains unclear.

14 Factors regulating the turnover of SOM are of vital concern due to the significant role 15 that organic matter plays in maintaining soil fertility and agroecosystem productivity (Craswell 16 and Lefroy 2001). Furthermore, SOM represents a vast pool of C (Schlesinger 1997) with far 17 reaching implications for global C dynamics and climate change. Research examining the 18 impacts of management on SOM has largely focused on changes to soil structure and the 19 physical stabilization of organic matter within soil aggregates (Paustian et al. 1997, Bronick and 20 Lal 2005). Microaggregates (53-250 µm) are thought to be especially relevant to SOM 21 stabilization due to their high stability and slow turnover time (Angers et al. 1997). Although 22 generally less stable, macroaggregates (>250 μ m) often contain higher concentrations of organic 23 matter (Tisdall and Oades 1982) and are thought to provide a site for the formation of new

microaggregates (Oades 1984, Golchin et al. 1994, Six et al. 2000). Thus, macroaggregates and 1 2 the microaggregates formed within them offer a sensitive indicator of management and 3 environmental impacts on SOM dynamics (Six et al. 2002, Denef et al. 2007). Although the 4 formation of microaggregates within macroaggregates has originally been viewed as a 5 microbially-mediated process (Oades, 1984; Golchin et al., 1994), earthworms appear to offer an 6 alternative route for the stabilization of newly added residues in microaggregates within 7 macroaggregates (Bossuyt et al., 2004). Through preferential selection of high C substrates and 8 the complete reorganization of soil structure during gut transit (Shipitalo and Protz 1989, Barois 9 et al. 1993), earthworms appear to rapidly incorporate organic matter into microaggregates 10 formed within their casts (Guggenberger et al. 1996, Bossuyt et al. 2004, Pulleman et al. 2005). 11 Thus, although earthworms have traditionally been thought to accelerate rates of organic matter 12 decay in soils, their influence on soil structure may ultimately lead to the net stabilization of 13 SOM in the long-term (Martin 1991, Brown et al. 2000). Further research is needed to 14 understand the full extent of this phenomenon and understand how earthworms might interact 15 with management to influence C stabilization.

16 Extensive research has focused on the management of organic resources to improve soil 17 fertility and crop growth (Snapp et al. 1998, Palm et al. 2001). This work has largely examined 18 how litter quality governs the immobilization and release of mineral nutrients and uptake by 19 plants (Palm et al. 2001, Vanlauwe et al. 2005). Although much progress has been made toward 20 improving productivity (Sileshi et al. 2008), the effects of litter additions on soil structure and 21 SOM dynamics is less clear. The addition of organic matter to soil generally improves 22 aggregation and soil C stores (Paustian et al. 1997, Abiven et al. 2009), but the role of litter 23 quality is more ambiguous (Fonte et al. 2009, Gentile et al. 2009). Despite clear effects of litter

1 quality and quantity on earthworm populations (Tian et al. 1997, Barrios et al. 2005, Sileshi and 2 Mafongoya 2007) and well documented impacts of earthworms on SOM and nutrient dynamics, 3 few studies have addressed the potential interactions between litter management and earthworms 4 on soil properties and plant growth. 5 The research presented here sought to examine the extent to which earthworms and litter 6 additions affect aggregate-associated SOM stabilization, soil nutrient availability, crop growth as 7 well as the movement and uptake of applied inorganic nutrients. We hypothesized that the 8 application of leaf litter and the maintenance of soil cover would promote earthworm populations 9 and lead to greater stabilization of SOM in soil aggregates as well as increased nutrient 10 availability and plant uptake. Additionally, we postulated that quality of litter applied would 11 affect earthworm activity, such that a mix of litter qualities would most benefit earthworm 12 nutrition (Garcia and Fragoso 2003) and yield their greatest impact on soil properties and plant

13 growth.

14

15 Methods

16 Site description

This study was conducted in the Lempira Department of western Honduras, (N 14°4', W 88°34'), a mountainous region dominated by a patchwork of cropland, pasture and sub-humid tropical forest. The experiment was installed in May of 2007 on a hillside farm (> 30 % slope) that had been under Quesungual management for 4 yrs since being converted from secondary forest. At 450 m in elevation, precipitation at the site averages 1400 mm yr⁻¹ with a distinct dry season between November and May. Mean monthly temperature ranges between 21 and 27 °C.

1 Soils in this region are generally shallow and rocky, classified as Entisols (Hellin et al. 1999).

2 The field site was characterized by a clay loam texture and a pH of 5.4.

3 *Study design*

4 This experiment employed mesocosms, plastic buckets (18.9 l capacity, 28 cm dia. x 37 5 cm in depth) with holes (1 mm dia.) drilled in the bottom for drainage, to manipulate earthworm 6 populations and litter additions under field conditions. Each mesocosm was placed in one of 32 7 pits (40 cm deep) located along three contour rows, with approximately 50 cm spacing between 8 each pit and 80 cm between rows. Soil excavated from the pits was passed through a 12 mm 9 mesh screen to break apart soil clods and remove large rocks and organic materials, then 10 thoroughly mixed. To ensure adequate drainage, the base of each pit was filled with 5 cm of 11 coarse sand before putting the mesocosm in place. The bottom of each mesocosm was then 12 filled with an additional 2 cm of sand before filling the rest of the container with the 13 homogenized soil until level with the surface of the soil outside of the mesocosm (~30 cm deep). 14 Mesocosms were left partially unburied so that a plastic rim (~5 cm tall) remained above the 15 surface of the soil to prevent the entry of upslope materials and to attach a 1.5 mm plastic mesh 16 screen across the top (see Fig 1).

