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Title: Relationship between the Kinetics of B-Carotene Degradation and Formation of Norisoprenoids in the Storage of Dried Sweet Potato Chips

Article Type: Research Article

Keywords: carotenoids; dried sweet potato; Ipomoea batatas L; kinetics; norisoprenoids; oxygen; storage; temperature; volatile compounds; water activity

Corresponding Author: Mrs Claudie Dhuique-Mayer, PhD

Corresponding Author's Institution: Centre International de Recherche Agronomique pour le Développement (CIRAD)

First Author: Aurelie Bechoff

Order of Authors: Aurelie Bechoff; Claudie Dhuique-Mayer; Manuel Dornier; Keith I Tomlins; Renaud Boulanger; Dominique Dufour; Andrew Westby

Abstract: The effects of storage temperature (10; 20; 30; 40 °C), water activity (0.13; 0.30; 0.51; 0.76) and oxygen level (0%; 2.5%; 10%; 21%) on the degradation of carotenoids and formation of volatile compounds during storage of dried sweet potato chips were evaluated. A kinetic model was developed for degradation of trans-B-carotene and it showed that breakdown followed first order kinetics with an activation energy of 64.2 kJ.mol⁻¹. The difference between experimental data under laboratory or field conditions fitted and data predicted by the model was less than 10% for trans-B-carotene, or for total carotenoids. The formation of the volatile compounds, B-ionone; 5,6-epoxy-B-ionone; dihydroactinidiolide; B-cyclocitral, was measured by SPME-GC-MS and was clearly related to the degradation of trans-B-carotene. It is also suggested that carotenoid degradation in dried sweet potato was by autoxidation because of the trend in B-carotene degradation rate in relation to water activity or oxygen level.

To: The Editor
Food Chemistry

Dear Editor,

Please find attached our revised publication entitled "Relationship between the Kinetics of β -Carotene Degradation and Formation of Norisoprenoids in the Storage of Dried Sweet Potato Chips". Please find our revised manuscript in attached document in two versions:

- a revised version (clean: without track changes) (*Paper food chem revised.doc*);
- a copy of this version with track changes from the previous submitted version (28/07/09) to facilitate the Editor's correction work (*Paper food chem. track changes.doc*).

The corrections of the manuscript following point by point the reviewers' comments are in the attached file (*Reviewers comments food chem.doc*).

For your information please find as well our previous publication "Effect of drying and storage on the degradation of carotenoids in orange-fleshed sweetpotato cultivars" submitted to the Journal of the Science of Food and Agriculture (June 09) as supplementary documentation.

Please find also a list of the complete address details of the potential reviewers.

Best regards,

Claudie Dhuique-Mayer

Claudie Dhuique-Mayer (Corresponding author) Email: dhuique@cirad.fr
UMR Qualisud, Centre International de Recherche Agronomique pour le Développement (CIRAD), Montpellier SupAgro, TA B-95/16, 73 av. J.F. Breton 34398, Montpellier Cedex 5, France Tel. : +33(0)467614482. Fax: +33(0)467614433

The co-authors and their contact email are the following:

- Aurelie Bechoff (first author) Email: aureliebechoff@hotmail.com or a.bechoff@gre.ac.uk
- Manuel Dornier Email: manuel.dornier@cirad.fr
- Keith Tomlins Email: k.i.tomlins@gre.ac.uk
- Renaud Boulanger Email: renaud.boulanger@cirad.fr
- Dominique Dufour Email: d.dufour@cgiar.org
- Andrew Westby Email: a.westby@gre.ac.uk

List of reviewers:

The suggested reviewers (6) for this are the following:

Vera Lavelli, DISTAM, Dipartimento di Scienze e Tecnologie Alimentari e Microbiologiche, Università degli Studi di Milano, via Celoria 2, 20133 Milano, Italy, Tel.: +39 250316622; fax: +39 250316632. E-mail address: vera.lavelli@unimi.it

Steven Schwartz Department of Food Science and Technology, 144 Howlett Hall, 2001 FyRe Court, The Ohio State University, Columbus, OH 43210-1096, USA. Tel:+1 6142922934; fax:+1 6142924233; Email: schwartz.177@osu.edu

Ulla Kidmose Department of Food science Kirstinebjergvej 10, P.O. Box 102 DK5792 Aarslev Denmark Tel: +45 89993403. Email: ulla.kidmose@agrsci.dk

Yves Wache Laboratoire de Microbiologie UMR UB/INRA, Ensba, 1 Esplanade Erasme, 21000 Dijon, France. Tel+ 33 038039 6673, Fax.: +33 03 8039 6640. E-mail: ywache@u-bourgogne.fr

Reviewers' comments and correction of the manuscript

Responses to the reviewers' comments are in bold. Numbered lines are from the current revised manuscript (see version with track changes).

Reviewer #1:

The manuscript mainly dealt with relationship between the kinetics of carotenoid degradation and volatile compounds formation in the storage of dried sweet potato chips. Although the novelty of study is not obvious and there aren't enough new findings, the study is useful for directing the practical storage of potato. As the authors cited, the degradation of carotenoids has been widely studied in food products. Most of the studies in this manuscript were only the validation of previous research. Also as the authors pointed out, further research is required to understand nature of the intermediate compounds between B-carotene and norisoprenoids formed during storage and the kinetics of their formation and degradation. In addition, some questions in the manuscript should be addressed.

1. What is the purpose of undertaking HPLC analysis? Was it used for detection of B-carotene? How was the HPLC analysis undertaken? What were the control compound, analytical column, mobile phases etc.?

HPLC was used for the detection of B-carotene and other minor carotenoids such as 5,6 epoxy-B-carotene (identified in Bechoff et al. 2009a) and also tested for other degradation compounds such as apocarotenoids (Rodriguez and Rodriguez Food Chemistry 2007-“Formation of apocarotenals and epoxy-carotenoids from b-carotene by chemical reactions and by autoxidation in model systems and processed foods”). Because degradation compounds could not be identified using HPLC, another technique, SPME-GC-MS, was tested and degradation compounds were successfully found using this technique.

More details about HPLC conditions are given in Materials and Methods lines 139-150 (standard, column details and mobile phases...).

2. Which analytical method was used for quantification of 5,6-epoxy-B-ionone, B-cyclocitral and DHA, SPME-GC method or SPME-GC-MS method?

The SPME-GC-MS was used for the detection and quantification of the norisoprenoids. Line 153.

Although the chemical structures of the three compounds was close to that of B-ionone, I don't think it is acceptable to calculate the concentrations of the three compounds based on the B-ionone standard curve. Especially when the extraction technique SPME was applied, the difference of signal response caused by same concentration between different compounds may be further amplified. In fact, the authors did not obtain the real concentrations of the volatile compounds in samples. It would be more reasonable if

concentrations of the three compounds were expressed as relative abundance ratio of the target compound to internal compound B-ionone.

We agree with these comments. The ratios (also called relative contents) of peak area at initial time divided by peak area at time t were calculated for each volatile. Because we had the B-ionone standard, the concentrations of this volatile could be calculated as well the ratios (double y axis in Figures 3&4. Explanations are given in Materials and Methods (lines 177-184). Real B-ionone contents are given instead of total volatiles contents given in the previous version that were only estimations (lines 389-391; 416-419; 431-441).

Some minor points:

Line 160: is the capillary column 160 m long?

Line 255-256: "a a"?

Line 258: "in it predictions"?

Line 299: "than that"?

Line 346: "enclosed)"?

Line 348: "(3):" and "an with"?

Line 432: "lower that"?

Corrected.

Reviewer #2:

Authors treat the relationship between the degradation of two carotenoids and the formation of volatile compounds in chips. Introduction and Experimental parts are good designed, but paper fail in the optimization strategy and in the application parts. For this reason, this reviewer suggests more work before publication in Food Chemistry.

In order to give more explanation about the optimization strategy of the study and give some applications, we added Figure 1 that gives the prediction curves of B-carotene, 5,6-epoxy- B-carotene storage times at different levels of carotenoid loss. A corresponding paragraph has been added in Results and Discussion (lines 260-271). Predictions of carotenoid losses in dried sweet potato chips using the kinetic models can be used for practical applications, such as the determination of product shelf life. This supplementary work is a real added value in agreement with the objective of the paper.

In the Introduction a few words about the application have also been added (line 47). One sentence in conclusion (lines 459-462) about a possible application for B-carotene degradation using SPME-GC-MS has been added.

Minor comments: Some typos can be observed in all the text and must be corrected.

* Please change the title, including the name of the two carotenoids.

The title has been altered. For more precision, the word "carotenoids" has been replaced by "B-carotene" in the title and running head and "volatile compounds" by "norisoprenoids" in the title.

Furthermore “5,6 epoxy-B-carotene” has been removed from the abstract in order to put the focus on B-carotene, the main carotenoid in sweet potato. This gives more clarity on the main outcomes of the work.

* In abstract please introduce more details.

A sentence has been added in the abstract about the model prediction: “The difference between experimental data under laboratory or field conditions fitted and data predicted by the model was less than 10% for trans- β -carotene, or for total carotenoids.”

* Mathematical treatment of page 10 is obvious and can be deleted.

OK. Moved under Table 2.

* The number of figures must be reduced to a maximum of four.

This has been achieved as follows:

- Replaced Fig. 1 B-carotene kinetics by Fig 1. A. B.C Prediction curves
- Figure 2 – no change
- Former figures 3,4,5 – deleted
- Former figure 6 now called Figure 3
- Former figure 7 now called Figure 4
- Table 1 – added Kinetics of degradation under various water activities (B) and at different oxygen levels (C)
- Table 2 – no change
- Table 3 – no change

The other changes in the document are the following:

- Replaced “constant rates” by “rate constants” throughout the text
- Line 74 “at constant temperature of 40 °C”
- Line 87 “ \pm standard deviation”
- Line 93 “in accordance with Lavelli et al. (2007)”
- Removed paragraph-line 311. (formely being lines 202-313 in the submitted manuscript). These explanations about U-shape trend between water activity and rate constants were found un-necessary for the understanding of the work.
- A reference, which was part of this paragraph, has been removed: Arya, S.S., Natesan, V., Parihar, D.B. & Vijayaraghavan, P.K. (1979). Stability of carotenoids in dehydrated carrots. *Journal of Food Technology*, 14, 579-586.
- Line 473 “We thank David R. Hall for the revision of the manuscript.”
- Figures 3 and 4 Titles “Degradation of trans- β -carotene and production of identified norisoprenoids during storage of dried sweet potato chips at 40 °C under air at different water activities (β -carotene and β -ionone expressed as $\mu\text{g}\cdot\text{g}^{-1}$ fresh weight; volatile degradation products, as ratio: peak area at time t divided by peak area at initial time); Error bars refer to standard deviation (n=3).”
- Other minor changes are indicated by track changes

Relationship between the Kinetics of β -Carotene Degradation and Formation of Norisoprenoids in the Storage of Dried Sweet Potato Chips

Running head: β -Carotene Degradation & Norisoprenoid Formation in Dried Sweet Potato

Aurélie Bechoff¹, Claudie Dhuique-Mayer^{2*}, Manuel Dornier², Keith I. Tomlins¹, Renaud Boulanger², Dominique Dufour^{2,3} and Andrew Westby¹

¹ Natural Resources Institute (NRI), University of Greenwich, Central Avenue, Chatham Maritime, Kent ME4 4TB, United Kingdom.

² UMR Qualisud, Centre International de Recherche Agronomique pour le Développement (CIRAD), Montpellier SupAgro, TA B-95/16, 73 av. J.F. Breton 34398, Montpellier Cedex 5, France.

³ International Center for Tropical Agriculture (CIAT), Recta Cali-Palmira, km 17 A.A. 6713, Cali, Colombia

*To whom correspondence should be addressed. Tel.: +33/467614482. Fax: +33/467614433. E-mail: claudie.dhuique-mayer@cirad.fr

1 **Abstract:**

2 The effects of storage temperature (10; 20; 30; 40 °C), water activity (0.13; 0.30; 0.51;
3 0.76) and oxygen level (0%; 2.5%; 10%; 21%) on the degradation of carotenoids and
4 formation of volatile compounds during storage of dried sweet potato chips were
5 evaluated. A kinetic model was developed for degradation of trans- β -carotene and it
6 showed that breakdown followed first order kinetics with an activation energy of 64.2
7 kJ.mol⁻¹. The difference between experimental data under laboratory or field conditions
8 fitted and data predicted by the model was less than 10% for trans- β -carotene, or for
9 total carotenoids. The formation of the volatile compounds, β -ionone; 5,6-epoxy- β -
10 ionone; dihydroactinidiolide; β -cyclocitral, was measured by SPME-GC-MS and was
11 clearly related to the degradation of trans- β -carotene. It is also suggested that carotenoid
12 degradation in dried sweet potato was by autoxidation because of the trend in β -
13 carotene degradation rate in relation to water activity or oxygen level.

14

15 **KEYWORDS:** carotenoids; dried sweet potato; *Ipomoea batatas* L; kinetics;
16 norisoprenoids; oxygen; storage; temperature; volatile compounds; water activity

17

18 **Introduction**

19 Carotenoids are organic pigments found in plants that play an important role as vitamin
20 A precursors in the human diet. In contrast to most plant foods, about 90% of the
21 carotenoid content of orange-fleshed sweet potato (OFSP) is trans- β -carotene (Bechoff
22 et al., 2009a; Bengsston, Namutebi, Larsson Alminger & Svanberg, 2008). Hence
23 OFSP provides a straightforward “model system” for understanding the degradation of
24 β -carotene. The Ejumula variety, for example, cultivated in Uganda, has been reported
25 to contain up to $325\mu\text{g}\cdot\text{g}^{-1}$ β -carotene on a dry basis (Bengsston et al., 2008), making it a
26 very good source of provitamin A. Such varieties could contribute to tackling vitamin
27 A deficiency, a main public health issue in the developing world (Bechoff et al., 2009a;
28 Bengsston et al., 2008). Degradation of pro-vitamin A during the storage of dried sweet
29 potato chips at ambient temperature has been demonstrated to be a significant problem
30 (Emenhiser et al., 1999; Bechoff et al. 2009b).

