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5 **Title:** Earthworm impacts on soil organic matter and fertilizer dynamics in tropical hillside
6 agroecosystems of Honduras.

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8 **Running Title:** Earthworm impacts on SOM and fertilizer dynamics

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1 **Summary**

2 Earthworms are important processors of soil organic matter (SOM) and nutrient turnover
3 in terrestrial ecosystems. In agroecosystems, they are often seen as beneficial organisms to crop
4 growth and are actively promoted by farmers and extension agents, yet their contribution to
5 agroecosystem services is uncertain and depends largely on management. The Quesungual
6 slash-and-mulch agroforestry system (QSMAS) of western Honduras has been proposed as a
7 viable alternative to traditional slash-and-burn (SB) practices and has been shown to increase
8 earthworm populations, yet the effect of earthworms on soil fertility and SOM in QSMAS is
9 poorly understood. This study examined the role of *Pontoscolex corethrurus* in QSMAS by
10 comparing their influence on aggregate-associated SOM and fertilizer dynamics with their
11 effects under SB and secondary forest in a replicated field trial. Both the fertilized QSMAS and
12 SB treatments had plots receiving additions of inorganic ¹⁵N and P, as well as plots with no
13 inorganic N additions. Earthworm populations were manipulated in field microcosms at the
14 beginning of the rainy season within each management treatment via additions of *P. corethrurus*
15 or complete removal of existing earthworm populations. Microcosms were destructively
16 sampled at harvest of *Zea mays* and soils were wet-sieved (using 53, 250 and 2000 μm mesh
17 sizes) to isolate different aggregate size fractions, which were analyzed for total C, N and ¹⁵N.
18 The effects of management system were smaller than expected, likely due to disturbance
19 associated with the microcosm installation. Contrary to our hypothesis that earthworms would
20 stabilize organic matter in soil aggregates, *P. corethrurus* decreased total soil C by 3% in the
21 surface layer (0-15 cm), predominantly through a decrease in the C concentration of
22 macroaggregates (> 250 μm) and a corresponding depletion of C in coarse particulate organic
23 matter occluded within macroaggregates. Earthworms also decreased bulk density by over 4%,

1 but had no effect on aggregate size distribution. Within the two fertilized treatments, the
2 QSMAS appeared to retain slightly more fertilizer derived N in smaller aggregate fractions
3 (<250 μm) than did SB, while earthworms greatly reduced the recovery of fertilizer N (34%
4 decrease) in both systems. Although management system did not appear to influence the impact
5 of *P. corethrurus* on SOM or nutrient dynamics, we suggest the lack of differences may be due
6 to artificially low inputs of fresh residue C to microcosms within all management treatments.
7 Our findings highlight the potential for *P. corethrurus* to have deleterious impacts on soil C and
8 fertilizer N dynamics, and emphasize the need to fully consider the activities of soil fauna when
9 evaluating agroecosystem management options.

10

11

12 **Keywords:** nitrogen; *Pontoscolex corethrurus*; Quesungual agroforestry system; residue inputs;
13 soil aggregates; soil organic matter

14

1 **Introduction**

2 The maintenance of productive soils is a critical concern for agricultural systems around
3 the globe (Sanchez, 2002; Stocking, 2003). Hillside agroecosystems of the tropics warrant
4 particular attention, as they are often dominated by soils which are low in fertility and highly
5 susceptible to erosion, yet provide the principal source of sustenance to local communities.
6 Although many of the hillside systems have been managed under shifting cultivation for
7 generations, increased demand for food production in many rural areas has resulted in the
8 shortening of fallow periods, ultimately rendering these practices unsustainable (Szott et al.,
9 1999; Barrios et al., 2005). The Quesungual slash-and-mulch agroforestry system (QSMAS) of
10 western Honduras has emerged as a promising alternative to traditional practices (Hellin et al.,
11 1999; Welchez et al., 2008). In place of slashing and burning the forest, this system relies on
12 selective thinning and the retention of native trees to help stabilize soils and provide a source of
13 residue inputs. The retained trees are pruned regularly to allow adequate light for crops, while
14 leaves and small branches are not burned, but rather left on the soil surface as mulch. The mulch
15 layer improves soil moisture retention, provides supplemental nutrients for crop growth,
16 promotes soil biological activity and contributes to soil organic matter (SOM) stabilization
17 (Ortiz-Ceballos and Fragoso, 2004; Mulumba and Lal, 2008).

18 As a key determinant of soil fertility and ecosystem productivity, SOM depletion
19 associated with agricultural disturbance is of great concern (Fernandes et al., 1997). In addition
20 to its beneficial effect on numerous soil properties (Craswell and Lefroy, 2001), SOM represents
21 a vast pool of terrestrial C with far reaching implications for global climate change (Lal, 2004).
22 Research aimed at understanding how management practices affect SOM have focused to a large
23 extent on soil structure and the physical protection of SOM within soil aggregates (Paustian et

1 al., 1997; Six et al., 2002; Bronick and Lal, 2005). Microaggregates (53-250 μm) represent an
2 important pool of physically protected SOM, as these structures are relatively stable, resistant to
3 disturbance and turn over slowly (Oades, 1984; Angers et al., 1997; Six et al., 2000).
4 Macroaggregates ($> 250 \mu\text{m}$), on the other hand, are generally more susceptible to breakage and
5 turn over more rapidly (Oades, 1984). However, macroaggregates are also comparatively
6 enriched in carbon and play a key role in the formation of microaggregates and thus the
7 stabilization of SOM (Tisdall and Oades, 1982; Six et al., 2000). Thus, assessment of C
8 contained in microaggregates within macroaggregates has been put forth as a means to measure
9 recently stabilized C and provides a valuable tool for studying management impacts on SOM
10 dynamics (Six et al., 2000; Six et al., 2002; Denef et al., 2007).

11 Earthworms are key processors of SOM turnover and soil structure in many terrestrial
12 ecosystems. In feeding, earthworms comminute and intimately mix organic residues with
13 mineral soil, thus facilitating their decay (Lavelle, 1988). They further influence SOM dynamics
14 via alterations to soil water infiltration, aeration, pH, and decomposer communities (Brown et al.,
15 2000). At the same time, earthworms can have important impacts on soil structure (Blanchart et
16 al., 1999; Shipitalo and Le Bayon, 2004). Improved soil aggregation, in particular, may have
17 important consequences for SOM stabilization. Several studies have shown earthworms to
18 facilitate the incorporation of fresh residue C into microaggregates within their casts (Bossuyt et
19 al., 2004; Fonte et al., 2007) and that this C is effectively protected against decay (Pulleman and
20 Marinissen, 2004; Bossuyt et al., 2005). In addition to effects on SOM, earthworms can also
21 impact nutrient dynamics in agroecosystems. Nitrogen in particular tends to become more
22 available in the presence of earthworms (Subler et al., 1998; Araujo et al., 2004; Fonte and Six,
23 2010), but the ultimate fate of N may depend on agroecosystem management and the form of N

1 being applied (Bohlen et al., 1999; Fonte et al., 2007). Thus, it seems that the influence of
2 earthworms on SOM and nutrient dynamics may depend on a number of factors including the
3 time frame in question (Lavelle et al., 2004), inherent soil properties (Marhan and Scheu, 2005),
4 the level of disturbance associated with an ecosystem (Villenave et al., 1999; Brown et al., 2000),
5 as well as the form of management in place (Pulleman et al., 2005; Fonte et al., 2007; Fonte and
6 Six, 2010).