In early June of 2007, after the first rains of the wet season helped to moisten and settle the soil, maize (*Zea Mays;* L.) was seeded into each of the mesocosms. Shortly after emergence, seedlings were thinned to one plant per mesocosm and small holes (5 cm dia.) were cut in the mesh directly above the seedling to permit further growth. One of four litter quality treatments was applied to the soil surface as mulch for each mesocosm. These treatments consisted of: 1) low quality (LQ) dried maize stover from the previous growing season, 2) high quality (HQ) freshly pruned tree leaves (*Diphysa robinioides* Benth., Leguminosae), 3) a mixture of the two

litter types (MQ) and 4) a control with no litter added (C). Litter treatments were applied at the 1 2 time of planting and in early July to simulate mid-season organic inputs associated with normal 3 farmer pruning activities. We attempted to standardize the quantity of organic residues added in 4 each treatment based on the estimated N content in each litter type, so that inputs of C would 5 vary, but added N would not (see Table 1). Inorganic fertilizer was applied to the mesocosms in 6 two applications, according to standard rates in the region, 50 kg N ha⁻¹ and 55 kg phosphate ha⁻¹ shortly after planting and another 100 kg N ha⁻¹ one month later. N was applied as ¹⁵N labeled 7 ammonium nitrate (9.9 atom %¹⁵N), while P was added as triple super phosphate. Nutrients 8 9 were dissolved in water and applied in solution evenly across the soil surface, then watered in to 10 move nutrients to deeper layers and minimize gaseous losses of N. Litter was removed prior to 11 each fertilizer application and replaced immediately afterwards.

12 Two earthworm treatments, with (+W) and without (-W) worms, were initiated several 13 weeks after maize planting, yielding a total of eight earthworm-litter treatment combinations in a 14 completely randomized design (four replicates per treatment). Earthworms were collected by 15 excavation and hand-sorting of soils adjacent to the study site and returned to the lab for 16 weighing and identification. Eight earthworms (all Pontoscolex corethrurus, the dominant 17 species at the field site) were added to the +W mesocosms; this leads to approximate field 18 densities observed in adjacent soils (5.2 g total fresh weight biomass for each mesocosm). 19 Electro-shocking was used at monthly intervals in mesocosms under the -W treatment to keep 20 earthworm colonization (by small juveniles able to pass through drainage holes) at a minimum, 21 while having little direct impacts to soil microbial activity (Staddon et al. 2003). This was 22 achieved using a portable generator and inserting four stainless steel probes vertically (30 cm 23 deep) around the inside edge of each mesocosm. A current (~2 Amps) was then passed through

the soil in perpendicular directions by alternating the flow between probe pairs at opposite sides
of the mesocosm for a total of 8 minutes.

3 Soil and plant sampling

4 Soil cores (two per mesocosm; 2 cm dia. x 15 cm deep) were taken two months after 5 planting (at tasseling of maize) and dried at 45 °C for subsequent analysis of mid-season N 6 availability (potentially mineralizable N). In September 2007, when maize was at physiological 7 maturity, mesocosms were removed from the ground and destructively sampled for all 8 subsequent analyses. Two surface soil cores (9.25 cm dia. x 15 cm deep) were taken from each 9 mesocosm and immediately combined. The field moist surface soils were passed through an 8 10 mm sieve, by gently breaking soil clods along natural planes of weakness, then dried in at 45 °C 11 prior to laboratory analyses. Soils below 15 cm were sub-sampled, dried, and ground for later 12 analyses.

Maize plants were cut at the soil surface and separated into grain and other above ground components (including stalk, leaves, cob, husk, tassel and silk), while coarse roots (> 2 mm dia.) were recovered from the soil by hand sorting and rinsing with water. All maize components were dried at 60 °C, weighed and then ground to a powder for subsequent analyses.

Earthworm growth, survival and colonization were assessed by hand sorting and
thorough inspection of soil in all mesocosms. Earthworms, earthworm pieces and cocoons were
counted and weighed in order to determine fresh weight biomass of all earthworm components.
A subsample of each large earthworm (those intentionally added to the mesocosms) was
dissected and thoroughly cleaned of soil, freeze-dried, and ground in preparation for isotopic
analysis.

23 Soil fertility indices

1	Potentially mineralizable N was estimated by anaerobic incubation according to adapted
2	methods of Powers (1980). Briefly, subsamples were taken from a composite sample from the
3	two soil cores taken two months after planting. Dry soil (5 g) was submerged in 10 ml of water
4	in a capped centrifuge vial and incubated at 40 $^{\circ}$ C for 7 days. In order to rapidly induce
5	anaerobic conditions, headspace and dissolved oxygen in the vials was removed by bubbling N_2
6	gas through the soil solution prior to capping. At the end of the incubation, the soils were shaken
7	with 2 M KCl for 30 min and then centrifuged. Ammonium concentration in the soil extract was
8	then measured colorimetrically (Verdouw et al. 1978) to determine N mineralized during the
9	incubation.
10	Phosphorus availability in each mesocosm was determined for soils sampled at the end of
11	the growing season in September 2007. Dried soils were sent to the Agriculture and Natural
12	Resources (ANR) Analytical Laboratory (http://groups.ucanr.org/danranlab/) at the University of
13	California, Davis for determination of both Bray and Olsen P. The measurement of Olsen P
14	involved an extraction of bioavailable PO ₄ from soil solution using 0.5 M NaHCO ₃ , while the
15	Bray method follows a similar approach, but relies a dilute acid extraction using NH_4F and HCl

16 (Olsen and Sommers 1982).