31 The degradation of carotenoids has been widely studied in food products. The major
32 factors influencing carotenoid oxidation, leading to their degradation, are temperature,
33 light, oxygen and acidity (Gayathri, Platel, Prakash, & Srinivasan, 2004). In addition,
34 water activity is a very important parameter to evaluate the quality of dried foods
35 (Lavelli, Zaroni, & Zaniboni, 2007). Previous research on freeze-dried sweet potato
36 cubes has shown higher levels of β carotene degradation at lower water activities
37 (Haralampu & Karel, 1983). High oxygen concentrations have been associated with
38 high levels of carotenoid degradation in dried sweet potato flakes (Emenhiser et al.,
39 1999; Walter, Purcell & Cobb 1970; Walter & Purcell 1974). Storage temperature (4
40 °C; 25 °C; 40 °C) has also been showed to influence the stability of carotenoid pigments
41 of freeze-dried sweet potatoes with greater losses at high temperatures (Cinar, 2004). In
42 general degradation of carotenoids in a dried food system, under the influence of one or
43 more factors has been demonstrated to be a first order kinetics reaction (Haralampu &

44 Karel, 1983; Hidalgo & Brandolini, 2008; Koca et al, 2007; Lavelli et al., 2007; Walter
45 & Purcell 1974).

46
47 The production of aroma compounds from carotenoids has also been widely studied
48 because of the application in the flavour industry. Authors have described volatile
49 products resulting from degradation of pure β -carotene; (Handelman, van Kujk,
50 Chatterjee, & Krinsky; Mordi et al., 1993; Waché, Bosser-Deratuld, Lhuguenot & Belin
51 2003) or naturally present in, for instance, oak wood (Nonier, Vivas, De Gaulejac, &
52 Vitry, 2004); black tea (Ravichandran, 2002); wine (Mendes-Pinto, 2009) and paprika
53 powder (Cremer & Eicher 2000). The highly unsaturated chain of the β -carotene
54 molecule makes it react easily with any radical species present (Krinsky & Kyung-Jin,
55 2003); carotenoid fragmentation can be caused by autoxidation (air) (Mordi et al.,
56 1993); heating (Cremer & Eicher 2000) and enzymatic activity (Audridge, McCarty &
57 Klee, 2006). The chain reaction is typical of a free-radical reaction where a product is
58 oxidised into secondary products that are themselves oxidised into other products
59 (Goldman, Horev & Saguy, 1983; Krinsky & Kyung-Jin, 2003; Mordi et al., 1993). In
60 all cases β -carotene submitted to oxidation is degraded into epoxides, apocarotenals and
61 apocarotenones. In the latter stages of oxidation these are themselves oxidised into
62 lighter carbonyl compounds which are volatile; these include norisoprenoids. Two types
63 of asymmetric cleavage of trans- β -carotene, 7'-8' and 9'-10', lead to the formation of
64 β -cyclocitral and β -apo-8'carotenal; β -ionone and β -apo-10'carotenal respectively.
65 These asymmetric cleavages were achieved using xanthin oxidase (Waché et al., 2003).
66 However the same cleavages can arise from autoxidation (Mordi et al., 1993).
67 Norisoprenoids from β -carotene degradation from either type of cleavage include β -
68 ionone, 5,6-epoxy- β -ionone, dihydroactinidiolide (DHA) and β -cyclocitral.

69

70 The first objective of this study was to measure the degradation of β -carotene in sweet
71 potato chips during storage influenced by temperature taking into account oxygen and
72 water activity and be able to predict by modelling thermal degradation in a temperature
73 range (10-40 °C) close to what is found in many developing countries. The second
74 objective of the study was to relate the degradation of β -carotene to the formation of
75 volatile compounds at constant temperature of 40 °C. Degradation of pure β -carotene
76 has already been studied using both High Performance Liquid Chromatography (HPLC)
77 and Gas Chromatography/Mass Spectrometry (GC/MS) for the determination of loss of
78 β -carotene and formation of volatiles (Mordi et al., 1993). However, to our knowledge,
79 a kinetic study including the comparison of β -carotene degradation together with the
80 formation of volatile degradation products has not been reported in a dried food product
81 matrix.

82

83 **Materials & Methods**

84

85 **Raw materials and storage conditions**

86 Sweet potato chips from Ejumula variety were harvested in Luwero, Uganda in March
87 2008 after a growing season of 5-6 months. Roots were chipped and dried using open
88 air sun dryer at the National Agricultural Research Laboratories (NARL), Kawanda,
89 Uganda with an average drying temperature±standard deviation of 28.8 ± 2.8 °C and
90 $53.3\pm 13.6\%$ relative humidity. Dried chips were stored at -20 °C, where the carotenoid
91 content did not vary significantly during storage in freeze samples over a six month
92 period. A storage study was undertaken at Natural Resources Institute, UK. In order to
93 control relative humidity during storage, dried sweet potato chips (90g) in a sewed
94 cotton bag were placed into a 1.5L-clip top jar containing a salt (150g) saturated with
95 deionised water at 40 °C to give different water activities in accordance with Lavelli et
96 al. (2007): $a_w = 0.126$ for LiCl, 0.304 for $MgCl_2$, 0.507 for NaBr and 0.765 for NaCl.
97 Equilibrium between chips and air was achieved after 9 days of storage. Jars in triplicate
98 were placed in incubators (LMS Cooled Incubator, Sevenoak, UK) set at 10 ± 0.5 °C;
99 20 ± 1 °C; 30 ± 0.5 °C and 40 ± 1 °C. Such temperatures are similar to those encountered in
100 tropical countries where sweet potato is grown. Samples tested at different temperatures
101 were equilibrated with NaBr ($a_w = 0.579\pm 0.060$) because it was the closest to the water
102 activity of dried sweet potato chips stored under ambient conditions (Bechoff et al.
103 2009a). Samples were stored at 10 °C and 20 °C for 105 days; at 30 °C for 95 days and
104 40 °C for 54 days. Samples at different water activities were stored at 40 °C for 54
105 days. Samples stored at 40 °C for 21 days at different oxygen levels were flushed with a
106 continuous flow of oxygen:nitrogen mix (containing 0%; 2.5%; 10% or 21% oxygen).

107

108 The Brunauer-Emmett-Teller (BET) equation (Bimbenet, Duquenoy & Trystram, 2002)
109 that uses the theory for molecular multilayer adsorption was applied to predict data for

110 water activity (a_w) in relation with moisture content in dry basis (M). The BET equation
111 was used on the experimental points to calculate water activity from the moisture
112 content. The linearised equation [1] is expressed as follows:

$$113 \quad \frac{a_w}{(1-a_w)M} = \frac{1}{M_0C} + \frac{(C-1)}{M_0C} a_w \quad [\text{Eq. 1}]$$

114 C is the BET constant and M_0 is the monolayer adsorbed gas quantity (in volume units).
115 C and M_0 are constant parameters of the model at a given temperature.

116

117 At least four samples (about 15g of chips per jar) were collected during storage using
118 riffle divider. Samples were milled using a laboratory mill (Model 3600 Perten
119 Instruments, Segeltorp, Sweden).

120

121 **Carotenoid analyses**

122 The samples were extracted using a slightly modified HarvestPlus method (Rodriguez-
123 Amaya & Kimura, 2004). A portion of the homogeneous representative sample (0.5-2.0
124 g of flour) was homogenised with 50mL methanol:tetrahydrofuran (THF) (1:1) using an
125 Ultra-turax homogeniser (IKA Janke and Kunkel Labortechnik, Staufen, Germany) at
126 8000 rpm/min for one min. Before homogenising the flour, it was re-hydrated for 20
127 min in 10 ml deionised water. The homogenised extract was filtered through a porosity
128 2-sintered glass funnel by vacuum and rinsed with methanol:THF (1:1) until there was
129 no yellow colour left in the filtrate. The extracts were combined and poured into a 500
130 ml separating funnel filled with 40 ml of petroleum ether (PE). After washing once with
131 50ml 10% NaCl and thrice with 200 ml deionised water, the upper-PE phase containing
132 the carotenoid extract was collected in a 100 ml flask. The PE phase was dried by
133 addition of anhydrous sodium sulphate until some crystals remained loose. This was
134 then filtered into a 50 ml volumetric flask through glass wool and made up to volume
135 with PE. Absorbance at 450nm was read on a diode array Hewlett Packard 8452A

136 spectrophotometer for determination of total carotenoid content. The carotenoid extracts
137 in PE were dried by flushing with nitrogen in a dry block system at 35°C. The dried
138 extracts were transported to CIRAD, Montpellier, France in an insulated bag containing
139 a freezing gel and stored in the freezer immediately at arrival. The extracts were
140 dissolved in 1ml dichloromethane:MTBE (methyl tert-butyl ether):methanol 50:40:10.
141 Reverse-phase high performance liquid chromatography using an Agilent 1100 system
142 (Massy, France) was used following the method of Dhuique-Mayer et al. (2007). The
143 mobile phases were H₂O as eluent A, methanol as eluent B, and MTBE as eluent C. A
144 solvent gradient was programmed in order to enhance compound separation: 0min:
145 40%A/60%B; 0-5min: 20%A/80%B; 5-10min: 4%A/81%B/15%C; 10-60min:
146 4%A/11%B/85%C; 60-71min: 100%B; 71-72 min back to the initial condition for re-
147 equilibration. Carotenoids were separated through a C₃₀ reverse phase column (250 x
148 4.6 mm i.d.) packed with 5µm YMC (EUROP GmbH, Germany) with a flow rate of
149 1ml.min⁻¹, a column temperature at 25°C and an injection volume of 20 µl. Absorbance
150 was measured with Agilent Chemstation Plus software at 450 nm (Diode array 290-
151 470nm). Concentrations were determined by comparison to a standard curve using pure
152 β- carotene (Extrasynthese, Genay, France) (Bechoff et al., 2009a).

153

154 **Norisoprenoid analysis**

155 SPME–GC-MS (solid phase microextraction coupled to gas chromatographic-mass
156 spectra) was used to analyse semi-quantitatively volatile compounds generated during
157 the storage of sweet potato flour. The SPME fibres used were 1 cm long of
158 DVB/Car/PDMS (divinylbenzene/carboxen/polydimethylsiloxane) from Supelco
159 (Bellefonte, PA). Fibres were conditioned at 270°C under a helium flux for 1 h before
160 use. Prior to each extraction, the fibre was cleaned for 10 min at 250°C to remove
161 contaminants. Sweet potato flour samples (3.00g) were weighed into a 10ml glass vial

162 and were capped with an air-tight 20mm PTFE/silicon septum (Interchim, France). The
163 sample was heated at 50 °C for 15 min to liberate volatile compounds from the flour
164 matrix. All analyses were carried out on Agilent 6980 Gas Chromatographic System
165 (Agilent Technologies, Palo Alto, USA) equipped with an autosampler Combi PAL
166 (CTC Analytics, Zwingen, Switzerland) coupled with an Agilent 5973N mass
167 spectrometer. Chromatographic separation was achieved with a DB-Wax (J&W
168 Scientific, Folsom, CA) fused silica capillary column (60m x 0.32mm i.d.; film
169 thickness= 0.25 µm). Operating conditions were as follows: splitless injection (4 min);
170 injection temperature, 250°C; initial oven temperature 60°C (held for 5 min), increased
171 by 4°C.min⁻¹ to 240°C and held at this temperature for 10 min. Helium was used as
172 carrier gas in constant flow mode 1.5 mL.min⁻¹. The MS source temperature and
173 transfer line temperatures were 150 and 250°C, respectively. The mass range scanned
174 was *m/z* 40 to 300. Ionisation was performed under electronic impact (EI) at 70 eV. A
175 standard curve using β-ionone (purity ≥97%; predominantly trans, Sigma-Aldrich,
176 France) as internal standard for unstored sweet potato flour was performed in triplicate
177 for five concentration levels 0.19; 0.29; 0.39; 0.58 µg.g⁻¹ on a fresh weight basis.
178 Coefficient of variation for the triplicate injections was less than 11% and coefficient of
179 correlation (*R*²) was 0.9993. The standards for the other norisoprenoids were not
180 available and the selectivities for these compounds by the SPME fibre will vary from
181 that for β-ionone. Therefore the real concentrations of these compounds could not be
182 determined. The peak area response of the detector, however, indicates a relative
183 concentration with storage time and this was sufficient for the follow up of these
184 compounds. For 5,6-epoxy-β-ionone, β-cyclocitral and DHA, identified based on their
185 mass spectra, the ratios (peak area at time *t* divided by peak area at initial time), also
186 called relative contents, were calculated.

187

188 **Statistical analysis and kinetics modelling**

189 Carotenoid contents and norisoprenoid contents were determined on a fresh weight
190 basis. Data were processed on SPSS 15.00 software by one or two way-ANOVA
191 (Analysis of variance; $p < 0.05$) using HSD Tukey test to determine which samples were
192 significantly different from others. The kinetics of carotenoid degradation were
193 modelled using Arrhenius and Eyring models (Cisse, Vaillant, Acosta, Dhuique-Mayer
194 & Dornier 2009). The Arrhenius model is an empirical collision model that describes
195 the relationship between reaction rate constants and temperature using activation energy
196 (E_a) and a pre-exponential factor (k_∞). The Eyring model is based on the transition state
197 theory in which enthalpy of activation (ΔH^*) and entropy of activation (ΔS^*) are the
198 model's parameters. The model's parameters were identified from experimental data
199 measured in triplicate, using linear regressions.

200

201 For the validation of the Arrhenius model at room temperature, the predicted data were
202 calculated using the equation [2]:

$$203 \quad C = C_0 e^{-k_\infty \int_0^t e^{\frac{-E_a}{RT}} dt} \quad [\text{Eq. 2}]$$

204 C is the carotenoid concentration at $t = 88$ or 125 days of storage and C_0 is the initial
205 concentration. In order to validate the Arrhenius carotenoid degradation model in
206 laboratory conditions, dried samples (Ejumula variety) were stored for 88 days at
207 ambient room temperature (anisothermal or dynamic) conditions in the dark.
208 Temperature and humidity were recorded every h (mean: $21.4^\circ\text{C}/46.8\%$; min: $13.8^\circ\text{C}/39.3\%$;
209 $\text{max: } 25.2^\circ\text{C}/47.6\%$ respectively). The Arrhenius model was also tested
210 with dried samples (Ejumula variety) stored for 125 days at ambient room temperature
211 in Uganda (Bechoff et al., 2009b).

212

213 **Results & Discussion**

214

215 ***Carotenoid degradation kinetics***

216 The effect of temperature (10; 20; 30; 40 °C) on β -carotene degradation is described in
217 Table 1A. Coefficients of correlation (R^2) suggest that a first-order equation fitted well
218 the carotenoid degradation. An exception nonetheless was at 10 °C where correlations
219 observed were lower ($R^2 \sim 0.8$). Lower correlations at low temperatures where there is
220 minimum carotenoid degradation could be explained by experimental errors (Hidalgo &
221 Brandolini, 2008). Degradation rates of *trans*- β -carotene were significantly different at
222 the four tested temperatures (10; 20; 30; 40 °C). (ANOVA one way $p < 0.05$; Tukey test
223 SPSS 15.00). Ninety percent of the initial β -carotene was lost after 54 days of storage at
224 40 °C. On the other hand only 35% was lost after 62 days at a lower temperature of 10
225 °C. Hence temperature had a significant influence on the degradation of carotenoids.
226 This result is important when storing sweet potatoes under field conditions.
227 Temperature influence on the carotenoid degradation was then modelled using the
228 Arrhenius and Eyring models (Table 2). For both of the models provided, description of
229 *trans*- β -carotene fitted degradation ($R^2 > 0.990$ and 0.989 respectively). 5,6 epoxy- β -
230 carotene, another carotenoid present in fresh sweet potato (Kosambo, Carey, Misra,
231 Wilkes, & Hagenimana 1998), also followed a first order rate reaction that could also be
232 fitted to the same models ($R^2 > 0.997$ and 0.996 respectively). Using the models, the
233 activation energy (E_a) for β -carotene and for 5,6-epoxy- β -carotene was calculated as
234 64.2 and 78.8 kJ.mol^{-1} respectively and the enthalpy was 61.7 and 76.3 kJ.mol^{-1}
235 respectively (Table 2). The energy of activation and enthalpy were both 23% higher on
236 5,6 epoxy- β -carotene compared to *trans*- β -carotene. This means that the degradation
237 rate of 5,6 epoxy- β -carotene was more sensitive to the variation of temperature than
238 *trans* β -carotene.