7 Recent studies have suggested that earthworms benefit from the ready supply of organic
8 residues and the lack of burning under QSMAS management (Pauli, 2008; Pauli et al., 2009;
9 Fonte et al., 2010), and may contribute significantly to nutrient cycling and SOM dynamics
10 within this system and in part explain its success. Within this context, we sought to evaluate the
11 role of earthworms on SOM stabilization and nutrient dynamics in the Quesungual system via
12 comparisons of earthworm effects under QSMAS, tradition slash-and-burn agriculture and
13 secondary forest. We hypothesized that earthworms would stabilize SOM and fertilizer N in soil
14 aggregates, and more specifically in microaggregates within macroaggregates (earthworm casts).
15 Additionally, we postulated that earthworm influence would differ with agroecosystem
16 management, such that earthworms would increase C storage in soils under QSMAS and
17 secondary forest, but decrease C stabilization under slash-and-burn agriculture where organic
18 inputs are limited.

19

20 **Methods**

21 Site Description

22 This study was conducted in the Lempira Department of western Honduras, near the
23 border with El Salvador (N 14°4', W 88°34'). This rural and mountainous region is dominated

1 by hill slope farms intermixed with pasture and patches of sub-humid tropical forest. At roughly
2 400 m in elevation, rainfall in this region averages 1400 mm yr⁻¹, with nearly all precipitation
3 occurring between May and November. At the field site, mean monthly temperature varies
4 between 22 and 27 °C year round. Given the steep terrain in this region, soils are generally
5 shallow and rocky and dominated by Entisols (Hellin et al., 1999), with a sandy clay loam
6 texture (47% sand, 33% silt, and 20 % clay) at the field site.

7 8 Experimental design

9 The on-farm experiment was carried out in research plots (10 x 10 m) established in 2005
10 on three replicate farms each containing five management treatments: Quesungual slash-and-
11 mulch agroforestry system with inorganic fertilizer (QSMAS +F), Quesungual slash-and-mulch
12 agroforestry system with no fertilizer added (QSMAS -F), traditional slash-and-burn agriculture
13 with fertilizer (SB +F), unfertilized slash-and-burn (SB -F) and secondary forest (SF) to serve as
14 a reference. Both QSMAS and SB plots had been converted from forest on each farm. In the
15 QSMAS treatment, the forest was selectively thinned and pruned, while large woody debris was
16 removed from the plots. Small branches and leaves were left as mulch on the soil surface. In the
17 SB plots, the forest was slashed and burned, with the soil left bare at planting. Typical of
18 surrounding farms in the region, the two cropping systems were planted with a maize (*Zea Mays*;
19 L.) at the beginning of each wet season in May and followed by beans (*Phaseolus vulgaris*; L.),
20 one month prior to maize harvest (in August or September). Pruning and residue management
21 (burning or mulching) were conducted on an annual basis for the QSMAS and SB plots several
22 weeks prior to the planting of maize.

1 In early May of 2007, before the onset of the rainy season, four microcosms were
2 installed within each plot of the five treatments on all replicate farms. Microcosms consisted of
3 a 35 cm long section of PVC tubing with an inside diameter of 20.9 cm and capped with 1 mm
4 plastic mesh on both ends. A single large pit (50 x 50 cm) in each plot was excavated to a depth
5 of 35 cm and a layer of sand (5 cm) was added to the base of each pit to ensure adequate
6 drainage from the microcosms. Field moist soil removed from each pit was immediately passed
7 through a 12 mm mesh to remove large rocks and debris and then thoroughly mixed. Four
8 microcosms were placed in each pit, adjacent to each other and then filled to a depth of 30 cm
9 with the homogenized soil. Litter additions to each microcosm were representative of inputs to
10 the whole plots for each treatment (see Table 1). Microcosms under QSMAS management
11 received a mix of maize residues from the previous growing season and green leaves from three
12 common tree species found in the system. Microcosms in SB plots received no residue inputs, as
13 organic materials under SB management are typically gathered and burned prior to planting.
14 Less maize residue was applied to microcosms in the QSMAS -F plots to account for the lower
15 productivity in these plots, while the microcosms under SF received a more diverse mix litter
16 from 6 tree species with no inputs of maize residue (Table 1). Litter was applied to the
17 microcosms in QSMAS and SF prior to planting in the main plots in late May. Inorganic
18 fertilizer was applied to the +F microcosms on two dates, according to standard practices in the
19 region, 50 kg N ha⁻¹ and 55 kg P ha⁻¹ shortly after planting and 100 kg N ha⁻¹ one month later. N
20 was applied as ¹⁵N labeled ammonium nitrate (9.9 atom % ¹⁵N), while P was added as triple
21 super phosphate. Nutrients were dissolved in water and applied in solution evenly across the soil
22 surface in each microcosm. Fertilizer application was followed by a thorough watering to move
23 nutrients to deeper layers and minimize gaseous losses of N. Since fertilizer is normally buried

1 and is not in intimate contact with plant residues, the added plant residues were removed prior to
2 each fertilizer application and replaced immediately afterwards. Maize was planted adjacent to
3 the microcosms, but not within them.

4 In late-June of 2007, once soil moisture was adequately high for earthworm populations
5 to become active, two earthworm treatments, with (+W) and without (-W) worms, were
6 established in the microcosms. Prior to earthworm additions, all microcosms were voided of
7 preexisting earthworm populations using electro-shocking. Four stainless steel probes were
8 inserted vertically (30 cm deep) around the inside edge of each microcosm and a portable
9 generator was used to run a current (~2 Amps) through the soil in perpendicular directions by
10 alternating the flow between opposite probe pairs for a total of 8 minutes per microcosm.
11 Earthworms were then collected from soils adjacent to the study site by excavation and hand-
12 sorting and returned to the lab for weighing and identification. Four mature *Pontoscolex*
13 *corethrurus* individuals, the most common species at the field site (Fonte et al., 2010), were
14 added to each of the +W treatments (totaling 2.61 g fresh biomass per microcosm), while the -W
15 microcosms were electro-shocked at monthly intervals until the end of the experiment. This was
16 done to minimize the effects of small juveniles that may have entered through the 1mm mesh,
17 while producing minimal impacts on soil microbial communities (Staddon et al., 2003).