17 Aggregate fractionation

Surface soils (0-15 cm) were fractionated by wet-sieving based on the method of Elliott (1986). A subsample (50g) of the dry 8 mm sieved soil from each mesocosm was submerged in deionized water on top of a 2000 µm sieve for slaking. After 5 min. slaking, the sieve was moved up and down in an oscillating motion for 50 cycles over a 2 min period. Aggregates remaining on the sieve were washed into a pre-weighed aluminum pan. Material passing through the sieve was transferred to a 250 µm sieve and sieved in the same manner and again for

1 2 min. This process was repeated with a 53 µm sieve, yielding a total of four aggregate 2 fractions, large macroaggregates (>2000 µm), small macroaggregates (250-2000 µm), 3 microaggregates (53-250 μ m) and silt and clay (<53 μ m). The aluminum pans containing water 4 and soil from each size class were then placed in an oven at 60 °C until dried, then weighed to 5 determine the proportion of soil in each fraction. All fractions were ground for subsequent 6 elemental and isotopic analyses. Mean weight diameter (MWD), a measure of aggregate 7 stability, was calculated by summing up the weighted proportions of each aggregate size class 8 following van Bavel (1950). 9 Large and small macroaggregates were further separated according to Six et al. (2000). 10 Briefly, 6 g of the oven-dried large or small macroaggregates were slaked in deionized water for 11 20 min then placed on top of a 250 µm modified sieve along with fifty stainless steel ball

12 bearings (4 mm dia.). The soil and bearings were maintained under water and shaken on

13 reciprocal shaker until the bearings had fractured all of the macroaggregates (5-10 min). A

14 continuous flow of water ensured that microaggregates and other materials released from the

15 broken macroaggregates quickly passed through the 250 µm mesh screen to avoid further

16 disruption. This material was then transferred to a 53 µm sieve and sieved for 2 min as described

17 above, yielding a total of three fractions each isolated from large and small macroaggregates:

18 coarse sand and particulate organic matter (>250 µm; cPOM), microaggregates within

19 macroaggregates (53-250 µm; mM) and macroaggregate occluded silt and clay (<53 µm, Msc).

20 These fractions were dried at 60 °C, weighed to determine the contribution of each to large and

21 small macroaggregates, and then ground for subsequent analysis.

22 Elemental and isotopic analyses

1 Ground subsamples from the bulk soil (surface and below 15 cm), aggregate fractions, 2 and earthworm tissues were analyzed for total C and N, as well as ¹⁵N, while the ground plant components (grain, roots, and other) were analyzed for total N and ¹⁵N with a PDZ Europa 3 4 Integra C–N isotope ratio mass spectrometer (Integra, Germany). Total P in the bulk soils and 5 plant components was measured at the ANR Analytical Laboratory using a nitric acid/hydrogen 6 peroxide microwave digestion and elemental determination by inductively coupled plasma 7 atomic emission spectrometry according to methods of Sah and Miller (1992). The Ash free 8 lignin was determined at the ANR Analytical Laboratory by the reflux method (AOAC 1997). 9 The proportion of N derived from inorganic N additions in the soil fractions, plant 10 components and earthworm tissues, f, was calculated as follows: 11

12
$$f = ({}^{15}N \text{ atom}\% {}_{\text{sample}} - {}^{15}N \text{ atom}\% {}_{\text{n.a.}}) / ({}^{15}N \text{ atom}\% {}_{\text{source}} - {}^{15}N \text{ atom}\% {}_{\text{n.a.}})$$

13

14 where ¹⁵N atom% $_{sample}$ is the ¹⁵N atom% of the sampled material, ¹⁵N atom% $_{n.a.}$ is the natural 15 abundance of ¹⁵N (determined prior to isotope additions) and ¹⁵N atom% $_{source}$ is the ¹⁵N atom% 16 of the applied inorganic N.

17 Statistical analyses

18 Comparisons of earthworm and litter influences on mesocosm soil and plant variables, as 19 well as interactions between these two factors, were analyzed using ANOVA. Individual 20 comparisons between litter treatments were carried out using Tukey's honest significant 21 difference. Orthogonal contrasts were used to specifically examine interactions between 22 earthworms and soil cover (litter treatments versus control), while simple effects of earthworms 23 were evaluated using ANOVA when these interactions proved significant. Multiple linear

regression was also used to explore the effect of litter quality (C:N ratio) on potentially
 mineralizable N in the presence and absence of earthworms. Natural log transformations were
 applied to the data as necessary to meet the assumptions of ANOVA. All analyses were
 conducted using JMP 7.0 (SAS Institute 2007).

5

6 **Results**

7 Treatment effectiveness and earthworm activity

8 The implementation of earthworm treatments in this study was largely effective. 9 Earthworm survival in the +W mesocosms averaged 80% at the end of the study, with many new 10 juveniles and cocoons observed in this treatment as well. Average ending biomass of the +W 11 treatment was 5.96 g per mesocosm, while earthworms in the –W mesocosms averaged 0.11 g 12 per mesocosm (range = 0.00 to 0.35g). Although the HQ litter treatment demonstrated the 13 highest earthworm survival, final biomass, and cocoon production, there was no significant effect of litter treatment on any of the earthworm components or ¹⁵N assimilation by earthworms. 14 15 The maize stover applied in the LQ and MQ treatments had a higher N content than was 16 originally estimated (see Table 1). Thus, litter treatments did not differ as greatly in quality as 17 was anticipated and the organic N added in litter was not equal across the LQ, MQ and HQ 18 treatments. Two maize plants (from two different litter treatments) were damaged over the 19 course of the growing season. Although neither of these plants died completely, both plants 20 produced very low above and below ground biomass and these mesocosms were consequently 21 excluded from all analyses.