239

240 Description of total carotenoids by spectrophotometer for Arrhenius and Eyring models
241 fitted well the degradation ($R^2 > 0.997$ and 0.997 respectively). Activation energy for
242 total carotenoids content (by spectrophotometric reading) being $46.3 \text{ kJ}\cdot\text{mol}^{-1}$ was
243 similar to the value of $44.3 \text{ kJ}\cdot\text{mol}^{-1}$ for freeze-dried sweet potato at $60\text{-}80 \text{ }^\circ\text{C}$
244 (Stephanovitch and Karel, 1982). Similar activation energies of 45.3 and $48.7 \text{ kJ}\cdot\text{mol}^{-1}$
245 were measured for total carotenoids on Serio and Monlins wholemeal wheat flour
246 respectively, stored between $-20 \text{ }^\circ\text{C}$ and $38 \text{ }^\circ\text{C}$ (Hidalgo & Brandolini, 2008).

247

248 To test the robustness of the model, it was used to predict the carotenoid content of
249 dried sweet potato sample that had been stored at ambient temperature in a jar and in the
250 dark for 88 days in a laboratory in the UK. For the total carotenoids and trans
251 β -carotene under anisothermic conditions, the difference between the experimental
252 value and value predicted by the model was 4.3% and 3.5% respectively (Table 3). The
253 robustness of the model was further tested by using it to predict the carotenoid content
254 of a dried sweet potato sample (Ejumula) that had been stored in Uganda at ambient
255 temperature in LPDE bags (permeable to oxygen) for 125 days in Uganda (Bechoff et
256 al., 2009b). Similarly, the model was also accurate in its predictions where for total
257 carotenoids under anisothermic conditions with a difference between the experimental
258 value and model of 9.3% (Table 3). Therefore it can be concluded that the model
259 developed with samples stored under controlled laboratory conditions was robust
260 enough to apply to samples stored under field conditions in Uganda and elsewhere.

261

262 Predictions of carotenoid losses in dried sweet potato chips using the kinetic models
263 developed are represented in Fig. 1. These can be used for practical applications, such
264 as the determination of product shelf life. For instance, for a 20% loss in β -carotene

265 (Fig. 1A), the predicted storage duration in tropical conditions (average 30 °C) is about
266 10 days. On the other hand if the dried sweet potato is stored at ambient temperature
267 (average 20 °C) the predicted storage time is one month. If it is stored in fridge
268 conditions (average 10 °C) the predicted storage time increases up to 70 days. Predicted
269 storage time based on total carotenoids (Fig. 1B) is slightly shorter and when based on
270 5,6 epoxy- β -carotene, longer (Fig. 1C) because the barrier energy to overcome (E_a) is
271 lower for total carotenoids and higher for 5,6 epoxy- β -carotene. For instance, for a
272 sample stored at 10 °C, the storage duration shall be 160, 200 and 110 days for a 40%
273 loss in β -carotene, 5,6-epoxy- β -carotene or total carotenoids respectively.

274 ***Influence of water activity and oxygen on carotenoid degradation***

275

276 The water sorption properties of dried sweet potato chips stored at 40 °C in different
277 saturation salt solutions are described in Fig. 2. The experimental data was fitted with
278 the BET equation ($R^2 = 0.999$) for an a_w interval of 0.13-0.76. At high water activity for
279 a dried flour ($a_w = 0.76$), the BET model slightly lost some precision, but this
280 corresponded to a moisture content of 20.8% on a dry weight basis, which is outside
281 usual storage conditions. The sweet potato variety Ejumula stored in Uganda under
282 ambient conditions for four months had a maximum moisture content of 13.6% on a dry
283 weight basis (12% on a wet weight basis) (Bechoff et al., 2009b).

284

285 Under different water activities, trans- β -carotene degradation fitted a first order kinetic
286 model with R^2 ranging between 0.949 and 0.984 as shown in Table 1B. Under
287 isothermal conditions (40 °C) and in samples stored under air, the lower the water
288 activity the faster the β -carotene degradation. Samples stored at $a_w = 0.13$ showed
289 greater losses of β -carotene, followed by those stored at $a_w = 0.30$, 0.51 and 0.76. The
290 degradation rate constant for β -carotene at $a_w = 0.13$ did not differ significantly from a_w

291 = 0.30, but differed at $a_w = 0.51$ with means and standard deviations of 0.0493 (0.0029),
292 0.0460 (0.0032) and 0.0413 (0.0005) day^{-1} respectively. On the other hand the
293 degradation rate constant at $a_w = 0.76$ was 0.0341 (0.0010) day^{-1} , which was
294 significantly lower than with the other salts (ANOVA one way $p < 0.05$). Although
295 storing the dried sweet potato at high water activity (0.76) improved the retention of β -
296 carotene, it would not be recommended because of the high probability of microbial
297 spoilage. Overall, these results have showed that in the storage of dried sweet potato
298 chips, water activity ($a_w = 0.13-0.76$) had a significant impact on carotenoid
299 degradation with rate constants between 0.0341 and 0.0493 day^{-1} respectively. However
300 the effect of water activity in β -carotene breakdown was a lot less than the effect of
301 temperature (10-40°C) with rate constants of 0.0029 to 0.0405 day^{-1} . Therefore at
302 typical product moisture content of 7-14% on a dry basis (Bechoff et al., 2009b), which
303 corresponds to water activities of 0.2-0.6 at 40 °C, water activity would have a limited
304 impact compared to temperature.

305

306 There was a linear relationship between the β -carotene degradation rate and water
307 activity for the four levels analysed in triplicate ($R^2=0.953$). (Table 1B). Working with a
308 model system made of microcrystalline cellulose containing 0.5% of β -carotene,
309 Goldman et al. (1983) and Chou & Breene (1972) proved that β -carotene degradation
310 followed first order kinetics and was accelerated at lower water activities. In particular,
311 when comparing extreme water activities (dry a_w 0.33 and wet a_w 0.84), it was
312 demonstrated that the higher the water activity the lower the β -carotene degradation
313 (Goldman et al., 1983). It has been confirmed in an earlier study on dehydrated sweet
314 potato cubes (Haralampu & Karel, 1983) that lower degradation rates occurred at higher
315 water activities in sweet potato (and *vice versa*). Peroxidase is the main type of enzyme
316 encountered in sweet potatoes (Castillo Leon et al., 2002). At low water activities it has

317 been demonstrated that peroxidase activity dramatically decreased (Kamiya &
318 Nagamune, 2002). Dioxygenases known for their ability to degrade carotenoids into
319 aroma compounds (Auldridge et al., 2006) also lose activity in non-aqueous
320 environments (Sanakis, Mamma, Christakopoulos, & Stamatis, 2003). For these
321 different reasons, in this study, the possibility of carotenoid degradation due to
322 enzymatic activity seemed unlikely.

323

324 Under different levels of oxygen, carotenoid degradation fitted first order with R^2 values
325 of 0.944; 0.968 and 0.961 at various levels of oxygen (Table 1C). An exception was
326 under nitrogen where correlation (R^2) observed was 0.675. Explication for this poor
327 correlation was a minimum β -carotene breakdown under these conditions as of low
328 temperature (Hidalgo & Brandolini 2008). On samples stored at 40 °C, the degradation
329 rate of β -carotene was highly related to the oxygen level flushed through the sample.
330 Degradation rate constants significantly differed between samples (One way-ANOVA
331 $p < 0.05$). Samples flushed with nitrogen had a rate constant of 0.0107 (0.0021) day^{-1} ,
332 whilst those flushed with 2.5%, 10% and 21% oxygen had respective rate constants of
333 0.0303 (0.0053), 0.0514 (0.0022) and 0.0853 (0.0038) day^{-1} .

334

335 It was interesting to observe that flushing with air at 40° C dramatically increased the
336 degradation rate (0.0853 day^{-1}) compared to samples stored under air but at the same
337 temperature (0.0341 to 0.0493 day^{-1}) (Table 1C). The significant effect of oxygen level
338 on the degradation rate confirmed that oxidative mechanisms are involved in the
339 reaction scheme. Furthermore the increased degradation rate of β -carotene flushed with
340 oxygen are in accordance with studies (Texeira Neto, Karel, Saguy, & Mizrahi, 1981)
341 that showed that oxygen uptake in a microcrystalline cellulose food model was closely
342 linked to β -carotene degradation and agreed with other study on sweet potato flakes

343 showing a direct relationship between oxygen uptake and carotenoid degradation
344 (Walter & Purcell, 1974). The linear relationship for the four levels of oxygen analysed
345 in triplicate ($R^2=0.975$) between oxygen level and degradation rate signifies that oxygen
346 could be considered as a co-substrate (in excess) of oxidative degradation during
347 storage (Table 1C). A study on sweet potato dried flakes similarly described a linear
348 relationship between the oxygen uptake and carotene destroyed in the first 110 days of
349 storage at 31 °C (Walter & Purcell 1974).

350

351 Over the range studied (0-21% oxygen) oxygen had a more marked effect on β -carotene
352 than water activity (0.13-0.76) and temperature (10-40°C). The mean difference
353 between rate constants was 0.0746 day⁻¹, 0.0152 day⁻¹ and 0.0376 day⁻¹ respectively.
354 Studies on other foodstuffs similarly concluded that oxygen was a major factor of
355 degradation during storage. Working on the effect of packaging (polypropylene: high
356 oxygen permeability; nylon laminate film (low oxygen permeability) with air space;
357 under vacuum or using a Ageless oxygen absorber sachet) on sweet potato flakes it was
358 demonstrated that oxygen had a major impact on carotenoid degradation (3): sweet
359 potato flakes stored for 210 days in nylon film and with oxygen absorber did not lose
360 significant amounts of β -carotene whilst those stored in polypropylene lost 66% of their
361 initial content at ambient temperature (about 23 °C). In this present study, the β -carotene
362 loss on sweet potato chips stored under nitrogen (16% loss after 21 days) (Fig. 6) might
363 result of incomplete oxygen exclusion of samples. Alternatively it could also result of
364 the effect of the relatively high temperature used for the storage (40 °C).

365

366 Studies on a model system made of microcrystalline cellulose and β -carotene similarly
367 demonstrated that the effect of oxygen was important for the degradation of β -carotene
368 compared to the effect of water activity (Goldman et al., 1983).

369

370 Both trends of the degradation rate related to water activity and oxygen and dried media
371 agreed with studies on microcrystalline food model food systems (Chou & Breene,
372 1972; Goldman et al., 1983; Texeira Neto et al., 1981) where enzymatic activity is
373 excluded and with previous studies on dehydrated sweet potato (Haralampu & Karel,
374 1983; Walter et al., 1970; 1974). Though autoxidation was mentioned in earlier studies
375 as the cause of β -carotene degradation in dried sweet potato (Haralampu & Karel, 1983;
376 Walter et al., 1970; 1974), a more recent study (Auldridge et al., 2006) emphasized that
377 it had not been proved. This study therefore fills the gap by showing that dehydrated
378 sweet potato and the microcrystalline cellulose food model behaved the same way
379 toward water activity and oxygen which strongly suggests that autoxidation was the
380 mechanism responsible for β -carotene degradation.

381 ***Description of carotenoid degradation in relation with norisoprenoid***
382 ***formation***

383

384 Whereas trans- β -carotene degraded during storage, norisoprenoids namely β -cyclocitral,
385 β -ionone, 5,6-epoxy- β -ionone, and dihydroactinidiolide (DHA) mostly formed during
386 storage. These compounds are the main aroma degradation products of β -carotene
387 according to several previous publications (Handelman et al., 1991; Mordi et al., 1993;
388 Waché et al., 2003). The greatest formation of norisoprenoids occurred at lower water
389 activities (Fig. 3) and this is consistent with the earlier findings that carotenoid
390 degradation is also greater at lower water activities (Table 1B). On average, samples
391 stored at $a_w = 0.13$ had a β -ionone content of $0.47 \mu\text{g}\cdot\text{g}^{-1}$, followed by those stored at $a_w =$
392 0.30 with $0.38 \mu\text{g}\cdot\text{g}^{-1}$; at $a_w = 0.51$ had a β -ionone content of $0.30 \mu\text{g}\cdot\text{g}^{-1}$ and at $a_w = 0.76$
393 of $0.31 \mu\text{g}\cdot\text{g}^{-1}$ (Fig. 3). There was no difference between samples stored at $a_w = 0.13$ and
394 0.30 for β -ionone while the other samples stored at $a_w = 0.51$ and 0.76 differed (two
395 way-ANOVA; $p < 0.05$). No difference in β -cyclocitral ratio was found between samples

396 stored at $a_w = 0.13$ and 0.30 ; and at 0.51 and 0.76 . On the other hand, there was a
397 significant difference in the DHA and 5,6-epoxy- β -ionone ratios for the four water
398 activities (two way-ANOVA; $p < 0.05$). This description of differences between volatile
399 relative concentrations agreed with the above description of β -carotene degradation at
400 various water activities.

401

402 Relative contents of β -cyclocitral, β -ionone and 5,6-epoxy- β -ionone were the highest at
403 19 days and subsequently decreased whilst DHA levels reached a plateau after 27 days
404 of storage at $40\text{ }^\circ\text{C}$ (Fig. 3). Epoxidation of β -ionone into 5,6-epoxy- β -ionone and
405 thermal rearrangement of 5,6-epoxy- β -ionone into DHA has been described by several
406 authors (Bossier, Paplorey & Belin, 1995; Mordi et al., 1993; Waché et al., 2003). The
407 similar profile of β -ionone and 5,6-epoxy- β -ionone throughout storage suggested that
408 the two compounds were formed at similar times via the same process whereas the later
409 formation of DHA suggested that the rearrangement into DHA was a slower step.

410

411 Fragmentation of β -carotene in dehydrated sweet potato flakes under oxygen has been
412 reported previously (Walter et al., 1970). Flushing radioactive sweet potato flakes with
413 oxygen in the dark at $22\text{ }^\circ\text{C}$ and storing them for up to 89 days resulted in different
414 radioactive fractions including gaseous products. The degradation was described as an
415 autoxidation reaction (Walter et al., 1970) in accordance with the conclusions in this
416 study. The higher formation of norisoprenoids occurred at the higher oxygen level when
417 the corresponding degradation of β -carotene was also highest. At $40\text{ }^\circ\text{C}$ samples stored
418 flushed with compressed air (21% oxygen) had a β -ionone content of $0.44\mu\text{g.g}^{-1}$,
419 followed by those flushed with 10% ($0.36\mu\text{g.g}^{-1}$); 2.5% ($0.22\mu\text{g.g}^{-1}$) oxygen or nitrogen
420 ($0.10\mu\text{g.g}^{-1}$), respectively (Fig. 4). Similarly there was a significant increase in the β -

421 cyclocitral, 5,6-epoxy- β -ionone and DHA ratios at the four increasing oxygen levels
422 (two ways-ANOVA; $p < 0.05$).

423

424 With no oxygen present in the storage conditions, only a small decrease or no difference
425 in volatile compounds contents was observed. These highlighted how oxygen is
426 important in the degradation scheme of β -carotene into volatile compounds (Fig. 4).

427

428 β -cyclocitral, β -ionone and 5,6-epoxy- β -ionone reached their highest levels after 9 days
429 of storage and tended to subsequently decrease whilst DHA remained almost steady
430 from 9 days (Fig. 6). This is a similar pattern to that observed at different water
431 activities.