18

19 Field sampling

20 In Sept 2007 (at the time of maize harvest), microcosms were removed from the plots and
21 returned to the lab for destructive sampling. Surface soils in each microcosm (0-15 cm) were
22 sampled for aggregate fractionation by taking a single core (9.25 cm dia.). These cores were
23 weighed and a subsample was dried for bulk density determination. Field moist soils were then

1 immediately passed through an 8 mm sieve by gently breaking soil clods along natural planes of
2 weakness and air-dried for subsequent analyses. A subsample was taken for moisture
3 determination to be used for calculating bulk density. Deeper soils below 15-30 cm were
4 sampled by taking a representative subsample from the entire soil volume below 15 cm. Soils
5 from the entire microcosm were hand-sorted to assess earthworm growth and survival.

6

7 Aggregate fractionation

8 Surface soils were fractionated by wet-sieving according to Elliott (1986) to look at C
9 and N distribution among four aggregate fractions: large macroaggregates (>2000 μm), small
10 macroaggregates (250-2000 μm), microaggregates (53-250 μm) and silt and clay (<53 μm).
11 These fractions were isolated by placing 50 g of the air-dried 8 mm sieved soil on top of a 2000
12 μm sieve and submerging it in deionized water for slaking. After 5 min, the sieve was swayed
13 up and down in an oscillating motion for 50 cycles over a 2 min period. Soil remaining on the
14 sieve (large macroaggregates) was rinsed into a pre-weighed aluminum pan and placed in an
15 oven at 60 °C until dry. Material passing through the 2000 μm sieve was transferred to a 250 μm
16 sieve and sieved for another 2 min in the same manner to isolate small macroaggregates.
17 Material passing through the 250 μm sieve was then transferred to a 53 μm sieve and the process
18 repeated once more to separate microaggregates from the silt and clay fraction. All fractions
19 were dried separately at 60 °C, weighed to determine the proportion of soil in each and then
20 ground for subsequent elemental and isotopic analyses. Aggregate stability of each soil was then
21 calculated following van Bavel (1950) by summing the weighted proportions of each aggregate
22 size class to determine mean weight diameter (MWD).

1 Macroaggregates were further separated following methods outlined by Six et al. (2000).
2 A sub-sample (6 g) of the oven-dried macroaggregates (a representative mixture of large and
3 small) were slaked in deionized water for 20 min then placed on top of a modified 250 μm sieve
4 along with fifty stainless steel ball bearings (4 mm dia.). The soil and ball bearings were kept
5 submerged and shaken on reciprocal shaker until all of the macroaggregates had broken apart (5-
6 10 min). A continuous flow of water ensured that microaggregates and other materials released
7 from the broken macroaggregates quickly passed through the 250 μm mesh screen to avoid
8 further disruption. Soil passing through the 250 μm sieve was then transferred to a 53 μm sieve
9 and sieved for 2 min as described above, yielding a total of three fractions isolated from
10 macroaggregates: coarse sand and particulate organic matter ($>250 \mu\text{m}$; cPOM), microaggregates
11 within macroaggregates (53-250 μm ; mM) and macroaggregate occluded silt and clay ($<53 \mu\text{m}$,
12 Msc). These fractions were dried at 60 $^{\circ}\text{C}$, weighed to determine the contribution of each to
13 large and small macroaggregates, and then ground for subsequent analysis.

14

15 Soil nutrient and litter quality analyses

16 Ground subsamples from the bulk soil (surface and below 15 cm) and aggregate fractions
17 were analyzed for total C and N, as well as ^{15}N using a PDZ Europa Integra C–N isotope ratio
18 mass spectrometer (Integra, Germany). Litter quality analyses were conducted at the Agriculture
19 and Natural Resources (ANR) Analytical Laboratory (<http://groups.ucanr.org/danranlab/>) at the
20 University of California, Davis. Total C and N in residues were measured through combustion
21 of materials and subsequent measurement using gas chromatograph and a thermal conductivity
22 detection system (AOAC, 1997a), while ash free lignin was determined by the reflux method
23 (AOAC, 1997b).

1 The proportion of fertilizer-derived N, f , in the soil fractions from the +F treatments was
2 calculated as follows:

3

$$4 \quad f = (\text{^{15}N atom\%}_{\text{sample}} - \text{^{15}N atom\%}_{\text{n.a.}}) / (\text{^{15}N atom\%}_{\text{source}} - \text{^{15}N atom\%}_{\text{n.a.}})$$

5

6 where $\text{^{15}N atom\%}_{\text{sample}}$ is the $\text{^{15}N atom\%}$ of the sampled material, $\text{^{15}N atom\%}_{\text{n.a.}}$ is the natural
7 abundance of $\text{^{15}N}$ (determined prior to isotope additions) and $\text{^{15}N atom\%}_{\text{source}}$ is the $\text{^{15}N atom\%}$
8 of the applied inorganic N.

9 The percent of added $\text{^{15}N}$ recovered in the bulk soil and soil fractions was also
10 determined in the following manner:

11

$$12 \quad \text{^{15}N \% recovery} = 100 \times (\text{soil mass} \times [\text{N}] \times f) / \text{N}_{\text{fert}}$$

13

14 where soil mass refers to the mass (g) of the soil fraction in question, [N] refers to the
15 concentration of N in that fraction, f is the proportion of total N in the fraction that is derived
16 from fertilizer (defined above) and N_{fert} is the total amount (g) of $\text{^{15}N}$ labeled fertilizer applied to
17 each microcosm.

18

19 **Statistical analyses**

20 Soil values from the microcosms were analyzed with ANOVA using a mixed model
21 approach to a randomized split-plot block design with five management treatments representing
22 the main effects and earthworm treatment considered sub-plot factors. The model also included
23 the earthworm x management interaction, while block (farm) and the field plot were treated as

1 random variables. Due to the unbalanced design of management plots in this experiment (i.e., no
2 fertilization treatments for SF), orthogonal contrasts were for the direct comparison of the most
3 meaningful management treatment combinations (SF vs. cropping systems, +F vs. -F, and
4 QSMAS vs. SB). Natural log transformations were applied as needed to meet the assumptions of
5 ANOVA. All analyses were conducted using JMP 8.0 software (SAS Institute, 2008).

6

7 **Results**

8 Earthworm survival and treatment effectiveness

9 Earthworm manipulations proved to be largely effective with 77% survival of added
10 earthworms and an average of 1.97 g fresh biomass (79% of original) recovered from the +W
11 microcosms. Earthworm biomass recovery in the +W microcosms tended to be higher under SF
12 relative to the two cropping systems, but this difference was not significant (Table 2). Although
13 small juveniles were recovered from several of the -W microcosms, their biomass was low
14 (average 0.11 g per microcosm), indicating that earthworms were effectively excluded in this
15 treatment.