22 Soil structure and aggregate associated carbon and nitrogen pools

1	Earthworm additions significantly increased aggregate stability in surface soils as
2	indicated by a 10% overall increase in MWD ($P = 0.024$; Fig. 2). Improved soil structure was
3	driven by an increase in large macroaggregates ($P = 0.031$) and a corresponding decrease in the
4	contribution of free microaggregates ($P = 0.048$) to the whole soil mass. This influence of
5	earthworms on soil structure translated directly into changes in the distribution of SOM storage
6	within the different aggregate size fractions. Earthworms increased total C storage within large
7	macroaggregates by over 15% ($P = 0.048$) across all litter treatments. This increase in large
8	macroaggregate SOM was mainly associated with a 17% increase in C contained within the mM
9	fraction ($P = 0.033$). Overall, microaggregates occluded within both large and small
10	macroaggregates accounted for nearly 60% of total soil C and demonstrated a marginally
11	significant increase in C storage with earthworm additions ($P = 0.065$; data not shown). Trends
12	for total N contained within each fraction largely mirrored those observed for C, thus data for N
13	is not presented separately.
14	The maintenance of soil cover appeared to exert control over the effect of earthworms on
15	soil structure and aggregate-associated SOM pools. Orthogonal contrasts (comparing
16	mesocosms with litter applied vs. the control) revealed significant interactions between

17 earthworms and litter application for both MWD (P = 0.005; Fig. 2) and C storage within

18 aggregate fractions. When considering only treatments receiving litter additions, the influence in

19 earthworms becomes more pronounced. Earthworms increased MWD by over 15% in

20 mesocosms receiving litter applications (P < 0.001), but had no effect when the soil was left bare

21 (Fig 2). Similarly, earthworms increased C storage within large macroaggregates and in the

associated mM fraction by over 25% (P < 0.005) in the presence of added residues, but had no

23 effect in the control (Figs. 3-4). Litter quality did not yield any significant effects on soil

1 structure or the storage of C or N in any of the aggregate size fractions or bulk soil.

2 Additionally, there were no significant interactions between earthworms and litter type.

3 Phosphorus and nitrogen availability

4 Earthworms decreased both Olsen P and Bray P by roughly 30% at the time of harvest (P 5 < 0.001). Interestingly, earthworms also decreased total P content of bulk surface soils in the 6 mesocosms by 3.6% (P = 0.027; Table 2). Orthogonal contrasts revealed a significant interaction 7 between earthworms and soil cover, such that the effect of earthworms on P availability was 8 greater in microcosms receiving litter applications than in the control (P = 0.007 and P = 0.0059 for Bray and Olsen P; respectively). Litter quality treatments yielded no significant effects on 10 total or available P indices. Potentially mineralizable N was not significantly influenced by 11 either earthworms or litter application; however, mineralizable N did tend to increase with decreasing C to N ratios of residue in the three litter treatments (P = 0.017, $R^2 = 0.24$). 12

13 Plant growth and nutrient content

14 Earthworms did not affect the biomass of any of the maize components (Table 3), but 15 there was a marginally significant increase in grain N and P concentration with the addition of 16 earthworms (P = 0.063 and P 0.071; respectively). Additionally, earthworms appeared to 17 increase the uptake of P by the non-grain above ground biomass (P = 0.001), mainly due to an 18 increase in the P concentration of this component (P = 0.029). The maintenance of soil cover 19 (vs. the control) had no effect on any of the plant components or significant interactions with 20 earthworms. Litter quality however, did produce some effects on plant growth. Total plant 21 biomass, as well as roots and non-grain aboveground maize components increased under the LQ 22 treatment (P < 0.005, Table 3). Along with increases in plant biomass, the LQ treatment also increased total N uptake (Table 3) as well as N and P content in the roots and non-grain 23

aboveground biomass (P < 0.01). Despite, the various effects on plant growth, there were no
 significant impacts of earthworms or litter additions on grain yield.

3 Dynamics of inorganic N additions

Earthworms significantly influenced the redistribution of the ¹⁵N applied to each 4 5 mesocosm. The proportion of fertilizer-derived N, f, was decreased by 17% (P = 0.006) in bulk 6 surface soils in the presence of earthworms (Fig. 5). Earthworms similarly reduced f for all soil 7 aggregate fractions (P < 0.01), except for the large macroaggregates (Fig. 5) and components 8 occluded within this fraction (Fig. 6). In fact, earthworms increased the proportion of fertilizer-9 derived N in the mM fraction of large macroaggregates (P = 0.050). The maintenance of soil cover also influenced the movement of ¹⁵N within the soil, such that for both free 10 11 microaggregates and the silt and clay fraction f was higher in the control vs. treatments where 12 litter was applied (P < 0.001; Fig 5). There was no apparent effect of earthworms or litter quality on the recovery of ¹⁵N in soil below 15 cm (Fig 7). However, the application of litter (vs. the 13 control) slightly decreased the amount of 15 N recovered in the soil below 15 cm (P = 0.040). 14 15 In addition to the effects on inorganic N movement in the soil, uptake of N by the maize crop was affected as well. Earthworms increased total 15 N recovered in maize plants by 7% (P = 16 17 0.018, Fig 7), but did not significantly affect the incorporation of fertilizer-derived N into the grain (data not shown). Soil cover, per se, did not appear to affect plant uptake of ¹⁵N. Although 18 litter quality did not influence total plant N uptake, the LQ treatment had significantly higher ¹⁵N 19 20 recovery in the roots (P > 0.001) and non-grain aboveground components (P = 0.049; data not shown). Overall recovery of ¹⁵N in the mesocosms (all soil and plant components) averaged 21 22 86.8 % and was not significantly influenced by litter quality, soil cover or earthworms (P > 0.1). 23