432

433 The amounts of β -ionone observed in the SPME-GC-MS analyses corresponded to
434 approximately two orders of magnitude less than the amounts of β -carotene measured
435 by HPLC (Figures 3&4). Calibration curves for the other volatile degradation products
436 were not measured so the accurate amounts present cannot be derived from the SPME
437 analyses. However, these compounds have relatively similar molecular weights and
438 polarities to the β -ionone and so the GC-MS response factors and selectivities of the
439 SPME fibres are expected to be reasonably similar. Accepting these assumptions,
440 amounts of the other degradation products are similar to those of β -ionone with
441 maximum amounts per compounds (β -ionone, 5,6-epoxy- β -ionone, β -cyclocitral and
442 DHA) being approximately $0.4-0.9 \mu\text{g}\cdot\text{g}^{-1}$, will be much lower than the amounts of
443 carotenoids degraded (Figures 3&4). Waché et al. (2003) also found that the highest
444 yield obtained from β -carotene catalysed by enzymes in liquid medium was 8.5% in
445 DHA, 2% in β -ionone and 1% in 5,6-epoxide- β -ionone. These results suggested that
446 though a clear relationship between amounts of norisoprenoids formed and carotenoids

447 lost was proved, free radical reaction mechanisms implied further degradation leading
448 to disappearance of norisoprenoids or alternative pathways of degradation involving
449 other reaction intermediates.

450

451 **Conclusion**

452 The Arrhenius and Eyring models correctly described the carotenoid degradation in
453 dried stored sweet potato between 10 and 40 °C; the Arrhenius model was validated
454 using a sample stored at room temperature (non-isotherm conditions). The greater β -
455 carotene degradation rate at lower water activity in particular suggested that the reaction
456 was an autoxidation. Norisoprenoid formation (β -ionone; 5,6-epoxy- β -ionone; DHA)
457 during storage of dried OFSP chips was clearly related to the corresponding degradation
458 of β -carotene. The higher, the β -carotene degradation, the higher was the norisoprenoid
459 formation. At higher water activities, β -carotene was better preserved and lower
460 relative concentrations of volatiles were recorded. A similar observation was made at
461 lower oxygen levels. One of the applications of these findings could be the development
462 of a rapid and non-destructive method using SPME-GC-MS to measure threshold
463 production of norisoprenoids that would correspond to a critical level of β -carotene
464 breakdown in dried food products and could help in predicting product shelf life. In
465 order to achieve a full mathematical modelling of the degradation of carotenoids in a
466 food product, such as dried sweet potato, further work should focus on a kinetic model
467 involving temperature, water activity and oxygen together. Moreover further research
468 is required to understand the nature of the intermediate compounds between β -carotene
469 and norisoprenoids formed during storage and the kinetics of their formation and
470 degradation.

471

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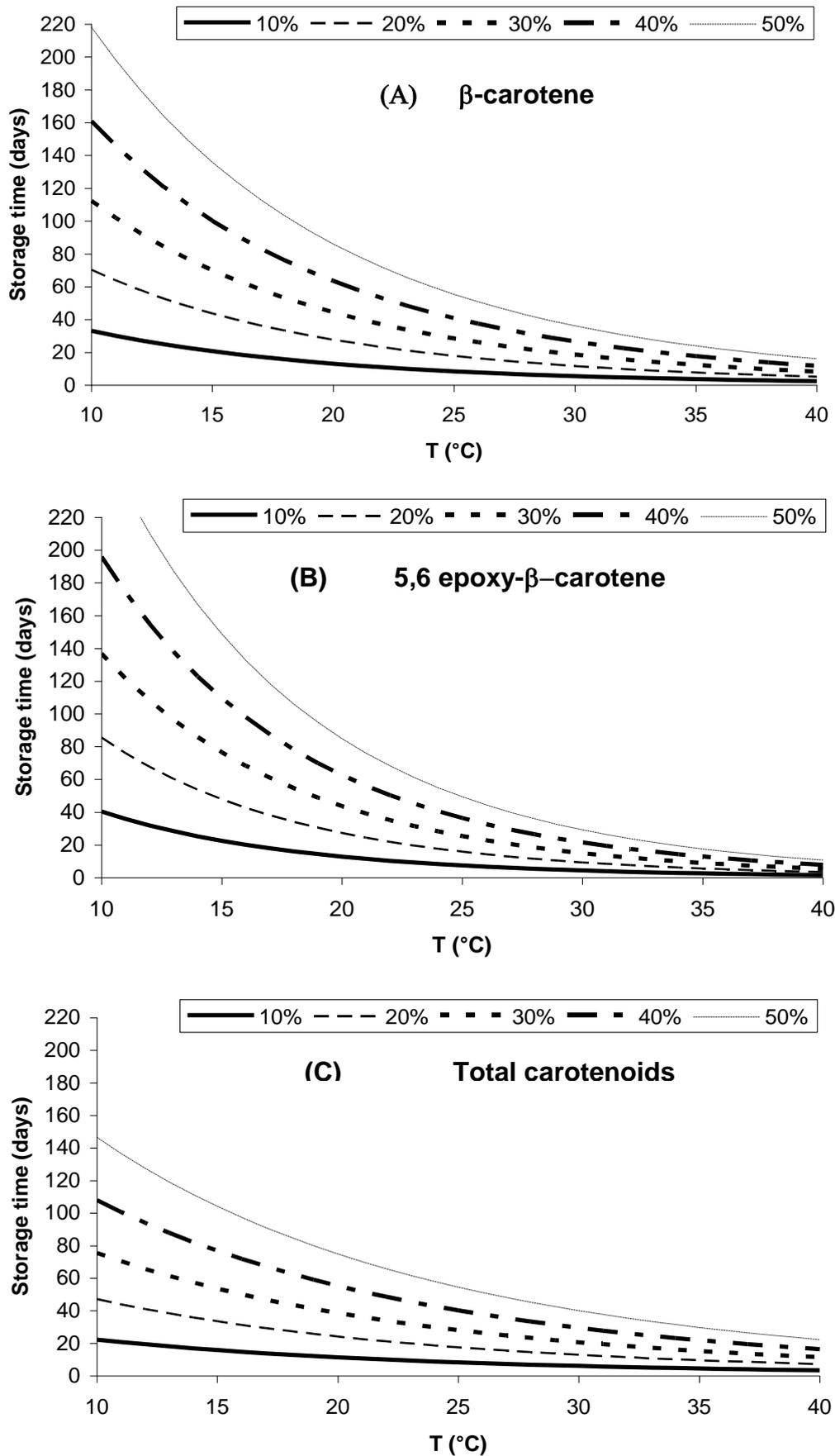


Fig. 1. Prediction curves of carotenoid (β -carotene; 5,6-epoxy- β -carotene; total-carotenoids) loss (%) with temperature and with storage time in dried sweet potato chips stored between 10-40 °C in air; a_w 0.52-0.65.

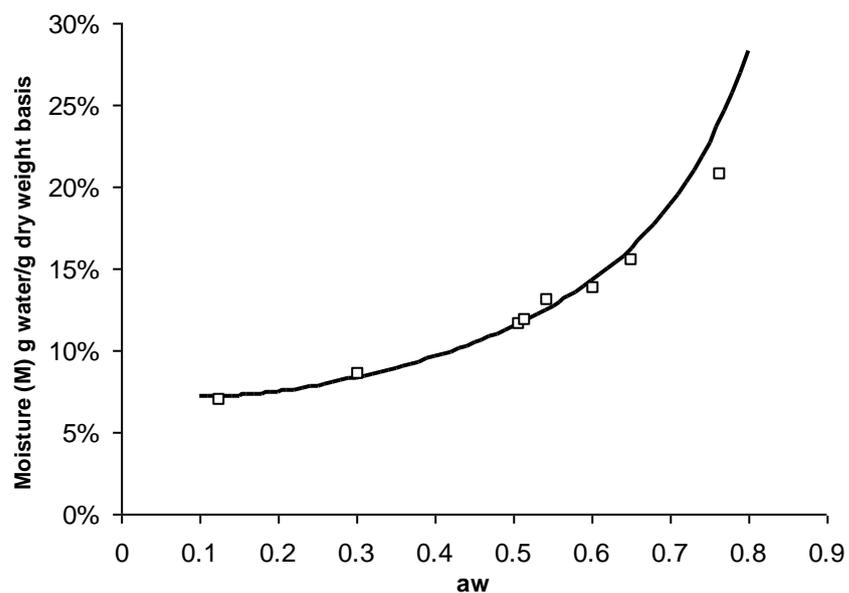


Fig. 2. Water sorption curves of dried sweet potato chips stored at 40 °C for 54 days under air. Experimental data and BET model ($C = -68.88$, $M_0 = 0.0564$).

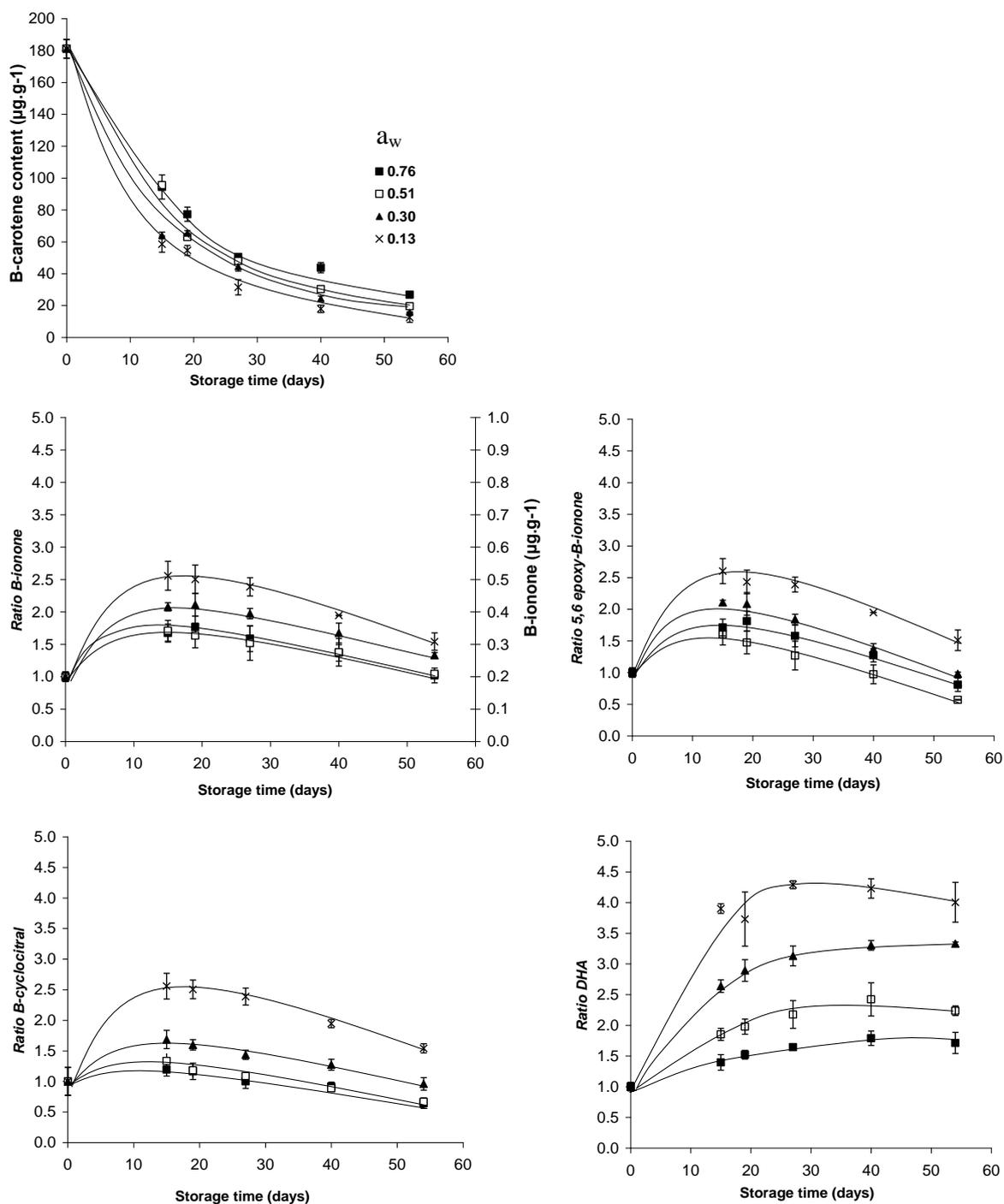


Fig. 3. Degradation of trans- β -carotene and production of identified norisoprenoids during storage of dried sweet potato chips at 40 °C under air at different water activities (β -carotene and β -ionone expressed as $\mu\text{g.g}^{-1}$ fresh weight; volatile degradation products, as ratio: peak area at time t divided by peak area at initial time); Error bars refer to standard deviation ($n=3$).

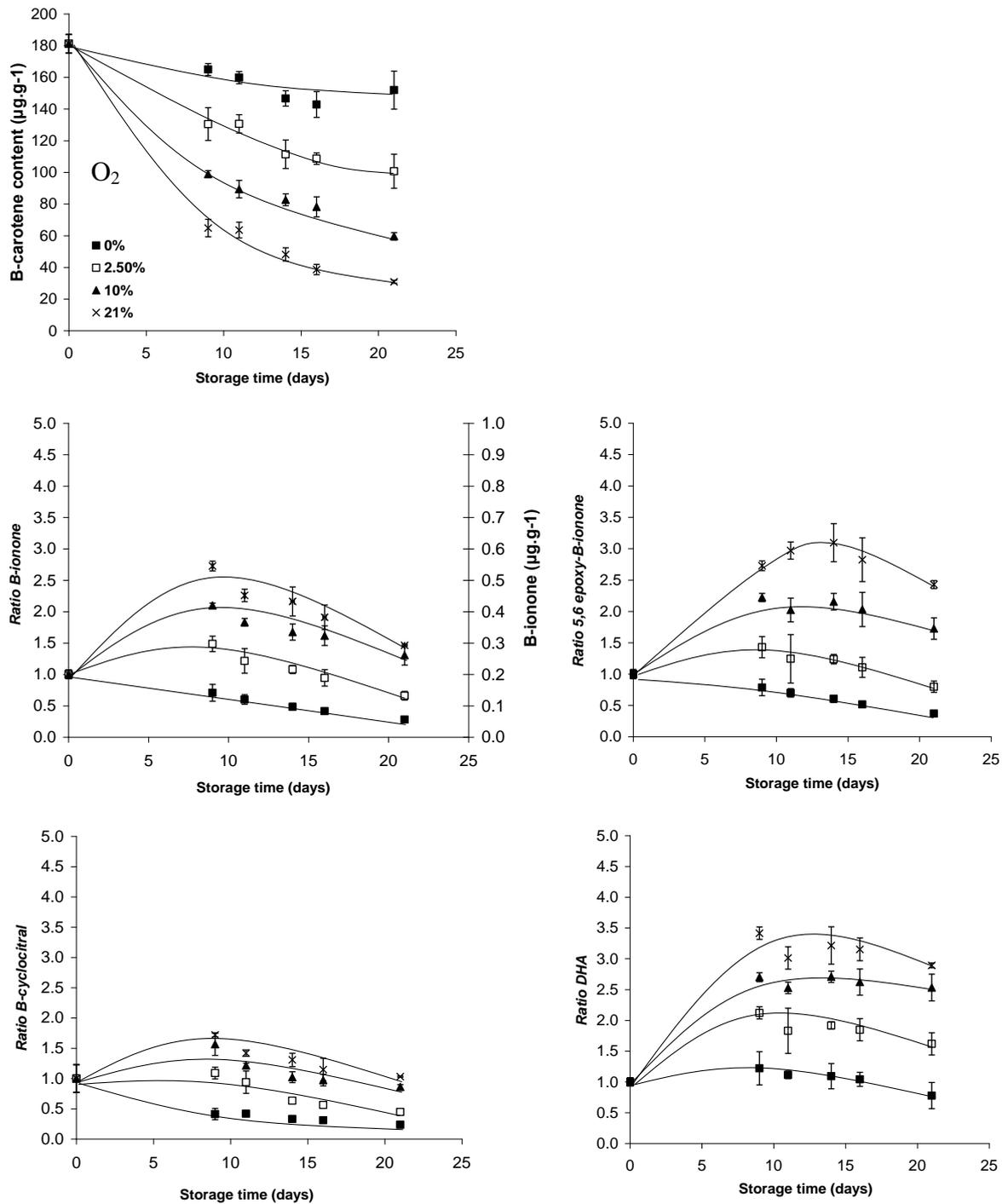


Fig. 4. Degradation of trans-β-carotene and production of identified norisoprenoids during storage of dried sweet potato chips at 40 °C at different oxygen levels (β-carotene and β-ionone expressed as $\mu\text{g.g}^{-1}$ fresh weight; volatile degradation products as ratios: peak area at time t divided by peak area at initial time); Error bars refer to standard deviation ($n=3$).