16

17 Effects on aggregation, C and N storage and soil properties

18 The influences of the earthworm and management treatments on aggregation in surface
19 soils (0-15 cm) observed in this study were small and largely insignificant. Orthogonal contrasts
20 revealed that the proportion of whole soil represented by large macroaggregates was significantly
21 higher under SF (11.8%) as compared to the cropping systems (average of 4.2%).
22 Microaggregates displayed the opposite trend, with 26.1% of the whole soil in this fraction under
23 SF versus 33.1% in the cropping systems (Table 3). Corresponding to these changes in

1 aggregate distribution, aggregate stability (MWD) was higher under SF than in the cropping
2 systems ($P = 0.037$; Fig. 1). There were no other significant influences of management on soil
3 structure observed in this study. Additionally, no significant influence of earthworms was found
4 on the proportion of whole soil found in any of the aggregate fractions. Despite this apparent
5 lack of an earthworm influence on soil structure, earthworms did reduce the soil bulk density
6 from 1.06 to 1.01 g cm⁻³ (Table 3). Neither earthworms nor management influenced soil
7 moisture content at the time sampling (data not shown).

8 In contrast to the results for soil structure, our study revealed a clear impact of
9 earthworms on SOM. This was evidenced by a decrease in the concentration of total C and N in
10 surface soils (0-15 cm) of roughly 3%, from 1.34 to 1.30 % C, with the addition of earthworms
11 ($P = 0.012$ and $P = 0.049$; for C and N respectively; Fig. 2). The loss of SOM appeared to be
12 driven by a reduction of the C concentration in macroaggregates, which represented
13 approximately 60% of the total soil mass. The concentration of C in the combined
14 macroaggregate fraction (large and small) was reduced by 2.6% in the presence of earthworms
15 across all management systems (Table 4), while large macroaggregates displayed the greatest
16 impact of earthworms with an 8% reduction in C concentration in the presence of *P. corethrus*
17 ($P = 0.027$). The earthworm induced loss of macroaggregate C was also observed in all
18 macroaggregate components (Table 4), with C concentration decreased by nearly 15% in the
19 cPOM fraction and smaller reductions for the mM and Msc fractions (Table 4). Earthworm
20 effects on N (data not shown) in the various aggregate fractions largely mirrored the differences
21 observed for C, as the cycling of these two elements are closely linked in the soil. There was no
22 influence of management system on C or N concentration or content in any of the aggregate
23 fractions or bulk soil and no significant management x earthworm interactions.

1

2 Dynamics of fertilizer N

3 Within the management treatments receiving fertilizer application (QSMAS +F and SB
4 +F), recovery of added inorganic N was low (< 25%). Although recovery of ^{15}N under SB
5 tended to be lower than for QSMAS (Fig. 3), this difference was significant only for
6 microaggregates ($P = 0.022$) and the silt and clay fraction ($P = 0.017$). The effect of earthworms
7 was more dramatic. Earthworms decreased the recovery of ^{15}N in the microcosms by over a
8 third ($P < 0.001$), from 16.8% to 11.1% of total N added. This was mainly driven by differences
9 in the surface 15 cm of soil ($P < 0.001$; Fig. 3) and a corresponding decrease for the
10 incorporation of fertilizer N into all soil fractions ($P < 0.05$). The recovery of fertilizer N below
11 15 cm (data not shown) was not significantly influenced by earthworms or management.

12

13 **Discussion**

14 Management influences on soil structure

15 The influences of management in this study were relatively small and limited to minor
16 effects on soil aggregation (Fig. 1). Of greatest relevance, the lower proportion of large
17 macroaggregates in the two cropping systems relative to SF suggests that conversion of forest to
18 agriculture negatively impacts aggregate stability (Fig. 1; Table 3), with potential long-term
19 implications for SOM stabilization and storage (Paustian et al., 1997; Six et al., 2002). However,
20 the relatively minor differences observed between management systems raise some questions. In
21 examining soil structure and aggregate-associated SOM dynamics in these same plots (outside of
22 the microcosms), Fonte et al. (2010) found similar, albeit much larger differences between SF
23 and the two cropping systems. They found the conversion of forest to the cropping systems to

1 reduce aggregate stability (MWD) by as much as 80% and decreased C and N storage in
2 aggregate fractions, particularly large macroaggregates. We suspect that the less dramatic
3 decrease associated with forest conversion observed in this study is related to an overall
4 reduction in aggregation, resulting both from the disturbance associated with experiment
5 installation (soil excavation and homogenization) as well as the absence of growing roots in the
6 microcosms. For example, in undisturbed soil under SF, Fonte et al. (2010) found large
7 macroaggregates to comprise over 43% of the total soil mass during the rainy season, whereas
8 large macroaggregates in this study (also in the wet season) contributed less than 12% to the
9 whole soil in microcosms under SF management. This same trend holds true across other
10 management treatments and suggests that microcosm studies may be more appropriate for
11 evaluating the influence of earthworms than for determining direct management effects on
12 aggregate-associated SOM.

13

14 Earthworm impacts on SOM

15 Results from this study did not support our hypothesis that earthworms stabilize SOM
16 within soil aggregates. Furthermore, their influence does not appear to depend on the
17 management system in place. Alternatively, *P. corethrurus* appeared to facilitate the loss of
18 SOM more or less uniformly across all management treatments (Fig. 2). Although a number of
19 studies have demonstrated that earthworms can incorporate organic matter into macroaggregate
20 fractions (Bossuyt et al., 2004; Fonte et al., 2007; Fonte and Six, 2010), others have indicated a
21 decline in soil C similar to what we observed in this study (Desjardins et al., 2003; Marhan and
22 Scheu, 2005; Coq et al., 2007). A number of factors may explain these apparently contradictory
23 results. For example, soil texture may play an important role, as sandier soils are thought to

1 facilitate the comminution of residues during gut passage and lead to an increased C loss from
2 casts (Marhan and Scheu, 2005). The type of ecosystem and level of disturbance may be
3 important too, as Villenave et al. (1999) found earthworms to stabilize C only in soils under
4 highly disturbed conditions. The earthworm species in question is likely to play a role, since
5 earthworms vary widely in food source and burrowing habits (Lavelle, 1988). Of particular
6 relevance, the exotic *P. corethrurus* used in this study has been associated with negative impacts
7 on soil structure and SOM (Chauvel et al., 1999; Barrios et al., 2005), suggesting that our
8 findings may not be entirely applicable to other earthworm species. Additionally, the influence
9 of earthworms on SOM may depend on the time scale under consideration, such that earthworms
10 accelerate C mineralization initially, but slow SOM decay in the long term (Martin, 1991; Brown
11 et al., 2000; Lavelle et al., 2004). Although this study specifically addressed the role of
12 management, the agroecosystem types examined here did not appear to influence the impact of
13 earthworms on SOM dynamics. We suggest however, that management differences, particularly
14 within the microcosms, were not as different as intended and this may at least partly explain the
15 lack of a management effect on earthworms.