1 **DISCUSSION**

The development of sustainable agroecosystems depends on a better understanding of and reliance upon biological regulation of internal nutrient cycling and related ecosystem processes. The findings presented here contribute towards this goal by offering key insights about the role of soil organisms and litter management in the provisioning of several fundamental ecosystem services in tropical agroforestry systems of Latin America.

7 Soil structure and aggregate-associated SOM

8 The influences of earthworms on soil structure observed in this study agree with past 9 findings indicating that earthworms improve aggregate stability (Blanchart et al. 1999, Bossuyt 10 et al. 2004, Shipitalo and Le Bayon 2004, Coq et al. 2007). Although there was no effect of 11 earthworms on total soil C, the redistribution of C into large macroaggregates and specifically 12 the mM fraction (Figs. 3 & 4) suggests that earthworms may enhance C stabilization in these 13 soils. Several studies have demonstrated that earthworms can rapidly incorporate fresh residue C 14 into microaggregates within macroaggregates (Bossuyt et al. 2004, Bossuyt et al. 2006, Fonte et 15 al. 2007). Furthermore, these microaggregates have been shown to contribute greatly to the 16 protection of residue C following the breakdown of the macroaggregates (casts) in which they 17 were formed (Pulleman and Marinissen 2004, Bossuyt et al. 2005). Despite these findings, the 18 influence of earthworms on SOM remains unclear. In a similar experiment to ours, Coq et al. 19 (2007) found P. corethrurus to improve aggregation, but to decrease total C in the 10-20 cm 20 layer. They incubated large macroaggregate casts vs. 'non-ingested soil' (20-2000 µm) from the 21 10-20 cm depth and found higher cumulative C mineralization from the casts after 28 days. 22 Although this result appears to contradict the findings of Pulleman and Marinissen (2004) and 23 Bossuyt et al. (2005), a rapid initial loss of C would be expected following cast formation due to

1 the high concentration of fresh, relatively labile residue (cPOM) in casts. However, given that 2 most of the C in casts (and macroaggregates in general) appears to be associated with the mM 3 fraction (Fig. 4), we suspect that rates of decomposition in casts would eventually decrease 4 below that of the non-ingested soil. In agreement with this idea, Martin (1991) found in a long-5 term incubation of earthworm casts vs. bulk soil that cumulative C mineralization from casts was 6 lower than that of non-ingested soil after 420 days, despite higher C concentrations and initial 7 rates of CO₂ release in the casts. Several authors have concluded that earthworms facilitate C 8 loss in the short-term, but may stabilize SOM stores over longer time scales and that this effect 9 likely depends on the earthworm species and state of the ecosystem in question (Brown et al. 10 2000, Lavelle et al. 2004). Although our study did not directly measure the long-term impacts 11 on total soil C, the redistribution of SOM into the mM fraction indicates that P. corethrurus 12 helps to stabilize soil C in the long-term within this system.

13 The maintenance of soil cover (via litter additions) appeared to mediate the effect of 14 earthworms on soil structure and SOM. Earthworms only increased aggregation and SOM 15 stabilization in treatments where litter was added to the mesocosms, suggesting that the effect of 16 earthworms depends on how organic residues are managed. Pulleman et al. (2005) also 17 suggested that the effect of earthworms was dependent on management, such that aggregate 18 stability and C stabilization within casts was greatest in a pasture with high organic matter inputs 19 and low disturbance and lowest in arable fields with low inputs of organic material. Litter 20 quality, per se, did not significantly affect earthworm activity (i.e., growth, cocoon production, 21 ¹⁵N assimilation) or their impact on soil properties, thus partially negating our original 22 hypothesis that the quality of applied organic materials would control earthworm activity and

1 thus their effect on soil properties. However, this may be due in part to the smaller than

2 anticipated differences in litter quality parameters (Table 1).

3 Availability of nitrogen and phosphorus

4 Although the ability of earthworms to increase N availability in soils is well documented 5 (Pashanasi et al. 1992, Subler et al. 1997, Araujo et al. 2004), this study found no significant 6 effect of earthworms on potentially mineralizable N. The lack of a significant effect likely 7 relates to differences in methodology and the associated pools measured. Most studies have 8 looked directly at mineral N forms, whereas the method employed here measured relatively 9 labile sources of organic N that are likely to become available to plants in the near future 10 (Powers 1980). Although earthworms can increase soil N mineralization in the short-term, this 11 N may be quickly stabilized as casts age (Lavelle et al. 1992, Brown et al. 2000). Thus, the lack 12 of difference in potentially mineralizable N observed in this study may indicate that N released 13 by earthworm activities in the +W treatments does not persist in labile forms following drying. 14 Although litter treatments did not yield significant differences in mineralizable N, litter quality 15 does appear to affect the potential release of N (Constantinides and Fownes 1994, Palm et al. 16 2001) as was demonstrated by the inverse correlation between potentially mineralizable N and 17 C:N ratio of the litter applied. The relatively small differences between litter quality treatments 18 likely explain the lack of significant differences in ANOVA.