Table 1. Rate of degradation of carotenoids (k) expressed in day⁻¹ in dried sweet potato chips on a fresh weight basis at various temperatures.in air; a_w 0.52-0.65 (A); at various water activities at 40°C in air (B); at various oxygen (flushed) levels at 40°C (C). Mean of triplicate thermal treatment (standard deviation).

(A)

Temperature (°C)		10	20	30	40
<i>β</i> -carotene	k	0.0029 (0.0002)	0.0093 (0.0005)	0.0193 (0.0002)	0.0405 (0.0005)
	R ²	0.829 (0.071)	0.922 (0.031)	0.985 (0.005)	0.963 (0.007)
5,6 epoxy- <i>β</i> -carotene	k	0.0025 (0.0004)	0.0084 (0.0003)	0.0248 (0.0009)	0.0597 (0.0010)
	R ²	0.748 (0.167)	0.902 (0.045)	0.869 (0.020)	0.987 (0.009)
Total carotenoids	k	0.0047 (0.0002)	0.0088 (0.0003)	0.0179 (0.0005)	0.0298 (0.0009)
	R ²	0.864 (0.091)	0.982 (0.014)	0.987 (0.005)	0.986 (0.006)

(B)

Water activity		0.76*	0.51*	0.30*	0.13*
<i>β</i> -carotene	k	0.0341 (0.0010)	0.0413 (0.0005)	0.0460 (0.0032)	0.0493 (0.0029)
	R ²	0.984 (0.003)	0.977 (0.001)	0.974 (0.003)	0.949 (0.048)

*Coefficient of correlation between four k levels related to water activity: 0.953 (0.013)

(C)

Flushed** oxygen level		0%*	2.5%*	10%*	21%*
<i>β</i> -carotene	k	0.0107 (0.0021)	0.0303 (0.0053)	0.0514 (0.0022)	0.0853 (0.0038)
	R ²	0.675 (0.290)	0.944 (0.020)	0.968 (0.006)	0.961 (0.013)

*Coefficient of correlation between four k levels related to water activity: 0.975 (0.016)

**90ml.min⁻¹

Table 2. Parameters of the Arrhenius and Eyring models for the carotenoids degradation in dried sweet potato chips on a fresh weight basis between 10-40 °C. Oxygen level 21% (air); a_w 0.52-0.65. Mean of triplicate thermal treatment (standard deviation).

Carotenoid	Arrhenius model			Eyring model		
	Ln k_∞ (k_∞ days ⁻¹)	E_a (kJ.mol ⁻¹)	R^2	ΔH^* (kJ.mol ⁻¹)	ΔS^* (J.mol ⁻¹ .K ⁻¹)	R^2
β-carotene	21.5 (0.6)	64.2 (1.6)	0.990 (0.007)	61.7 (1.6)	-74.3 (5.1)	0.989 (0.008)
5,6 epoxy-β-carotene	27.5 (1.5)	78.8 (3.8)	0.997 (0.003)	76.3 (3.8)	-24.5 (12.5)	0.996 (0.003)
Total carotenoids	14.3 (0.6)	46.3 (1.5)	0.997 (0.002)	43.8 (1.5)	-134.5 (5.0)	0.997 (0.002)

Arrhenius model

$$k = k_\infty e^{-\frac{E_a}{RT}}$$

Where: T : temperature (K); k: degradation rate constant at T (day⁻¹); k_∞ : value of k at T = ∞ (day⁻¹); E_a : Activation energy (kJ.mol⁻¹); R: gas constant = 8.314 J · K⁻¹ · mol⁻¹

Eyring model

$$k = \frac{k_B}{h} T \cdot e^{-\frac{\Delta H^* - T\Delta S^*}{RT}}$$

Where: k_B : Boltzmann constant = 1.381·10⁻²³ J.K⁻¹; h: Planck constant = 6.626·10⁻³⁴ J.s; ΔH^* : activation enthalpy (kJ.mol⁻¹); ΔS^* : activation entropy (J.mol⁻¹.K⁻¹)

Table 3. Validation of Arrhenius model for a sample of dried Ejumula sweet potato chips stored under ambient anisotherm conditions during 88 days in the UK^a and during 125 days in Uganda^b on a fresh weight basis. Oxygen level 21% (air).

		Storage time (days)	Initial ($\mu\text{g}\cdot\text{g}^{-1}$) ^c	Experimental ^c ($\mu\text{g}\cdot\text{g}^{-1}$)	Final Predicted by Arrhenius model ($\mu\text{g}\cdot\text{g}^{-1}$)	Difference (%)
Sample stored in UK ^a	Trans- β -carotene	88	181.2 (5.9)	74.6 (5.1)	72.0	3.5
	Total carotenoids		250.3 (1.1)	94.4 (1.1)	90.3	4.3
Sample stored in Uganda ^b	Total carotenoids	125	219.6 (1.6)	51.4 (1.5)	46.6	9.3

^a This present study (Calculated a_w from BET model: 0.460 (0.012) from chips dry matter (90.4 (0.3) g/100g)

^b Bechoff et al. (2009b) (a_w from BET model: a_w : 0.400 (0.255); range: [0.22-0.58] from chips dry matter 90.5 (3.5)g/100g; range ([88-92.9g/100g])

^cMean of triplicate (standard deviation).

Relationship between the Kinetics of ~~β -Carotenoid~~ Carotene Degradation and Formation of Volatile Norisoprenoids -Compounds Formation in the Storage of Dried Sweet Potato Chips

Running head: ~~β -Carotene~~Carotenoid Degradation & Norisoprenoid
Formation in Dried Sweet Potato

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Aurélie Bechoff¹, Claudie Dhuique-Mayer^{2*}, Manuel Dornier², Keith I.
Tomlins¹, Renaud Boulanger², Dominique Dufour^{2,3} and Andrew Westby¹

¹ Natural Resources Institute (NRI), University of Greenwich, Central Avenue,
Chatham Maritime, Kent ME4 4TB, United Kingdom.

² UMR Qualisud, Centre International de Recherche Agronomique pour le
Développement (CIRAD), Montpellier SupAgro, TA B-95/16, 73 av. J.F. Breton
34398, Montpellier Cedex 5, France.

³ International Center for Tropical Agriculture (CIAT), Recta Cali-Palmira, km 17
A.A. 6713, Cali, Colombia

*To whom correspondence should be addressed. Tel.: +33/467614482. Fax:
+33/467614433. E-mail: claudie.dhuique-mayer@cirad.fr

1 **Abstract:**

2 The effects of storage temperature (10; 20; 30; 40 °C), water activity (0.13; 0.30; 0.51;
3 0.76) and oxygen level (0%; 2.5%; 10%; 21%) on the degradation ~~kinetics~~ of
4 carotenoids ~~and formation of volatile compounds during storage in~~ dried sweet potato
5 chips were evaluated. ~~Thermal degradation of trans-β-carotene and formation of volatile~~
6 ~~compounds during storage are described.~~ A kinetic model was developed for
7 degradation of ~~the main carotenoids~~, trans-β-carotene ~~and 5,6-epoxy-β-carotene~~, and it
8 showed that breakdown followed first order kinetics with an activation energy of 64.2
9 ~~and 78.8~~ kJ.mol⁻¹ ~~respectively.~~ ~~The difference between experimental data under~~
10 ~~ambient laboratory or field conditions fitted well with~~ ~~and~~ ~~data predicted by the model~~
11 ~~data was predicted by the kinetic model less than 10% for trans-β-carotene, or for total~~
12 ~~carotenoids.~~ The formation of the volatile compounds, β-ionone; 5,6-epoxy-β-ionone;
13 dihydroactinidiolide; β-cyclocitral, was measured by SPME-~~GC-MS~~ and was clearly
14 related to the degradation of ~~trans-β-carotene~~. It is ~~also~~ suggested that carotenoid
15 degradation in dried sweet potato was by autoxidation because of the trend in β-
16 carotene degradation rate in relation to water activity or oxygen level.

17

18 **KEYWORDS:** carotenoids; dried sweet potato; *Ipomoea batatas* L; kinetics;
19 norisoprenoids; oxygen; storage; temperature; volatile compounds; water activity

20

21 Introduction

22 Carotenoids are organic pigments found in plants that play an important role as vitamin
23 A precursors in the human diet. In contrast to most plant foods, about 8090% of the
24 carotenoid content of orange-fleshed sweet potato (OFSP) is trans- β -carotene (Bechoff
25 et al., 2009a; Bengsston, Namutebi, Larsson Alminger & Svanberg, 2008). Hence
26 OFSP provides a straightforward “model system” for understanding the degradation of
27 β -carotene. The Ejumula variety, for example, cultivated in Uganda, has been reported
28 to contain up to $325\mu\text{g}\cdot\text{g}^{-1}$ β -carotene on a dry basis (Bengsston et al., 2008), making it a
29 very good source of provitamin A. Such varieties could contribute to tackling vitamin
30 A deficiency, a main public health issue in the developing world (Bechoff et al., 2009a;
31 Bengsston et al., 2008). ~~—~~Degradation of pro-vitamin A during the storage of dried
32 sweet potato chips at ambient temperature has been demonstrated to be a significant
33 problem (Emenhiser et al., 1999; Bechoff et al. 2009b).

34 The degradation of carotenoids has been widely studied in food products. The major
35 factors influencing carotenoid oxidation, leading to their degradation, are temperature,
36 light, oxygen and acidity (Gayathri, Platel, Prakash, & Srinivasan, 2004). In addition,
37 water activity is a very important parameter to evaluate the quality of dried foods
38 (Lavello, Zanoni, & Zaniboni, 2007). Previous research on freeze-dried sweet potato
39 cubes has shown higher levels of β carotene degradation at lower water activities
40 (Haralampu & Karel, 1983). High oxygen concentrations have been associated with
41 high levels of carotenoid degradation in dried sweet potato flakes (Emenhiser et al.,
42 1999; Walter, Purcell & Cobb 1970; Walter & Purcell 1974). Storage temperature (4
43 °C; 25 °C; 40 °C) has also been showed to influence the stability of carotenoid pigments
44 of freeze-dried sweet potatoes with greater losses at high temperatures (Cinar, 2004). In
45 general degradation of carotenoids in a dried food system, under the influence of one or
46 more factors has been demonstrated to be a first order kinetics reaction (Haralampu &

47 Karel, 1983; Hidalgo & Brandolini, 2008; Koca et al, 2007; Lavelli et al., 2007; Walter
48 & Purcell 1974).

49
50 | The production of aroma compounds from carotenoids has also been widely studied
51 | because of the application in the flavour industry. A authors have described volatile
52 products resulting from degradation of pure β -carotene; (Handelman, van Kujk,
53 Chatterjee, & Krinsky; Mordi et al., 1993; Waché, Bosser-Deratuld, Lhuguenot & Belin
54 2003) or naturally present in, for instance, oak wood (Nonier, Vivas, De Gaulejac, &
55 Vitry, 2004); black tea (Ravichandran, 2002); wine (Mendes-Pinto, 2009) and paprika
56 powder (Cremer & Eicher 2000). The highly unsaturated chain of the β -carotene
57 molecule makes it react easily with any radical species present (Krinsky & Kyung-Jin,
58 2003); carotenoid fragmentation can be caused by autoxidation (air) (Mordi et al.,
59 1993); heating (Cremer & Eicher 2000) and enzymatic activity (Audridge, McCarty &
60 | Klee, 2006). The chain reaction is typical of a free-radical reaction where a product is
61 oxidised into secondary products that are themselves oxidised into other products
62 (Goldman, Horev & Saguy, 1983; Krinsky & Kyung-Jin, 2003; Mordi et al., 1993). In
63 all cases β -carotene submitted to oxidation is degraded into epoxides, apocarotenals and
64 apocarotenones. In the latter stages of oxidation these are themselves oxidised into
65 lighter carbonyl compounds which are volatile; these include norisoprenoids. Two types
66 of asymmetric cleavage of trans- β -carotene, 7'-8' and 9'-10', lead to the formation of
67 β -cyclocitral and β -apo-8'carotenal; β -ionone and β -apo-10'carotenal respectively.
68 These asymmetric cleavages were achieved using xanthin oxidase (Waché et al., 2003).
69 | However the same cleavages can arise from autoxidation (Mordi et al., 1993).
70 Norisoprenoids from β -carotene degradation from either type of cleavage include β -
71 ionone, 5,6-epoxide-epoxy- β -ionone, dihydroactinidiolide (DHA) and β -cyclocitral
72 (Mordi et al., 1993).

73

74 The first objective of this study was to measure the degradation of β -carotene in sweet
75 potato chips during storage influenced by temperature taking into account oxygen and
76 water activity and be able to predict by modelling thermal degradation in a temperature
77 range (10-40 °C) close to what is found in many developing countries. The second
78 objective of the study was to relate the degradation of β -carotene to the formation of
79 volatile compounds at constant temperature of 40 °C. Degradation of pure β -carotene
80 has already been studied using both High Performance Liquid Chromatography (HPLC)
81 and Gas Chromatography/Mass Spectrometry (GC/MS) for the determination of loss of
82 β -carotene and formation of volatiles (Mordi et al., 1993). ~~But-However,~~ to our
83 knowledge, a kinetic study including the comparison of β -carotene degradation together
84 with the formation of volatile degradation products has not been reported in a dried food
85 product matrix.