16 Contrary to our expectations, *P. corethrurus* had no significant effect on aggregate
17 stability (Fig. 1.) and were found to deplete C within macroaggregates leading to a loss in SOM
18 (Fig. 2). Meanwhile, in a similar study conducted near this field site, Fonte and Six (2010) found
19 *P. corethrurus* to both improve aggregation and the incorporation of SOM into large
20 macroaggregates, with no reduction in soil C. This effect, however, was only observed with the
21 addition of plant litter to the soil surface indicating that fresh residue inputs are key for
22 earthworms to stabilize SOM at this site (Fonte and Six, 2010). Although leaf litter was added to
23 the QSMAS and SF treatments, we suggest that residue inputs in this study were insufficient to

1 support the earthworm populations present in our microcosms. In addition to greater quantities
2 of added surface litter (roughly double) applied by Fonte and Six (2010), earthworms also
3 received considerable belowground C inputs (e.g., roots) from maize plants growing in the
4 mesocosms of their study, which can serve as an important food source for soil fauna (Pollierer
5 et al., 2007). Furthermore, the soils they used contained higher background levels of SOM
6 (2.3% C vs. 1.3% C in this study) leading to greater overall availability of C to support
7 earthworm populations. Higher availability of C is corroborated by a general decline in
8 earthworm biomass observed in this study across all microcosms (Table 2), whereas earthworm
9 growth was reported by Fonte and Six (2010). We therefore speculate that a general deficiency
10 in fresh C inputs led *P. corethrurus* to rely more heavily upon preexisting, older SOM pools,
11 thus resulting in the loss of soil C observed here.

12 Changes to SOM storage within the different aggregate fractions further indicate that
13 earthworms were deficient in organic resources, particularly fresh residue inputs. For example,
14 losses in SOM were driven predominantly by a decrease in macroaggregate-associated C (Table
15 4). A large proportion of this fraction (large macroaggregates in particular) likely consists of
16 earthworm casts, which we would expect to become depleted in C as earthworms were forced to
17 consume soil that was increasingly deficient in organic resources. The disproportionate loss of
18 macroaggregate-associated SOM from the cPOM fraction further corroborates the idea that fresh
19 residues were in short supply, as this fraction is largely composed of relatively unprocessed,
20 labile organic matter and is highly dependent on fresh C inputs for renewal. The comparatively
21 high loss of cPOM-C from the +W microcosms agrees with past research suggesting that this
22 fraction is important for earthworm nutrition (Fonte et al., 2009). Given that fresh residue C has
23 been shown to play a vital role in stabilizing earthworm casts (Guggenberger et al., 1996;

1 Haynes and Fraser, 1998), the failure of earthworms to improve aggregation is also consistent
2 with a general deficiency of available C in the soil. In agreement with the ideas we present here,
3 Pulleman et al. (2005) suggested that earthworms could only effectively stabilize C with given
4 sufficient availability of organic resources. These findings suggest that earthworms might
5 effectively stabilize SOM given proper management, but could destabilize SOM in the absence
6 of sufficient C inputs.

7 The observed decrease in bulk density by earthworms in this study is likely related to the
8 loss in SOM in the +W microcosms. Earthworm additions in this study appeared to correspond
9 with improved water infiltration (personal observation) and likely impacted a number of other
10 associated soil properties. Improved aeration, in particular, could have facilitated the
11 decomposition of SOM (Paul and Clark, 1996). Additionally, the presence of earthworms may
12 have altered the activity and diversity of other soil decomposer organisms (Brown, 1995),
13 indirectly impacting the decay of SOM. Although earthworms may have impacted SOM
14 indirectly via effects on bulk density and soil structure, it is perhaps more plausible that
15 earthworm induced decreases in SOM led to decreases in bulk density. Corroborating this idea,
16 earthworm burrowing activity has been suggested to increase when food resources are limited,
17 since earthworms must explore a larger soil volume to meet nutritional requirements (Marhan
18 and Scheu, 2005).

19

20 Influences on fertilizer N

21 Recovery of fertilizer N was very low in both cropping systems and suggests that in the
22 absence of plant roots to take up fertilizer N, this labile N pool is quickly lost. Despite the low
23 retention of ¹⁵N in these microcosms, important impacts of cropping system were revealed, as

1 was indicated by higher ¹⁵N recovery in the microaggregate as well as silt and clay fractions
2 under QSMAS management. Although these differences appear small (Fig. 3), they are
3 potentially important because they occur despite higher (albeit non-significant) soil C under SB
4 vs. QSMAS management (Fig. 2). This suggests that the small amount of fresh residue C that
5 was added to the microcosms under QSMAS may have been more effective in immobilizing
6 fertilizer N than the comparatively large pool of soil C found in the microcosms under SB. We
7 might expect differences in retention between QSMAS and SB management to increase when the
8 actions of roots are considered, since root density is likely higher under QSMAS due to the
9 inclusion of trees in the system.

10 Of greater consequence for N dynamics in this study was the impact of earthworms. *P.*
11 *corethrurus* drastically reduced the recovery of fertilizer N under both cropping systems and
12 overwhelmed the influence of agricultural practice (Fig. 3). Given that QSMAS has been shown
13 to promote earthworm populations to a much greater extent than SB (Fonte et al., 2010), this
14 effect may negate the slight increase in retention observed under QSMAS and emphasizes the
15 need to fully consider soil faunal activities when evaluating overall agroecosystem performance.
16 A number of studies have shown earthworms to facilitate N loss via leaching (Subler et al., 1997;
17 Dominguez et al., 2004), but this appears to depend to a large extent on the form of N added.
18 For example, earthworms have been shown to facilitate the loss of inorganic N additions, but not
19 N added in organic forms (Bohlen et al., 1999; Fonte et al., 2007). The earthworm induced N
20 losses observed in this study may also have resulted from gaseous losses of N, as earthworm
21 casts have been suggested to be ideal microsites for denitrification due to high moisture content,
22 anaerobic conditions, and high concentrations of labile C and N (Parkin and Berry 1999, Rizhiya
23 et al. 2007). It should be noted that N losses could be counteracted by the presence of plants, as

1 earthworms have been shown to increase plant uptake of labile N (Baker et al., 2002). The
2 findings of Fonte and Six (2010) further corroborate this idea, as *P. corethrurus* in their study
3 decreased fertilizer N recovery in the soil, but increased recovery in plants by a similar
4 magnitude. Despite the clear effect of earthworms in the present study and ample evidence
5 demonstrating that some earthworms can facilitate loss of N, we suggest that the effect of
6 earthworms on N dynamics is more complex and likely depends on the ecological context.