The influence of earthworms on P dynamics was highly significant. However, the observed decrease in soil P availability with the addition of *P. corethrurus* (Table 2) contrasts with numerous studies suggesting that earthworms increase P availability across a wide range of agroecosystems (Sharpely and Syers 1976, Lopez-Hernandez et al. 1993, Jimenez et al. 2003, Kuczak et al. 2006, Le Bayon and Binet 2006). Several possibilities exist to explain this

1 apparent discrepancy. First, nearly all of the studies examining the role of earthworms on soil P 2 dynamics have done so by comparing P in casts vs. non-ingested soil and have largely 3 overlooked the effect of earthworms on the whole soil (cast + non-ingested soil). It is possible 4 that increased P enrichment and availability in casts comes at the expense of lower P content and 5 availability in non-ingested soil. For example, Patrón et al. (1999) found casts of P. corethrurus 6 to contain higher levels of total P as well as resin and organic P compared to non-ingested soil, 7 but they found no significant effect of earthworm additions on total P or any of the P fractions in 8 the whole soil. It is also possible that earthworms increased P availability earlier in the growing 9 season, but that this trend had disappeared by the time of measurement (at harvest). Several 10 studies have suggested that increased P availability in casts may be only short-lived (Lopez-11 Hernandez et al. 1993, Haynes and Fraser 1998, Le Bayon and Binet 2006). Despite the possible 12 explanations for a lack difference in available P, no studies have documented a decrease in soil P 13 availability with earthworm additions.

14 The lower concentration of total soil P in the +W treatments (Table 2) suggests that 15 earthworms increase the removal of P from the surface layer either through leaching and/or plant 16 uptake. It has been suggested that higher water solubility of P in earthworm casts may facilitate 17 the export of P from agroecosystems (Sharpely and Syers 1976, Le Bayon and Binet 2006). 18 Increased infiltration and leaching associated with earthworm tunneling activities (Subler et al. 19 1997, Dominguez et al. 2004) may further contribute to P losses and ultimately affect total P in 20 surface layers (Suárez et al. 2003). Given that differences in plant uptake do not explain the loss 21 of P (as was seen for added N), it seems that the export of labile P, presumably maintained in 22 more available state by earthworms earlier in the growing season, resulted in a loss of total P 23 from the surface layer of the worm-worked soils. This would explain how earthworm activity

caused a decline in both total and available P pools at the end of the growing season. We should
further note that the Entisols used in this experiment were relatively low in total P
(approximately 300 kg ha⁻¹ in the top 15 cm of soil) and thus annual fertilizer additions likely
contribute a large portion of the total P (24.4 kg ha⁻¹, or roughly 8% of the total). Thus, a large
proportion of P in these soils appears to exist in a relatively labile form and would be more
susceptible to loss than P that is intimately associated with organic matter or mineral surfaces. *Plant growth and yield*

8 The lack of an earthworm effect on plant biomass and yield was unexpected. 9 Earthworms generally have positive effects on plant growth and yield in the tropics, but their 10 influence appears to depend on a number of factors including the crop, earthworm species and 11 soil characteristics of the system being studied (Brown et al. 1999). In their meta-analysis, Brown et al. (1999) found that maize is among the crops to best respond to earthworm additions 12 13 and furthermore, that *P. corethrurus* is a species that often produces large effects. The soil in 14 this study, however, did not fit the criteria for best optimizing the positive influence of 15 earthworms. For example, Brown et al. (1999) found earthworms to have the greatest impact in 16 soils that are low in C content (<1.5%), high in sand (>65%) and intermediate in acidity, while 17 the soils used in this study were intermediate in sand (34%) and C content (2.3%) and slightly 18 more acidic (pH \sim 5.3) than the optimal range. The addition of *P. corethrurus* in this study did 19 seem to increase grain N and P content (suggesting increased nutritional value), but lower (albeit 20 non-significant) average grain yield in the +W treatments effectively nullifies the relevance of 21 this finding. Increases in P content of the non-grain aboveground biomass suggest that 22 earthworms can improve plant P uptake of this highly limiting nutrient. However, the apparent

reduction in total soil P urges caution, and emphasizes the need for a more thorough evaluation
 of earthworm influences on agroecosystem P dynamics.

3 Although the maintenance of soil cover did not seem to influence plant growth, litter 4 quality did appear to play a small role. Vegetative biomass increased under the LQ treatment, 5 but there was no effect on grain yield (Table 3). Improved growth in the LQ treatment may be 6 due to the greater biomass of litter inputs and the increased mulching affect associated with this 7 treatment. Although not necessarily in labile forms, this treatment also received the highest input 8 of organic N and P (Table 1) which may have led to increased growth. Alternatively, 9 immobilization of N and P by the lower quality maize stover may have led to the observed 10 increase in root biomass in the LQ treatment, with beneficial consequences for growth later in 11 the season, when nutrients became more available.