86

87 **Materials & Methods**

88

89 **Raw materials and storage conditions**

90 Sweet potato chips from Ejumula variety were harvested in Luwero, Uganda in March
91 2008 after a growing season of 5-6 months. Roots were chipped and dried using open
92 air sun dryer at the National Agricultural Research Laboratories (NARL), Kawanda,
93 Uganda with an average drying temperature +standard deviation of 28.8 ± 2.8 °C and
94 $53.3 \pm 13.6\%$ relative humidity. Dried chips were stored at -20 °C, where the carotenoid
95 content did not vary significantly during storage in freeze samples over a six month
96 period. A storage study was undertaken at Natural Resources Institute, UK. In order to
97 control relative humidity during storage, dried sweet potato chips (90g) in a sewed
98 cotton bag were placed into a 1.5L-clip top jar containing a salt (150g) saturated with
99 deionised water at 40 °C at-to give different water activities in accordance with Lavelli
100 et al. (2007): $a_w = 0.126$ for LiCl, 0.304 for $MgCl_2$, 0.507 for NaBr and 0.765 for NaCl.
101 Equilibrium between chips and air was achieved after 9 days of storage. Jars in triplicate
102 were placed in incubators (LMS Cooled Incubator, Sevenoak, UK) set at 10 ± 0.5 °C;
103 20 ± 1 °C; 30 ± 0.5 °C and 40 ± 1 °C. Such temperatures are similar to those encountered in
104 tropical countries where sweet potato is grown. Samples tested at different temperatures
105 were equilibrated with NaBr ($a_w = 0.579 \pm 0.060$) because it was the closest to the water
106 activity of dried sweet potato chips stored under ambient conditions (Bechoff et al.
107 2009a). Samples were stored at 10 °C and 20 °C for 105 days; at 30 °C for 95 days and
108 40 °C for 54 days. Samples at different water activities were stored at 40 °C for 54
109 days. Samples stored at 40 °C for 21 days at different oxygen levels were flushed with a
110 continuous flow of oxygen:nitrogen mix (containing 0%; 2.5%; 10% or 21% oxygen).

111

112 The Brunauer-Emmett-Teller (BET) equation (Bimbenet, Duquenoy & Trystram, 2002)
113 that uses the theory for molecular multilayer adsorption was applied to predict data for

114 water activity (a_w) in relation with moisture content in dry basis (M). The BET equation
115 was used on the experimental points to calculate water activity from the moisture
116 content. The linearised equation [1] is expressed as follows:

$$117 \quad \frac{a_w}{(1-a_w)M} = \frac{1}{M_0 C} + \frac{(C-1)}{M_0 C} a_w \quad [\text{Eq. 1}]$$

118 C is the BET constant and M_0 is the monolayer adsorbed gas quantity (in volume units).

119 C and M_0 are constant parameters of the model at a given temperature.

120

121 At least four samples (about 15g of chips per jar) were collected during storage using
122 riffle divider. Samples were milled using a laboratory mill (Model 3600 Perten
123 Instruments, Segeltorp, Sweden).

124

125 **Carotenoid analyses**

126 The samples were extracted using a slightly modified HarvestPlus method (Rodriguez-
127 Amaya & Kimura, 2004). A portion of the homogeneous representative sample (0.5-2.0
128 g of flour) was homogenised with 50mL methanol:tetrahydrofuran (THF) (1:1) using an
129 Ultra-turax homogeniser (IKA Janke and Kunkel Labortechnik, Staufen, Germany) at
130 8000 rpm/min for one min. Before homogenising the flour, it was re-hydrated for 20
131 min in 10 ml deionised water. The homogenised extract was filtered through a porosity
132 2-sintered glass funnel by vacuum and rinsed with methanol:THF (1:1) until there was
133 no yellow colour left in the filtrate. The extracts were combined and poured into a 500
134 ml separating funnel filled with 40 ml of petroleum ether (PE). After washing once with
135 50ml 10% NaCl and thrice with 200 ml deionised water, the upper-PE phase containing
136 the carotenoid extract was collected in a 100 ml flask. The PE phase was **dewatered**
137 **dried** by addition of anhydrous sodium sulphate until some crystals remained loose.
138 This was then filtered into a 50 ml volumetric flask through glass wool and made up to
139 volume with PE. Absorbance at 450nm was read on a diode array Hewlett Packard

140 8452A spectrophotometer for determination of total carotenoid content. The carotenoid
141 extracts in PE were dried by flushing ~~of-with~~ nitrogen in a dry block system at 35°C.
142 The dried extracts were transported to CIRAD, Montpellier, France in an insulated bag
143 containing a freezing gel and stored in the freezer immediately at arrival. The extracts
144 were dissolved in 1ml dichloromethane:MTBE (methyl-tert-butyl-ether):methanol
145 ~~50:40:10 and injected in an HPLC system. Reverse-phase high performance liquid~~
146 ~~chromatography using an Agilent 1100 system (Massy, France) was used following the~~
147 ~~method of Dhuique-Mayer et al. (2007). The mobile phases were H₂O as eluent A,~~
148 ~~methanol as eluent B, and MTBE as eluent C. A solvent gradient was programmed in~~
149 ~~order to enhance compound separation: 0min: 40%A/60%B; 0-5min: 20%A/80%B; 5-~~
150 ~~10min: 4%A/81%B/15%C; 10-60min: 4%A/11%B/85%C; 60-71min: 100%B; 71-72~~
151 ~~min back to the initial condition for re-equilibration. Carotenoids were separated~~
152 ~~through a C₃₀ reverse phase column (250 x 4.6 mm i.d.) packed with 5µm YMC~~
153 ~~(EUROP GmbH, Germany) with a flow rate of 1ml.min⁻¹, a column temperature at~~
154 ~~25°C and an injection volume of 20 µl. Absorbance was measured with Agilent~~
155 ~~Chemstation Plus software at 450 nm (Diode array 290-470nm). Concentrations were~~
156 ~~determined by comparison to a standard curve using pure β- carotene (Extrasynthese,~~
157 ~~Genay, France) (Bechoff et al., 2009a). A reverse phase high performance liquid~~
158 ~~chromatography using an Agilent 1100 system (Massy, France) was used following the~~
159 ~~method by Dhuique-Mayer et al. (2007).~~

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160

161 ~~Volatile compounds~~Norisoprenoid analysis

162 ~~SPME-GC-MS (solid phase microextraction coupled to (solid phase microextraction)~~
163 ~~rapid-gas chromatographic-method-mass spectra)~~ was used to analyse semi-
164 quantitatively volatile compounds generated during the storage of sweet potato flour.
165 The SPME fibres used were 1 cm long of DVB/Car/PDMS

166 (divinylbenzene/carboxen/polydimethylsiloxane) from Supelco (Bellefonte, PA). Fibres
167 were conditioned at 270°C under a helium flux for 1 h before use. Prior to each
168 extraction, the fibre was cleaned for 10 min at 250°C to remove contaminants. Sweet
169 potato flour samples (3.00g) were weighed into a 10ml glass vial and were capped with
170 an air-tight 20mm PTFE/silicon septum (Interchim, France). The sample was heated at
171 50 °C for 15 min to liberate volatile compounds from the flour matrix. All analyses were
172 carried out on a Agilent 6980 Gas Chromatographic System (Agilent Technologies,
173 Palo Alto, ~~Canada~~USA) equipped with an autosampler Combi PAL (CTC Analytics,
174 Zwingen, Switzerland) coupled with an Agilent 5973N mass spectrometer.
175 Chromatographic separation was achieved with a DB-Wax (J&W Scientific, Folsom,
176 CA) fused silica capillary column (~~60m x 0.32mm i.d.; film thickness= 0.25 µm~~)(~~i.d.~~
177 ~~0.32 mm, 1 60 m, film thickness = 0,25 µm~~). Operating conditions were as follows:
178 splitless injection (4 min); injection temperature, 250°C; initial oven temperature 60°C
179 (held for 5 min), increased by 4°C.min⁻¹ to 240°C and held at this temperature for 10
180 min. Helium was used as carrier gas in constant flow mode 1.5 mL.min⁻¹. The MS
181 source temperature and transfer line temperatures were 150 and 250°C, respectively.
182 The mass range scanned was *m/z* 40 to 300. Ionisation was performed under electronic
183 impact (EI) at 70 eV. A standard curve using β-ionone (purity ≥97%; predominantly
184 trans, Sigma-Aldrich, France) as internal standard for unstored sweet potato flour was
185 performed in triplicate for five concentration levels 0.19; 0.29; 0.39; 0.58 µg.g⁻¹ on a
186 fresh weight basis. Coefficient of variation for the triplicate injections was less than
187 11% and coefficient of correlation (R^2) was 0.9993. The standards for the other
188 norisoprenoids were not available and the selectivities for these compounds by the
189 SPME fibre will vary from that for β-ionone. Therefore the real concentrations of these
190 compounds could not be determined. The peak area response of the detector, however,
191 indicates a relative concentration with storage time and this was sufficient for the follow

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192 ~~up of these compounds. For~~ 5,6-epoxy- β -ionone, β -cyclocitral and DHA, identified
193 based on their mass ~~spectrumspectra,~~ ~~which chemical structure was close to that of β -~~
194 ~~ionone,~~ the ~~concentrations ratios~~ (peak area at time t divided by peak area at initial
195 ~~time), also called relative contents,~~ were calculated, ~~based on the β -ionone standard~~
196 ~~curve.~~

197

198 **Statistical analysis and kinetics modelling**

199 Carotenoid contents and norisoprenoid contents were determined on a fresh weight
200 basis. Data were processed on SPSS 15.00 software by one or two way-ANOVA
201 (Analysis of variance; $p < 0.05$) using HSD Tukey test to determine which samples were
202 significantly different from others. ~~The kinetics of c~~Carotenoid ~~kinetics~~ degradation ~~was~~
203 ~~were~~ modelled using Arrhenius and Eyring models (Cisse, Vaillant, Acosta, Dhuique-
204 Mayer & Dornier 2009). The Arrhenius model ~~[2]~~ is an empirical collision model that
205 describes the relationship between reaction ~~constant rate~~ ~~rate constant~~s and temperature
206 using activation energy (E_a) and a pre-exponential factor (k_∞). The Eyring model ~~[3]~~ is
207 based on the transition state theory in which enthalpy of activation (ΔH^*) and entropy
208 of activation (ΔS^*) are the model's parameters. The model's parameters were identified
209 from experimental data measured in triplicate, using linear regressions.

210

$$211 \quad k = k_\infty e^{-\frac{E_a}{RT}} \quad \text{-----} \quad \text{[Eq. 2]}$$

212 ~~Where:~~

213 ~~T: temperature (K)~~

214 ~~k: degradation constant rate at T (day⁻¹)~~

215 ~~k_∞: value of k at T = ∞ (day⁻¹)~~

216 ~~E_a: Activation energy (kJ.mol⁻¹)~~

217 ~~R: gas constant = 8.314 J.K⁻¹.mol⁻¹~~

218

219 ~~$k = \frac{k_B}{h} T \cdot e^{\frac{-\Delta H^* - T\Delta S^*}{RT}}$ [Eq. 3]~~

220 ~~Where:~~

221 ~~k_B: Boltzmann constant = 1.381 · 10⁻²³ J.K⁻¹~~

222 ~~h: Planck constant = 6.626 · 10⁻³⁴ J.s~~

223 ~~ΔH*: activation enthalpy (kJ.mol⁻¹)~~

224 ~~ΔS*: activation entropy (J.mol⁻¹.K⁻¹)~~

225

226 For the validation of the Arrhenius model ~~using at~~ room temperature, the predicted data
227 were calculated using the equation [42]:

228 $C = C_0 e^{-k_\infty \int_0^t e^{\frac{-Ea}{RT}} dt}$ [Eq. 42]

229 C is the carotenoid concentration at t = 88 or 125 days of storage and C₀ is the initial
230 concentration. In order to validate the Arrhenius carotenoid degradation model in
231 laboratory conditions, dried samples (Ejumula variety) were stored for 88 days at
232 ambient room temperature (anisothermal or dynamic) conditions in the dark.
233 Temperature and humidity were recorded every h (mean: 21.4 °C/46.8%; min: 13.8
234 °C/39.3%; max: 25.2 °C/47.6% respectively). The Arrhenius model was also tested
235 with dried samples (Ejumula variety) stored for 125 days at ambient room temperature
236 in Uganda (Bechoff et al., 2009b).

237

238 Results & Discussion

239

240 *Carotenoid degradation kinetics*

241 The effect of temperature (10; 20; 30; 40 °C) on β -carotene degradation is described in
242 Fig. Table 1A. Coefficients of correlation (R^2) ~~in Table 1~~ suggest that a first-order
243 equation fitted well the carotenoid degradation. An exception nonetheless was at 10 °C
244 where correlations observed were lower ($R^2 \sim 0.8$). Lower correlations at low
245 temperatures where there is minimum carotenoid degradation could be explained by
246 experimental errors (Hidalgo & Brandolini, 2008). Degradation rates of *trans*- β -
247 carotene were significantly different at the four tested temperatures (10; 20; 30; 40 °C).
248 (ANOVA one way $p < 0.05$; Tukey test SPSS 15.00). Ninety percent of the initial β -
249 carotene was lost after 54 days of storage at 40 °C. On the other hand only 35% was lost
250 after 62 days at a lower temperature of 10 °C. Hence temperature had a significant
251 influence on the degradation of carotenoids. This result is important when storing sweet
252 potatoes under field conditions. Temperature influence on the carotenoid degradation
253 was then modelled using the Arrhenius and Eyring models (Table 2). For both of the
254 models provided, description of *trans*- β -carotene fitted degradation ($R^2 > 0.990$ and
255 0.989 respectively). 5,6 epoxy- β -carotene, another carotenoid present in fresh sweet
256 potato (Kosambo, Carey, Misra, Wilkes, & Hagenimana 1998), also followed a first
257 order rate reaction that could also be fitted to the same models ($R^2 > 0.997$ and 0.996
258 respectively). Using the models, the activation energy (E_a) for β -carotene and for 5,6-
259 epoxy- β -carotene was calculated as 64.2 and 78.8 $\text{kJ}\cdot\text{mol}^{-1}$ respectively and the enthalpy
260 was 61.7 and 76.3 $\text{kJ}\cdot\text{mol}^{-1}$ respectively (Table 2). The energy of activation and enthalpy
261 were both 23% higher on 5,6 epoxy- β -carotene compared to *trans*- β -carotene. This
262 means that the degradation rate of 5,6 epoxy- β -carotene was more sensitive to the
263 variation of temperature than *trans* β -carotene.

264

265 Description of total carotenoids by spectrophotometer for Arrhenius and Eyring models
266 fitted well the degradation ($R^2 > 0.997$ and 0.997 respectively). Activation energy for
267 total carotenoids content (by spectrophotometric reading) being 46.3 kJ.mol^{-1} was
268 similar to the value of 44.3 kJ.mol^{-1} for freeze-dried sweet potato at $60\text{-}80 \text{ }^\circ\text{C}$
269 (Stephanovitch and Karel, 1982). Similar activation energies of 45.3 and 48.7 kJ.mol^{-1}
270 were measured for total carotenoids on Serio and Monlins wholemeal wheat flour
271 respectively, stored between $-20 \text{ }^\circ\text{C}$ and $38 \text{ }^\circ\text{C}$ (Hidalgo & Brandolini, 2008).

272

273 To test the robustness of the model, it was used to predict the carotenoid content of
274 dried sweet potato sample that had been stored at ambient temperature in a jar and in the
275 dark for 88 days in a laboratory in the UK. For the total carotenoids and trans
276 β -carotene under anisothermic conditions, the difference between the experimental
277 value and value predicted by the model was 4.3% and 3.5% respectively (Table 3). The
278 robustness of the model was further tested by using it to predict the carotenoid content ~~a~~
279 of a dried sweet potato sample (Ejumula) that had been stored in Uganda at ambient
280 temperature in LPDE bags (permeable to oxygen) for 125 days in Uganda (Bechoff et
281 al., 2009b). Similarly, the model was also accurate in its predictions where for total
282 carotenoids under anisothermic conditions with a difference between the experimental
283 value and model of 9.3% (Table 3). Therefore it can be concluded that the model
284 developed ~~under-with~~ samples stored under controlled laboratory conditions was robust
285 enough to apply to samples stored under field conditions in Uganda and elsewhere.