7 Although not directly concluded from the results of this study alone, ample evidence
8 exists to suggest that the findings presented here were influenced in part by the use of simplified
9 microcosms (i.e., no active plant roots) to manipulate earthworm populations. In spite of this,
10 the research presented here provides valuable information about the potential influence of *P.*
11 *corethrurus* on SOM and nutrient dynamics, but urges careful consideration in the interpretation
12 of studies employing a similar research approach.

13

14 **Conclusion**

15 This study emphasizes the potential importance of soil fauna in governing soil C and N
16 dynamics in small holder farms in the tropics. In this study, the exotic earthworm *P. corethrurus*
17 appeared to negatively affect several key ecosystem services by instigating a loss of soil C and
18 reducing fertilizer N retention in surface soils. Despite the apparent deleterious impacts of
19 earthworms on nutrient cycling reported here, there is strong evidence to suggest that these
20 findings may result from a lack of plant influence in the microcosms. This emphasizes caution
21 in the interpretation of findings where earthworms are manipulated in highly simplified settings
22 (i.e., field microcosms, incubation studies). We suggest that large inputs of fresh residue C
23 (from roots and litter) may be important for earthworms to have a beneficial effect on SOM

1 stabilization and nutrient dynamics. In light of this research we suggest that the Quesungual
2 system may offer key advantages for small farmers in this region given that high levels of
3 organic matter inputs can be maintained. Findings of our study emphasize the need to fully
4 evaluate the impacts of soil fauna for any management option that may encourage their
5 populations.

6

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17

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1 **Table 1:** Litter and nutrient additions to microcosms within different management systems (SF =
 2 secondary forest; QSMAS = Quesungual slash-and-mulch agroforestry system; SB = slash-
 3 and-burn agriculture; +F = inorganic fertilizer added; -F no inorganic fertilizer).

4

Treatment	Biomass Added		Litter Types Applied ^a	Litter Quality Indicators				Fertilizer Additions ^b	
	Maize Residues	Litter Inputs		N	P	Lignin	C:N	N	P
	— g m ⁻² —								
SF	0	82.0	Ba, Ca, Dr, Lo, Mi, Pg	2.95	0.19	12.9	17.6	0	0
QSMAS +F	205.0	41.0	Ca, Dr, Pg	1.89	0.26	5.9	23.1	15.1	5.5
QSMAS -F	102.5	41.0	Ca, Dr, Pg	2.09	0.26	6.6	23.5	0	0
SB +F	0	0	-	-	-	-	-	15.1	5.5
SB -F	0	0	-	-	-	-	-	0	0

^a Tree species used in litter additions: Ba - *Bauhinia sp.* (sub-family Caesalpinoideae, family Leguminosae); Ca - *Cordia alliodora* (Boraginaceae) ; Dr - *Diphyssa robinoides* (sub-family Papilionoideae, family Leguminosae); Lo - *Lonchocarpus sp.* (sub-family Papilionoideae, family Leguminosae); Mi - *Miconia sp.* (Melastomataceae); Pg - *Psidium guajava* (Myrtaceae)

^b N was applied as ammonium nitrate and P as triple super phosphate

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Table 2: Survival and biomass recovery of the earthworm *Pontoscolex corethrurus* in field microcosms under different management treatments (SF = secondary forest; QSMAS = Quesungual slash-and-mulch agroforestry system; SB = slash-and-burn agriculture; +F = inorganic fertilizer added; -F no inorganic fertilizer) sampled in September 2007 in western Honduras.

Treatment	Survival ^a		Biomass Recovery ^b	
	%		g	
SF	81.3	<i>7.7</i>	2.45	<i>0.38</i>
QSMAS +F	70.8	<i>10.0</i>	1.88	<i>0.38</i>
QSMAS -F	68.8	<i>12.0</i>	1.56	<i>0.34</i>
SB +F	79.2	<i>10.0</i>	1.80	<i>0.25</i>
SB -F	83.3	<i>8.3</i>	2.14	<i>0.20</i>

^a Survival of mature *P. corethrurus* added to each microcosm
^b Fresh biomass - includes mature earthworms, juveniles and cocoons; out of 2.61 g added

1 **Table 3:** Aggregation and bulk density in field microcosms under different earthworm and
 2 management treatments (SF = secondary forest; QSMAS = Quesungual slash-and-mulch
 3 agroforestry system; SB = slash-and-burn agriculture; +F = inorganic fertilizer added; -F no
 4 inorganic fertilizer; +W = earthworms added; -W = earthworms excluded) sampled in
 5 September 2007 in western Honduras. P-values for management and earthworm effects are
 6 reported below each column. No significant earthworm x management interaction was
 7 found.

Treatment		Bulk Density	Aggregate Fractions ^a				Macroaggregate Components ^b		
Management	Earthworm	g cm ⁻³	Large Macros	Small Macros	Micros	Silt & Clay	cPOM	mM	Msc
		———— % of Whole Soil ————				— % of Macros —			
SF	+W	1.01	11.5	53.9	26.4	8.1	31.8	47.9	20.3
SF	-W	1.04	12.2	53.9	25.8	8.1	31.4	48.2	20.4
QSMAS	+F +W	0.99	4.2	54.4	33.5	7.9	38.9	45.1	16.0
QSMAS	+F -W	1.05	3.9	54.7	33.4	8.0	36.6	45.5	17.9
QSMAS	-F +W	1.04	4.5	50.2	35.1	10.3	40.6	41.5	17.8
QSMAS	-F -W	1.09	2.8	50.4	35.9	10.9	39.5	42.6	18.0
SB	+F +W	0.99	3.8	57.6	31.9	6.8	31.4	52.3	16.3
SB	+F -W	1.05	3.3	55.9	33.2	7.6	31.6	52.5	15.9
SB	-F +W	1.04	5.4	54.3	30.1	10.2	33.0	47.1	19.9
SB	-F -W	1.06	6.1	53.6	31.7	8.6	34.6	46.8	18.6
Management Effect^c		ns	0.034	ns	0.029	ns	ns	ns	ns
Earthworm Effect^d		< 0.001	ns	ns	ns	ns	ns	ns	ns

^a Large Macros = macroaggregates (> 2000 µm); Small Macros = macroaggregates (250-2000 µm); Micros = microaggregates (53-250 µm); Silt & Clay = combined silt and clay fraction (< 53 µm)

^b Components within combined large and small macroaggregate fraction; cPOM = coarse sand and particulate organic matter; mM = microaggregates within macroaggregates; Msc = macroaggregate occluded silt and clay

^c P-value for orthogonal contrasts comparing SF with agricultural systems; ns = P > 0.05

^d P-value for earthworm effect across all management treatments based on ANOVA; ns = P > 0.05

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1 **Table 4:** Concentration of C in soil aggregate fractions in field microcosms under different
 2 earthworm and management treatments (SF = secondary forest; QSMAS = Quesungual
 3 slash-and-mulch agroforestry system; SB = slash-and-burn agriculture; +F = inorganic
 4 fertilizer added; -F no inorganic fertilizer; +W = earthworms added; -W = earthworms
 5 excluded) sampled in September 2007 in western Honduras. P-values for earthworm effects
 6 are reported below each column. No significant earthworm x management interaction was
 7 found.