12 Nitrogen uptake and movement in the soil

13 The significant influence of earthworms on the recovery of fertilizer N in surface soils 14 and nearly all aggregate fractions (Figs. 5, 6 and 7) offers important insight as to how 15 earthworms affect inorganic N sources. Several studies have shown earthworms to increase the 16 availability of N following additions of inorganic N (Bohlen and Edwards 1995, Blair et al. 17 1997, Subler et al. 1998). Similar to our study, Bohlen et al. (1999) found Lumbricus terrestris 18 to decrease the recovery of inorganic fertilizer in the surface layer (0-5cm) of microcosms (with 19 no plants), suggesting that the added KNO₃ was mobilized by earthworm activity and transferred 20 to lower soil layers. In the present study, earthworms decreased the proportion of fertilizer 21 derived N, f, for nearly all aggregate fractions. Only in large macroaggregates was f not reduced 22 by earthworm activity and was in fact increased by earthworms in the mM fraction (Fig. 5). As 23 noted above, this fraction was largely responsible for the increases in total C and N observed for

1 large macroaggregates in the presence of earthworms. We suspect that inorganic N entering the 2 soil as fertilizer was partially immobilized by the microbial biomass associated with organic 3 residues or by plant roots, both potential food sources for earthworms (Lee 1985). Thus, the higher level of ¹⁵N in the mM fraction of large macroaggregates (Fig.6) further supports the idea 4 5 that this fraction is a site of recently incorporated organic matter, particularly in the presence of 6 earthworms. Alternatively, earthworms may directly consume mineral fertilizer, however, past 7 findings suggest that earthworms do not consume or readily assimilate inorganic N in the 8 absence of organic matter inputs (Bohlen et al. 1999, Fonte et al. 2007). Although, Fonte et al. 9 (2007) found earthworms to decrease the incorporation of N derived from inorganic fertilizer 10 into the mM fraction, mesocosms in their experiment were devoid of plants and organic inputs 11 suggesting that earthworm food sources (organic resources) were largely unavailable to 12 immobilize the added N and thus the added N was not incorporated into casts. 13 Although several studies have suggested that the increased mobility of N associated with 14 earthworms can lead to leaching losses (Subler et al. 1997, Dominguez et al. 2004), there was no effect of earthworms on ¹⁵N recovered below 15 cm in this study. Furthermore, there was no 15 difference in total ¹⁵N recovery (plants and soil) between any of the treatments in this 16 17 experiment. The significant increase in plant uptake of applied inorganic N in the presence of 18 earthworms, indicates that N availability was higher in the +W treatments and that plant uptake 19 was responsible for the decrease in N recovered in the bulk soil (Fig. 7). Similar to our study, Baker et al. (2002) found earthworms to increase ¹⁵N uptake, despite a lack of earthworm effect 20 21 on plant biomass production. Thus it seems that earthworms help to maintain applied inorganic 22 N in a more labile state, ultimately leading to increased uptake of this N source, regardless of

23 effects on plant growth or total N uptake.

Plant litter quality did not affect the incorporation of applied inorganic N into the
different fractions, but the presence or absence of litter did appear to play a minor role. Of
greatest relevance, more ¹⁵N was recovered in soils below 15 cm in the absence of plant litter,
suggesting that organic residues may help to temporarily immobilize additions of inorganic N
and ultimately decrease the loss of N from agroecosystems.

6

7 CONCLUSIONS

8 In the face of growing environmental degradation and increasingly limited resources, the 9 need to identify more sustainable forms of agriculture is critical. Although long-term effects 10 remain to be fully assessed, the Quesungual system appears to improve nutrient flow to the crop 11 and to maintain SOM stocks via improved soil biological functioning. Based on the results of 12 this study, we conclude that organic matter applications in the Quesungual system increase the 13 benefit of the earthworm populations that they promote. Although we were not able to draw any 14 firm conclusions about litter quality, the application of litter as mulch appears to interact 15 positively with earthworms to improve soil structure and aggregate-associated SOM. The effects 16 on nutrient cycling are less clear. The influence of earthworms on P dynamics in this study 17 appears to conflict with past findings, thus emphasizing the need to evaluate the full effect of 18 earthworms on this vital and often limiting nutrient in tropical systems. However, earthworms 19 appear to play an important role in directing a greater proportion of applied inorganic nitrogen to 20 the crop. Consequently, the integrated management of available plant residues and earthworms 21 is needed to optimize SOM dynamics, nutrient cycling, and plant growth for sustainable 22 agroecosystem functioning.

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17	
Table 1: Litter quality parameters and application rates of four litter treatments applied to soil
 mesocosms within the Quesungual agroforestry system of western Honduras. Treatments
 denoted by: Control (no plant litter added), Low Quality (maize stover), Mixed Quality (mixture
 of low and high quality litter), High Quality (leguminous *D. robinioides* litter).

	Litter Quality Parameters				ers	Amount Added			
Litter Treatment	С	Ν	Р	Lignin	C:N	С	Ν	P 6	
	$ mg g^{-1} g m^{-2}$								
Control	-	-	-	-	-	0	0	0	
Low Quality	431	17.6	3.2	47.7	24.5	176.7	7.20	1.30	
Mixed Quality	437	21.0	2.8	52.9	20.8	114.8	5.5	0.74	
High Quality	461	33.2	1.7	71.5	13.9	52.8	3.8	0.19	

6 7

Table 2: Total phosphorus and indices of phosphorus availability for surface soils (0-15 cm) in
mesocosms within the Quesungual agroforestry system in western Honduras. Treatments
denoted by: Control (no litter applied and soil left bare), Litter Applied (the average of 3 litter
quality treatments), +W (earthworms added), and –W (no earthworms). Numbers in italics
below each average indicate standard error around the treatment mean.