286

287 Predictions of carotenoid losses in dried sweet potato chips using the kinetic models
288 developed are represented in Fig. 1. These can be used for practical applications, such
289 as the determination of product shelf life. For instance, for a 20% loss in β -carotene

290 (Fig. 1A), the predicted storage duration in tropical conditions (average 30 °C) is about
291 10 days. On the other hand if the dried sweet potato is stored at ambient temperature
292 (average 20 °C) the predicted storage time is one month. If it is stored in fridge
293 conditions (average 10 °C) the predicted storage time increases up to 70 days. Predicted
294 storage time based on total carotenoids (Fig. 1B) is slightly shorter and when based on
295 5,6 epoxy-β-carotene, longer (Fig. 1C) because the barrier energy to overcome (Ea) is
296 lower for total carotenoids and higher for 5,6 epoxy-β-carotene. For instance, for a
297 sample stored at 10 °C, the storage duration shall be 160, 200 and 110 days for a 40%
298 loss in β-carotene, 5,6-epoxy-β-carotene or total carotenoids respectively.

299 ***Influence of water activity and oxygen on carotenoid degradation***

300

301 The water sorption properties of dried sweet potato chips stored at 40 °C in different
302 saturation salt solutions are described in Fig. 2. The experimental data was fitted with
303 the BET equation ($R^2 = 0.999$) for an a_w interval of 0.13-0.76. At high water activity for
304 a dried flour ($a_w = 0.76$), the BET model slightly lost some precision, but this
305 corresponded to a moisture content of 20.8% on a dry weight basis, which is ~~beyond~~
306 outside usual storage conditions. ~~The s~~Sweet potato ~~of~~-variety Ejumula stored in
307 Uganda under ambient conditions for four months had ~~a~~ maximum moisture content of
308 13.6% on a dry weight basis (12% on a wet weight basis) (Bechoff et al., 2009b).

309

310 Under different water activities, trans-β-carotene degradation fitted a first order kinetic
311 model ~~with R^2 ranging between 0.949 and 0.984~~ as shown in ~~Fig. 3A~~Table 1B. Under
312 ~~isothermic-isothermal~~ conditions (40 °C) and in samples stored under air, the lower the
313 water activity the faster the β-carotene degradation ~~(Fig. 4A)~~. Samples stored at a_w
314 =0.13 showed greater losses of β-carotene, followed by those stored at $a_w = 0.30, 0.51$
315 and 0.76. The degradation rate constant for β-carotene at $a_w = 0.13$ did not differ

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316 significantly from $a_w = 0.30$, but differed at $a_w = 0.51$ with means and standard
317 deviations of 0.0493 (0.0029), 0.0460 (0.0032) and 0.0413 (0.0005) day^{-1} respectively.
318 On the other hand the degradation rate constant at $a_w = 0.76$ was 0.0341 (0.0010) day^{-1} ,
319 which was significantly lower than with the other salts (ANOVA one way $p < 0.05$).
320 Although storing the dried sweet potato at high water activity (0.76) improved the
321 retention of β -carotene, it would not be recommended because of the high probability of
322 microbial spoilage. Overall, these results have showed that in the storage of dried sweet
323 potato chips, water activity ($a_w = 0.13$ -0.76) had a significant impact ~~water activity~~ on
324 carotenoid degradation with ~~constant rate constants~~ ~~comprised~~ between 0.0341 and
325 0.0493 day^{-1} respectively. However the effect of water activity ~~in β -carotene breakdown~~
326 was a lot less than the effect of temperature (10-40°C) with ~~constant rate constants~~
327 of 0.0029 to 0.0405 day^{-1} . Therefore at typical product moisture content of 7-14% on a
328 dry basis (Bechoff et al., 2009b), ~~which corresponds to water activities of 0.2-0.6 at 40~~
329 °C), ~~w~~ water activity would have a limited impact compared to temperature.

330
331 There was a linear relationship between the β -carotene degradation rate and water
332 activity ~~for the four levels analysed in triplicate~~ ($R^2=0.953$) ~~(Fig. 4A)~~ ~~(Table 1B)~~.
333 Working with a model system made of microcrystalline cellulose containing 0.5% of β -
334 carotene, Goldman et al. (1983) ~~and~~ Chou & Breene (1972) proved that β -carotene
335 degradation followed first order kinetics and was accelerated at lower water activities.
336 In particular, when comparing extreme water activities (dry a_w 0.33 and wet a_w 0.84), it
337 was demonstrated ~~than~~ that the higher the water activity the lower the β -carotene
338 degradation (Goldman et al., 1983).

339
340 ~~In contrast to the linear trend depicted in this publication, a U shaped relationship~~
341 ~~between the β carotene degradation rate and water activity (0.05-0.75) has been~~

342 ~~described in freeze dried carrots (Lavelli et al., 2007). Degradation rate constants were~~
343 ~~at a minimum between a_w 0.34-0.54 on freeze dried carrot flour stored for 30 days at 40~~
344 ~~°C and increased at higher water activities than 0.54. Nevertheless acceleration of~~
345 ~~degradation at higher water activities was not believed to result from enzymatic~~
346 ~~oxidation for the reason that blanched and unblanched samples both had U-shaped~~
347 ~~degradation patterns with water activity (Lavelli et al., 2007). Other authors working on~~
348 ~~blanched and freeze dried carrots (Arya, Natesan, Parihar, & Vijayaraghavan, 1979)~~
349 ~~argued that increased carotenoid degradation rate at higher water activities might have~~
350 ~~resulted from solubilisation of naturally present metal catalysts that accelerate~~
351 ~~carotenoids autoxidation rate rather than from enzymatic breakdown of carotenoids.~~

352 It has been confirmed in an earlier study on dehydrated sweet potato cubes (Haralampu
353 & Karel, 1983) that lower degradation rates occurred at higher water activities in sweet
354 potato (and *vice versa*). Peroxidase is the main type of enzyme encountered in sweet
355 potatoes (Castillo Leon et al., 2002). At low water activities it has been demonstrated
356 that peroxidase activity dramatically decreased (Kamiya & Nagamune, 2002).
357 Dioxygenases known for their ability to degrade carotenoids into aroma compounds
358 (Auldridge et al., 2006) also lose activity in non-aqueous environments (Sanakis,
359 Mamma, Christakopoulos, & Stamatis, 2003). For these different reasons, in this study,
360 the possibility of carotenoid degradation due to enzymatic activity seemed unlikely.

361

362 Under different levels of oxygen, carotenoid degradation fitted first ~~order-order with R^2~~
363 ~~values of 0.944; 0.968 and 0.961 at various levels of oxygen (Fig. 3B Table 1C). An~~
364 ~~exception was under nitrogen where correlation (R^2) observed was 0.675. Explication~~
365 ~~for this poor correlation was a minimum β -carotene breakdown under these conditions~~
366 ~~as of low temperature (Hidalgo & Brandolini 2008). On samples stored at 40 °C, the~~
367 degradation rate of β -carotene was highly related to the oxygen level flushed through

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368 the sample ~~(Fig. 4B)~~. Degradation rate constants significantly differed between samples
369 (One way-ANOVA $p < 0.05$). Samples flushed with nitrogen had a rate constant of
370 0.0107 (0.0021) day^{-1} , whilst those flushed with 2.5%, 10% and 21% oxygen had
371 respective rate constants of 0.0303 (0.0053), 0.0514 (0.0022) and 0.0853 (0.0038) day^{-1}
372 ~~(Fig. 4B)~~.

373

374 It was interesting to observe that flushing with air at 40° C dramatically increased the
375 degradation rate (0.0853 day^{-1}) compared to samples stored under air but at the same
376 temperature (0.0341 to 0.0493 day^{-1}) (Table 1C). The significant effect of oxygen level
377 on the degradation rate confirmed that oxidative mechanisms are involved in the
378 reaction scheme. Furthermore the increased degradation rate of β -carotene flushed with
379 oxygen are in accordance with studies (Texeira Neto, Karel, Saguy, & Mizrahi, 1981)
380 that showed that oxygen uptake in a microcrystalline cellulose food model was closely
381 linked to β -carotene degradation and agreed with other study on sweet potato flakes
382 showing a direct relationship between oxygen uptake and carotenoid degradation
383 (Walter & Purcell, 1974). The significant effect of oxygen level on the degradation rate
384 ~~confirmed that oxidative mechanisms are involved in the reaction scheme.~~ The linear
385 relationship ~~(kinetic model of pseudo-order zero)~~ for the four levels of oxygen analysed
386 in triplicate ($R^2 = 0.975$) between oxygen level and degradation rate signifies that
387 oxygen could be considered as a co-substrate (in excess) of oxidative degradation
388 during storage ~~(Fig. 4B)~~ Table 1C. A study on sweet potato dried flakes similarly
389 described a linear relationship between the oxygen uptake and carotene destroyed in the
390 first 110 days of storage at 31 °C (Walter & Purcell 1974).

391

392 Over the range studied (0-21% oxygen) oxygen had a more marked effect on β -carotene
393 than water activity (0.13-0.76) and temperature (10-40°C). The mean difference

394 | between ~~constant~~ rate ~~constant~~s was 0.0746 day⁻¹, 0.0152 day⁻¹ and 0.0376 day⁻¹
395 | respectively. Studies on other foodstuffs similarly concluded that oxygen was a major
396 | factor of degradation during storage. Working on the effect of packaging
397 | (polypropylene: high oxygen permeability; nylon laminate film (low oxygen
398 | permeability) with air space; under vacuum or using a Ageless oxygen absorber sachet
399 | ~~enelosed~~) on sweet potato flakes it was demonstrated that oxygen had a major impact on
400 | carotenoid degradation (3): sweet potato flakes stored for 210 days in nylon film and
401 | with oxygen absorber did not lose significant amounts of β-carotene whilst those stored
402 | in polypropylene lost 66% of their initial content at ambient temperature (about 23 °C).
403 | In this present study, the β-carotene loss on sweet potato chips stored under nitrogen
404 | (16% loss after 21 days) (Fig. 6) might result of incomplete oxygen exclusion of
405 | samples. Alternatively it could also result of the effect of the relatively high
406 | temperature used for the storage (40 °C).

407

408 | Studies on a model system made of microcrystalline cellulose and β-carotene similarly
409 | demonstrated that the effect of oxygen was important for the degradation of β-carotene
410 | compared to the effect of water activity (Goldman et al., 1983). ~~It was interesting to
411 | observe that flushing with air dramatically increased the degradation rate (0.0853 day⁻¹)
412 | compared to samples stored under air with various salt but at the same temperature
413 | (0.0341 to 0.0493 day⁻¹). The increased degradation rate of β-carotene flushed with
414 | oxygen agreed with studies (Teixeira Neto, Karel, Saguy, & Mizrahi, 1981) that showed
415 | that oxygen uptake in a microcrystalline cellulose food model was closely linked to β-
416 | carotene degradation and agreed with other study on sweet potato flakes showing a
417 | direct relationship between oxygen uptake and carotenoid degradation (Walter &
418 | Purcell, 1974).~~

419

420 Both trends of the degradation rate related to water activity and oxygen and dried media
421 agreed with studies on microcrystalline food model food systems (Chou & Breene,
422 1972; Goldman et al., 1983; Texeira Neto et al., 1981) where enzymatic activity is
423 excluded and with previous studies on dehydrated sweet potato (Haralampu & Karel,
424 1983; Walter et al., 1970; 1974). Though autoxidation was mentioned in earlier studies
425 as the cause of β -carotene degradation in dried sweet potato (Haralampu & Karel, 1983;
426 Walter et al., 1970; 1974), a more recent study (Auldridge et al., 2006) outlined
427 emphasized that it had not been proved. This study therefore fills the gap by showing
428 that dehydrated sweet potato and the microcrystalline cellulose food model behaved the
429 same way toward water activity and oxygen which strongly suggests that autoxidation
430 was the mechanism responsible for β -carotene degradation.

431 ***Description of carotenoid degradation in relation with norisoprenoid*** 432 ***formation***

433
434 Whereas trans- β -carotene degraded during storage, norisoprenoids namely β -cyclocitral,
435 β -ionone, 5,6-epoxy- β -ionone, and dihydroactinidiolide (DHA) mostly formed
436 during storage. These compounds are the main aroma degradation products of β -
437 carotene according to several previous publications (Handelman et al., 1991; Mordi et
438 al., 1993; Waché et al., 2003). The greatest formation of norisoprenoids occurred at
439 lower water activities (Fig. 53) and this is consistent with the earlier findings that
440 carotenoid degradation is also greater at lower water activities (Fig. 4A Table 1B). ~~The~~
441 ~~volatile norisoprenoids content was defined as the sum of the four identified major~~
442 ~~norisoprenoids contents known to be associated with carotenoids degradation.~~ On
443 average, samples stored at $a_w = 0.13$ had a ~~β -ionone volatile~~ content of ~~1.330.47~~ $\mu\text{g.g}^{-1}$,
444 followed by those stored at $a_w = 0.30$ with ~~1.300.38~~ $\mu\text{g.g}^{-1}$; at $a_w = 0.51$ had a ~~β -~~
445 ~~ionone volatile~~ content of ~~0.96-0.30~~ $\mu\text{g.g}^{-1}$ and at $a_w = 0.76$ of ~~0.920.31~~ $\mu\text{g.g}^{-1}$ (Fig. 53).
446 There was no difference between samples stored at $a_w = 0.13$ and 0.30 for β -ionone

447 | while the other samples stored at $a_w = 0.51$ and 0.76 differed (two way-ANOVA;
448 | $p < 0.05$). ~~N~~-No difference in β -cyclocitral ~~content-ratio~~ was found between samples
449 | stored at $a_w = 0.13$ and 0.30 ; and at 0.51 and 0.76 . On the other hand, there was a
450 | significant difference in the DHA and 5,6-epoxy- β -ionone ~~concentrations-ratios~~ for the
451 | four water activities (two way-ANOVA; $p < 0.05$). This description of differences
452 | between volatile ~~relative~~ concentrations agreed with the above description of β -carotene
453 | degradation at various water activities.

454

455 | ~~Relative contents of~~ β -cyclocitral, β -ionone and 5,6-epoxy- β -ionone ~~contents~~ were the
456 | highest at 19 days and subsequently decreased whilst DHA levels reached a plateau
457 | after 27 days of storage at 40°C (Fig. 53). Epoxidation of β -ionone into 5,6-epoxy- β -
458 | ionone and thermal rearrangement of 5,6-epoxy- β -ionone into DHA has been described
459 | by several authors (Bossier, Paplorey & Belin, 1995; Mordi et al., 1993; Waché et al.,
460 | 2003). The similar profile of β -ionone and 5,6-epoxy- β -ionone throughout storage
461 | suggested that the two compounds were formed at similar times via ~~a-the~~ same process
462 | whereas the later formation of DHA suggested that the rearrangement into DHA was a
463 | slower step.