Treatment		Aggregate Fractions ^a			Macroaggregate Components ^b		
Management	Earthworm	Macros	Micros	Silt & Clay	cPOM	mM	Msc
		% C			% C		
SF	+W	1.31	1.10	1.36	0.78	1.62	1.97
SF	-W	1.36	1.09	1.44	1.03	1.66	1.93
QSMAS +F	+W	1.19	1.08	1.44	0.66	1.60	1.87
QSMAS +F	-W	1.27	1.07	1.46	0.83	1.64	2.04
QSMAS -F	+W	0.97	0.95	1.28	0.45	1.32	1.79
QSMAS -F	-W	0.99	0.97	1.35	0.54	1.42	1.95
SB +F	+W	1.51	1.39	1.98	0.75	1.81	2.32
SB +F	-W	1.53	1.39	1.89	0.72	1.82	2.40
SB -F	+W	1.41	1.32	1.62	0.68	1.73	2.13
SB -F	-W	1.44	1.34	1.67	0.77	1.79	2.27
Earthworm Effect^c		0.014	ns	ns	< 0.001	0.022	0.031

^a Macros = macroaggregates (250-2000 μm); Micros = microaggregates (53-250 μm); Silt & Clay = combined silt and clay fraction (< 53 μm)

^b Components within combined large and small macroaggregate fraction; cPOM = coarse sand and particulate organic matter; mM = microaggregates within macroaggregates; Msc = macroaggregate occluded silt and clay

^c P-value for earthworm effect across all management treatments based on ANOVA; ns = P > 0.05

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1 **Figure Captions**

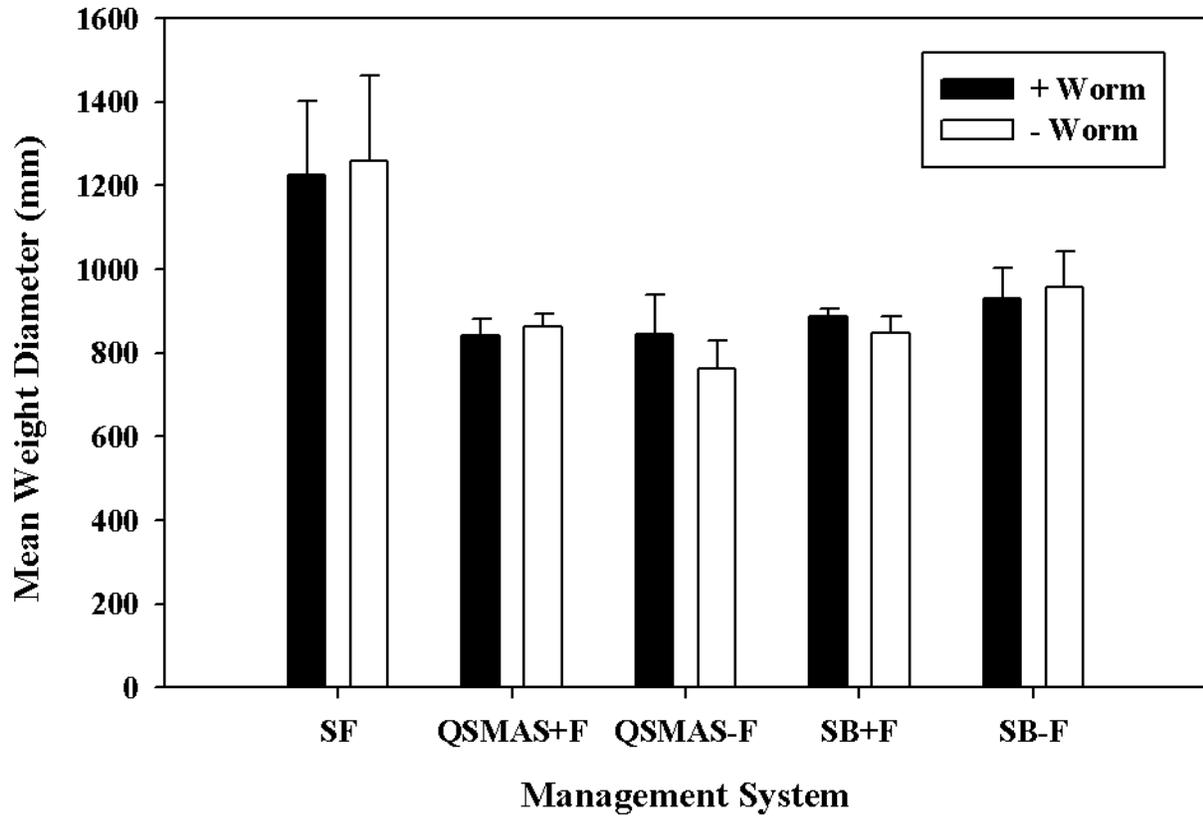
2 **Figure 1:** Aggregate stability of surface soil (0-15 cm) in field microcosms under different
3 earthworm and management treatments (SF = secondary forest; QSMAS = Quesungual
4 slash-and-mulch agroforestry system; SB = slash-and-burn agriculture; +F = inorganic
5 fertilizer added; -F no inorganic fertilizer; + Worm = earthworms added, - Worm =
6 earthworms excluded) sampled in September 2007 in western Honduras. Error bars
7 represent the standard error around each treatment mean.

8 **Figure 2:** Total C in surface soils (0-15 cm) in field microcosms under different earthworm and
9 management treatments (SF = secondary forest; QSMAS = Quesungual slash-and-mulch
10 agroforestry system; SB = slash-and-burn agriculture; +F = inorganic fertilizer added; -F no
11 inorganic fertilizer; + Worm = earthworms added, - Worm = earthworms excluded) sampled
12 in September 2007 in western Honduras. Error bars represent the standard error around each
13 treatment mean.

14 **Figure 3:** Recovery of fertilizer-derived nitrogen in aggregate fractions isolated from the surface
15 soil (0-15 cm) within field microcosms under different earthworm and management
16 treatments (QSMAS = Quesungual slash-and-mulch agroforestry system; SB = slash-and-
17 burn agriculture; + Worm = earthworms added, - Worm = earthworms excluded) sampled in
18 September 2007 in western Honduras. Error bars represent the standard error around each
19 treatment mean.