Treatm	P Measurements					
Soil Cover	Worm	Total P	Bray P – mg kg ⁻¹	Olsen P		
	$+\mathbf{W}$	200.0	5.1	4.6		
Control		4.1	0.3	0.3		
	-W	208.8	6.4	5.5		
		3.1	0.8	0.6		
	$+\mathbf{W}$	199.0	4.6	3.9		
Litter		3.1	0.3	0.3		
Applied	-W	206.7	7.0	5.9		
		2.5	0.5	0.3		
ANOVA Tab	le (P values ^a)					
	Litter ^b	ns	ns	ns		
	Worm	0.027	< 0.001	< 0.001		
Litte	r x Worm ^b	ns	0.007	0.005		

a ns, P > 0.05

^b P-values for both Litter and Litter x Worm effects are from orthogonal contrasts

1 **Table 3:** Biomass and nutrient uptake in maize plants grown in mesocosms within the

2 Quesungual agroforestry system in western Honduras. Treatments denoted by: Control (no plant

3 litter added), Low Quality (maize stover), Mixed Quality (mixture of low and high quality litter),

4 High Quality (leguminous *D. robinioides* litter), +W (with earthworms), and -W (no

5 earthworms). Numbers in italics below each average indicate standard error around the

6 treatment mean.

7

Treatments		F		ass of mponent	Whole Plant Nutrient Uptake			
				Non-				
Litter	Worm	Total	Grain	grain	Root	Ν	Р	Fert N ^a
			į	g ———			— mg -	
	$+\mathbf{W}$	173.8	43.4	117.6	12.8	1881	227	569
Control		13.2	20.5	15.3	4.3	168	28	19
	-W	176.3	53	110.4	12.8	1870	222	543
		3.5	20.4	20.2	2.7	50	20	1.
-	$+\mathbf{W}$	270.4	42.6	204.9	22.8	3171	358	63]
Low		21.5	12.6	28.0	3.1	453	64	1
Quality	-W	216.1	53.6	145.8	16.7	2280	252	564
		11.7	12.1	11.1	2.0	279	30	22
	$+\mathbf{W}$	175.5	53.7	109.7	12.0	1899	238	588
Mixed		32.0	38.4	27.9	7.6	264	18	2.
Quality	-W	198	61.3	122.3	14.4	2119	254	55
		15.5	30.8	34.6	2.3	156	20	2
	$+\mathbf{W}$	176.3	45.1	118.5	12.7	2047	248	57
High		17.5	11.8	25.3	1.9	310	29	2
Quality	-W	162.5	55.5	96.3	10.7	1832	222	552
		16.7	26.7	39.0	2.9	178	14	
ANOVA 7	Table (P val	ues ^b)						
	Litter	0.003	ns	0.001	0.002	0.008	ns	ns
	Worm	ns	ns	ns	ns	ns	ns	0.018
Litte	r x Worm	ns	ns	ns	ns	ns	ns	ns

^a Total N in maize plant derived from ¹⁵N labeled inorganic fertilizer application

^b ns, P > 0.05

1 FIGURE LEGENDS

2

Figure 1: Side view of experimental mesocosm used to manipulate earthworm and litter
treatments within the Quesungual agroforestry system in western Honduras, June to
September 2007.
Figure 2: Aggregate stability for surface soils (0-15 cm) from various litter and earthworm
treatment combinations within the Quesungual agroforestry system in western Honduras.
Treatments denoted by: C (control= no plant litter added), LQ (low quality maize stover),
MQ (mixed low and high quality litter), HQ (high quality litter), + (with earthworm), and –

11 (no earthworms). Error bars represent the standard error of each treatment mean.

12

13	Figure 3: Carbon content of aggregate fractions in surface soils (0-15 cm) sampled from various
14	litter and earthworm treatment combinations within the Quesungual agroforestry system in
15	western Honduras. Treatments denoted by: C (Control, no litter applied and soil left bare),
16	LA (Litter Applied, the average of 3 litter quality treatments), + (earthworms added), and -
17	(no earthworms). Error bars represent the standard error around each treatment mean.
18	

Figure 4: Carbon content of large macroaggregate occluded fractions of surface soils (0-15 cm),
sampled from various litter and earthworm treatment combinations within the Quesungual
agroforestry system in western Honduras. Treatments denoted by: C (Control, no litter
applied and soil left bare), LA (Litter Applied, the average of 3 litter quality treatments), +
(earthworms added), and – (no earthworms). Error bars represent the standard error around
each treatment mean.

2	Figure 5: Proportion of N in aggregate fractions of surface soils (0-15 cm) derived from
3	inorganic ¹⁵ N additions, for various litter and earthworm treatment combinations within the
4	Quesungual agroforestry system in western Honduras. Treatments denoted by: C (Control,
5	no litter applied and soil left bare), LA (Litter Applied, the average of 3 litter quality
6	treatments), + (earthworms added), and – (no earthworms). Error bars represent the standard
7	error around each treatment mean.
8	
9	Figure 6: Proportion of N in large macroaggregate occluded fractions of surface soils (0-15 cm)
10	derived from inorganic ¹⁵ N additions, for various litter and earthworm treatment
11	combinations within the Quesungual agroforestry system in western Honduras. Treatments
12	denoted by: C (Control, no litter applied and soil left bare), LA (Litter Applied, the average
13	of 3 litter quality treatments), + (earthworms added), and – (no earthworms). Error bars
14	represent the standard error around each treatment mean.
15	
16	Figure 7: Recovery of ¹⁵ N labeled fertilizer in soils and maize plants from various litter and
17	earthworm treatment combinations within the Quesungual agroforestry system in western
18	Honduras. Treatments denoted by: C (Control, no litter applied and soil left bare), LA (Litter
19	Applied, the average of 3 litter quality treatments), + (earthworms added), and – (no
20	earthworms). Error bars represent the standard error around each treatment mean.
21	
22	











