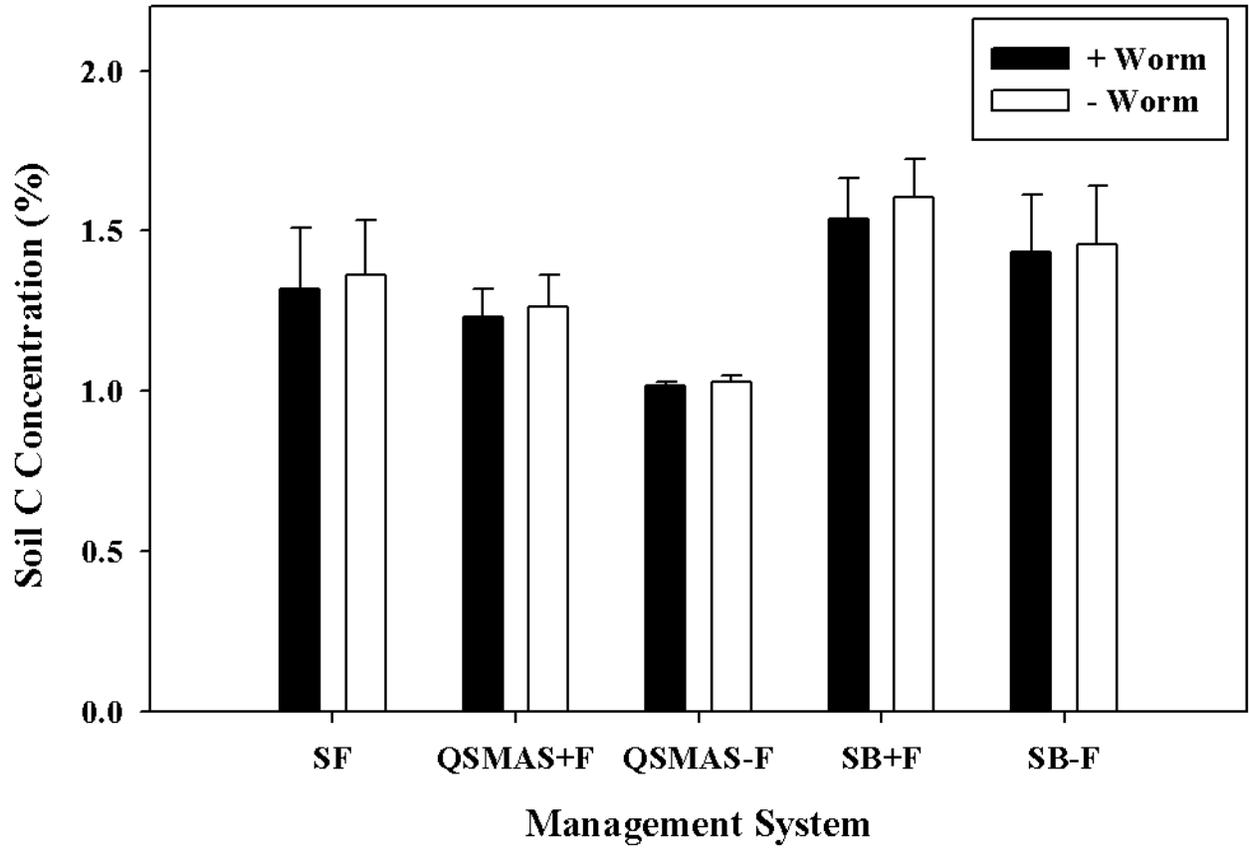
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1 **Figure 1**



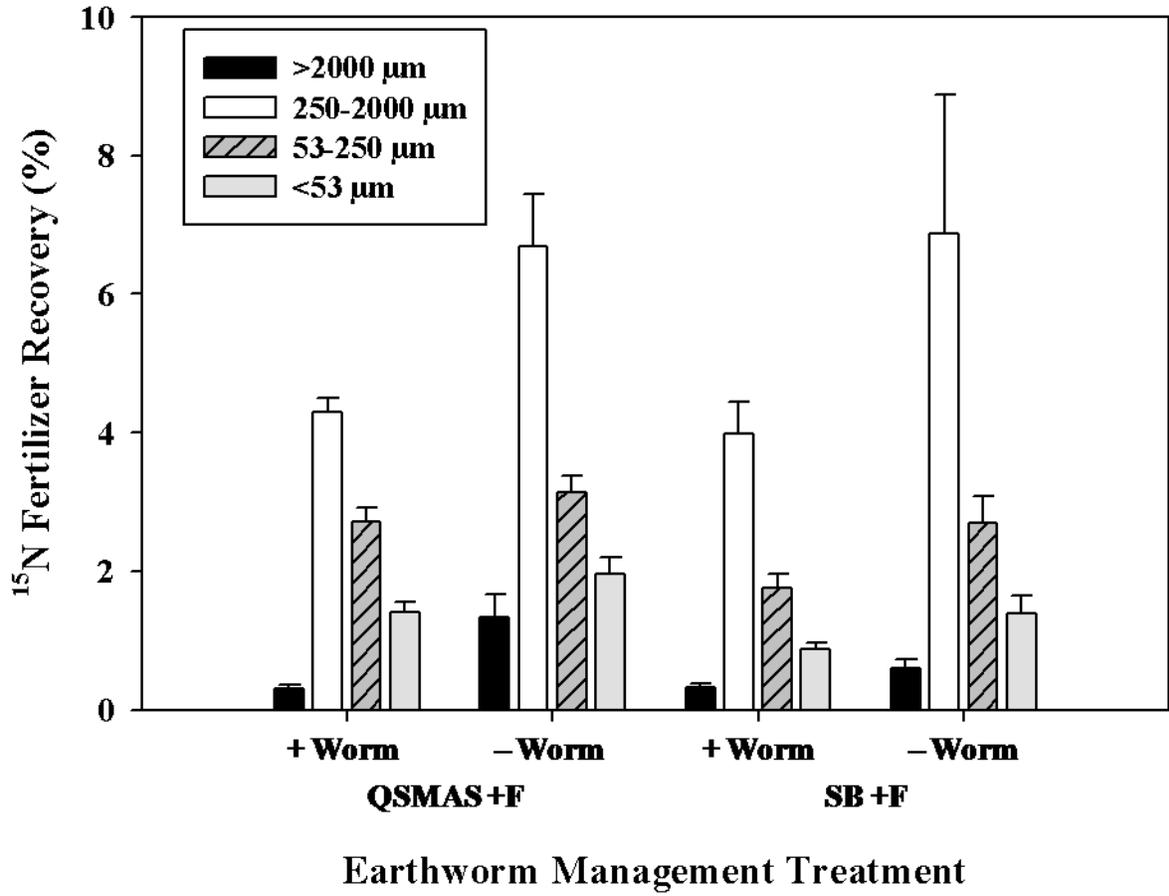
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1 **Figure 2**



2

1 **Figure 3**



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