464

465 | Fragmentation of β -carotene in dehydrated sweet potato flakes under oxygen has been
466 | reported previously (Walter et al., 1970). Flushing radioactive sweet potato flakes with
467 | oxygen in the dark at 22°C and storing them for up to 89 days resulted in different
468 | radioactive fractions including gaseous products. The degradation was described as an
469 | autoxidation reaction (Walter et al., 1970) in accordance with the conclusions in this
470 | study. The higher formation of norisoprenoids occurred at the higher oxygen level when
471 | the corresponding degradation of β -carotene was also highest. At 40°C samples stored
472 | flushed with compressed air (21% oxygen) had a ~~β -ionone mean-volatile~~ content of

473 | ~~1.530.44~~ $\mu\text{g}\cdot\text{g}^{-1}$, followed by those flushed with 10% (~~1.220.36~~ $\mu\text{g}\cdot\text{g}^{-1}$); 2.5% (~~0.790.22~~
474 | $\mu\text{g}\cdot\text{g}^{-1}$) oxygen or nitrogen (~~0.40~~~~10~~ $\mu\text{g}\cdot\text{g}^{-1}$), respectively (Fig. 64). ~~Similarly There~~
475 | ~~there~~ was a significant ~~difference-increase~~ in the β -cyclocitral, ~~β -ionone~~-5,6-epoxy- β -
476 | ionone and DHA ~~concentrations-ratios~~ at the four ~~increasing~~ oxygen levels (two ways-
477 | ANOVA; $p < 0.05$).

478

479 | With no oxygen present in the storage conditions, only a small decrease or no difference
480 | in volatile compounds contents was observed. These highlighted how ~~much~~-oxygen is
481 | important in the degradation scheme of β -carotene into volatile compounds (Fig. 64).

482

483 | β -cyclocitral, β -ionone and 5,6-epoxy- β -ionone reached their highest levels after 9 days
484 | of storage and tended to subsequently decrease whilst DHA remained almost steady
485 | from 9 days (Fig. 6). This is a similar pattern to that observed at ~~-~~different water
486 | activities.

487

488 | ~~The amounts of β -ionone It was observed in the SPME-GC-MS analyses corresponded~~
489 | ~~to approximately two orders of magnitude less than the amounts of β -carotene measured~~
490 | ~~by HPLC (Figures 3&4). Calibration curves for the other volatile degradation products~~
491 | ~~were not measured so the ~~that the~~ accurate amounts present cannot be derived from the~~
492 | ~~SPME analyses. However, these compounds have relatively similar molecular weights~~
493 | ~~and polarities to the β -ionone and so the GC-MS response factors and selectivities of the~~
494 | ~~SPME fibres are expected to be reasonably similar. Accepting these assumptions,~~
495 | ~~amounts of the other degradation products are similar to those of β -ionone with~~
496 | ~~maximum amounts per compounds (β -ionone, 5,6-epoxy- β -ionone, β -cyclocitral and~~
497 | ~~DHA) being approximately 0.4-0.9 $\mu\text{g}\cdot\text{g}^{-1}$, will are much lower than the amounts of~~
498 | ~~carotenoids degraded (Figures 3&4). amounts of volatiles formed were largely lower~~

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499 ~~that the amount of carotenoids degraded. In other publication~~ (Waché et al.; (2003) also
500 found that the highest yield obtained from β -carotene catalysed by enzymes in liquid
501 medium was 8.5% in DHA, 2% in β -ionone and 1% in 5,6-epoxide- β -ionone. These
502 results suggested that though a clear relationship between amounts of norisoprenoids
503 formed and carotenoids lost was proved, free radical reaction mechanisms implied
504 further degradation leading to disappearance of norisoprenoids or alternative pathways
505 of degradation involving other reaction intermediates.

506

507

508

509 **Conclusion**

510 The Arrhenius and Eyring models correctly described the carotenoid degradation in
511 dried stored sweet potato between 10 and 40 °C; the Arrhenius model was validated
512 using a sample stored at room temperature (non-isotherm conditions). The greater β -
513 carotene degradation rate at lower water activity in particular suggested that the reaction
514 was an autoxidation. Norisoprenoid formation (β -ionone; 5,6-epoxy- β -ionone; DHA)
515 during storage of dried OFSP chips was clearly related to the corresponding degradation
516 of β -carotene. The higher, the β -carotene degradation, the higher was the norisoprenoid
517 formation. At higher water activities, β -carotene was better preserved and lower
518 relative concentrations of volatiles were recorded. A similar observation was made at
519 lower oxygen levels. One of the applications of these findings could be the development
520 of a rapid and non-destructive method using SPME-GC-MS to measure threshold
521 production of norisoprenoids that would correspond to a critical level of β -carotene
522 breakdown in dried food products and could help in predicting product shelf life. There
523 was a linear relationship between β -carotene degradation rate and water activity, and
524 between β -carotene degradation rate and oxygen concentration. In order to achieve a

525 full mathematical modelling of the degradation of carotenoids in a food product, such as
526 dried sweet potato, further work should focus on a kinetic model involving temperature,
527 water activity and oxygen together. Moreover further research is required to
528 understand the nature of the intermediate compounds between β -carotene and
529 norisoprenoids formed during storage and the kinetics of their formation and
530 degradation.

531
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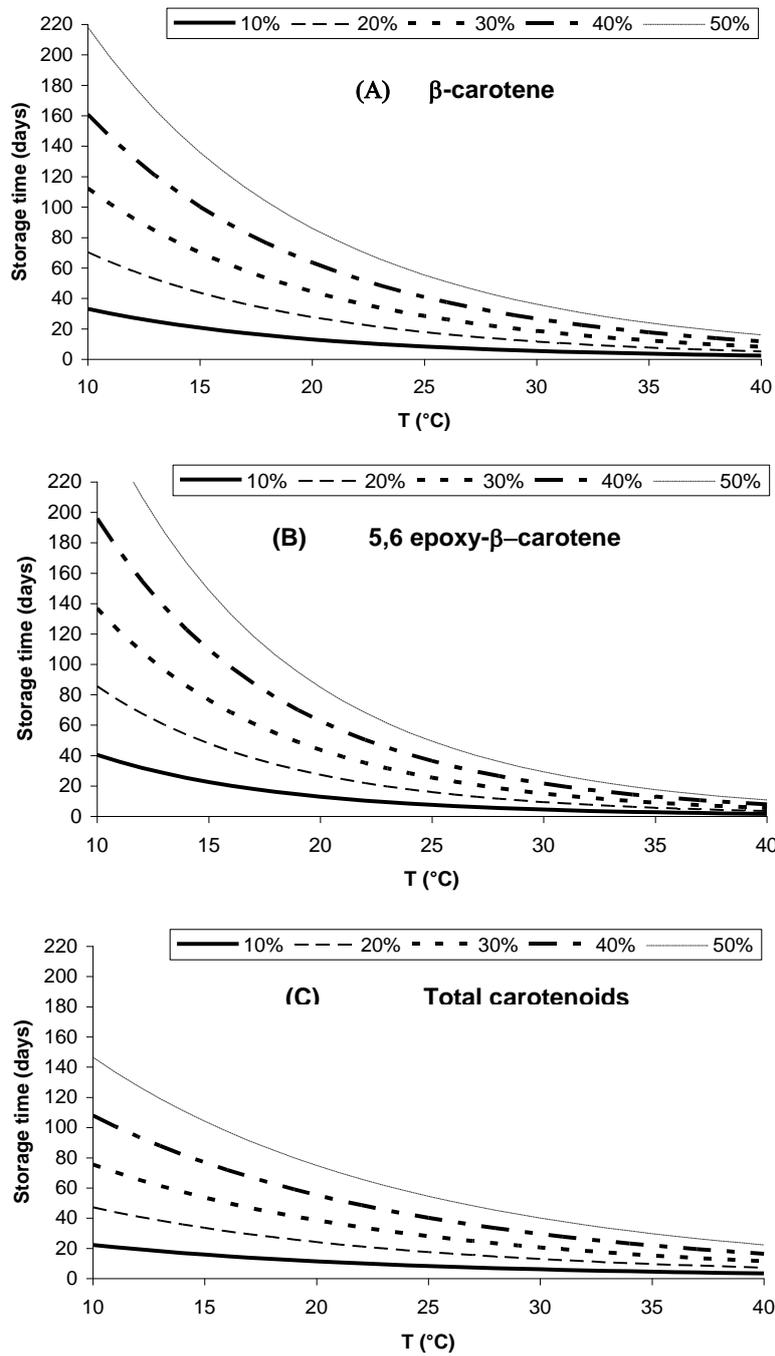


Fig. 1. Prediction curves of carotenoid (β -carotene; 5,6-epoxy- β -carotene; total-carotenoids) loss (%) with temperature and with storage time in dried sweet potato chips stored between 10-40 °C in air; a_w 0.52-0.65.

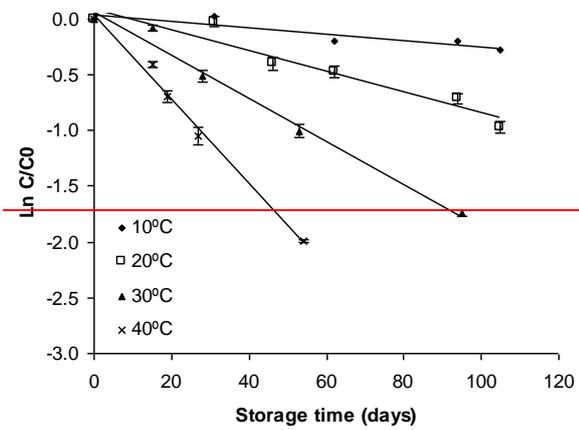


Fig. 1. Degradation kinetics of trans β carotenes in sweet potato chips stored between 10-40°C and calculated on a fresh weight basis. Error bars refer to standard deviation (n=3). Oxygen level 21% (air); a_w 0.52-0.65.

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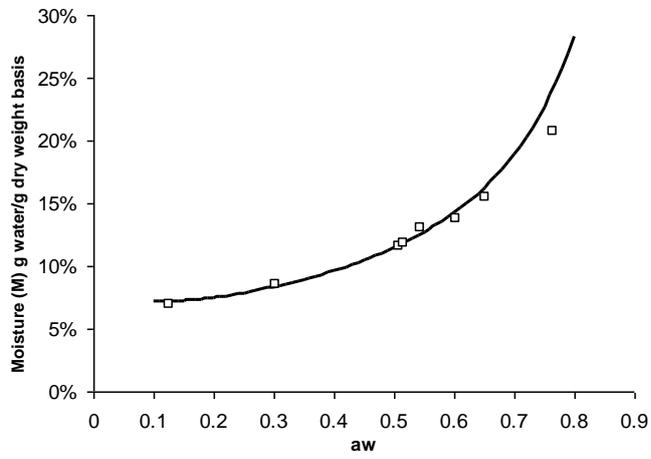
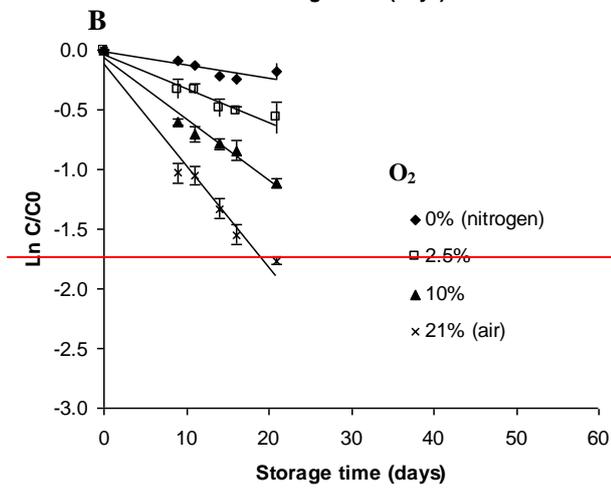
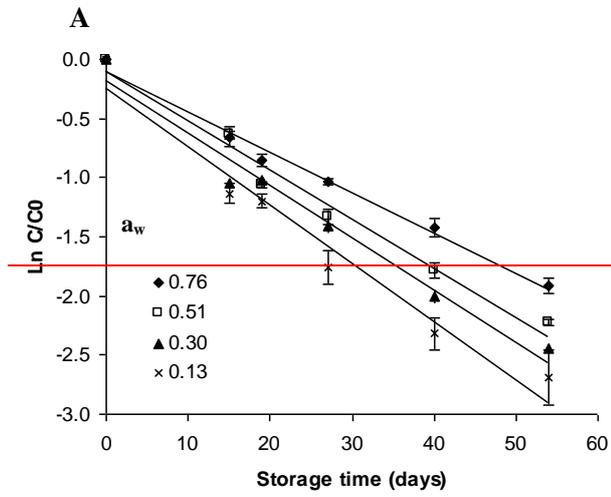
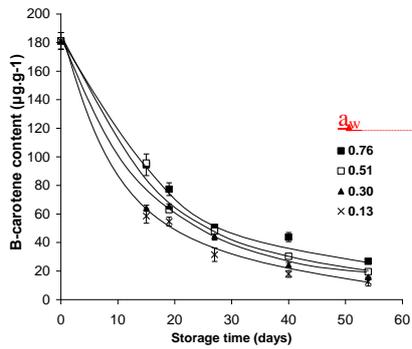


Fig. 2. Water sorption curves of dried sweet potato chips stored at 40 °C for 54 days under air. Experimental data and BET model ($C = -68.88$, $M_0 = 0.0564$).

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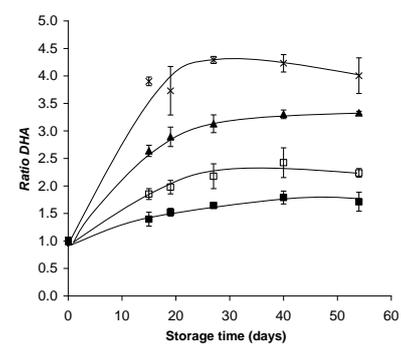
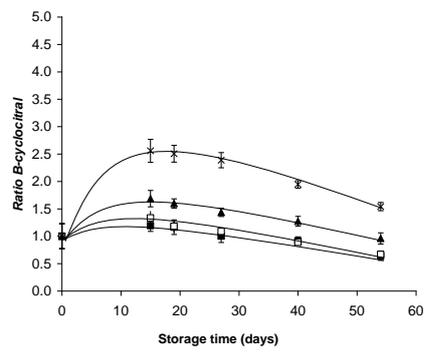
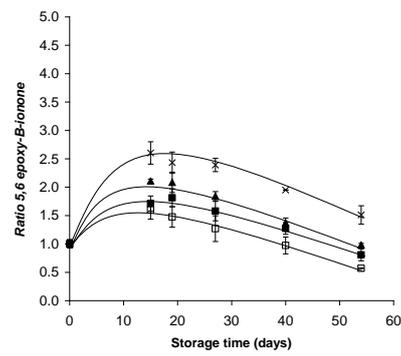
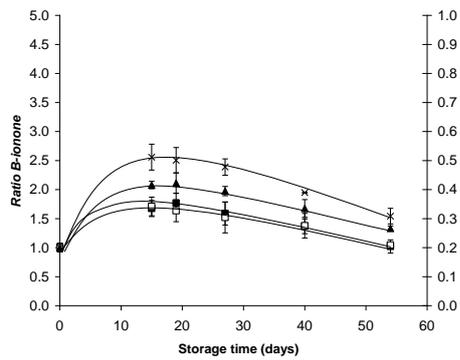


Fig. 3. Degradation kinetics of trans- β -carotenes in sweet potato chips stored at 40°C and calculated on a fresh weight basis. Where (A): influenced by water activity (a_w) in air after 54 days and (B): influenced by oxygen flushing at different concentrations after 21 days. Error bars refer to standard deviation ($n=3$).

a_w

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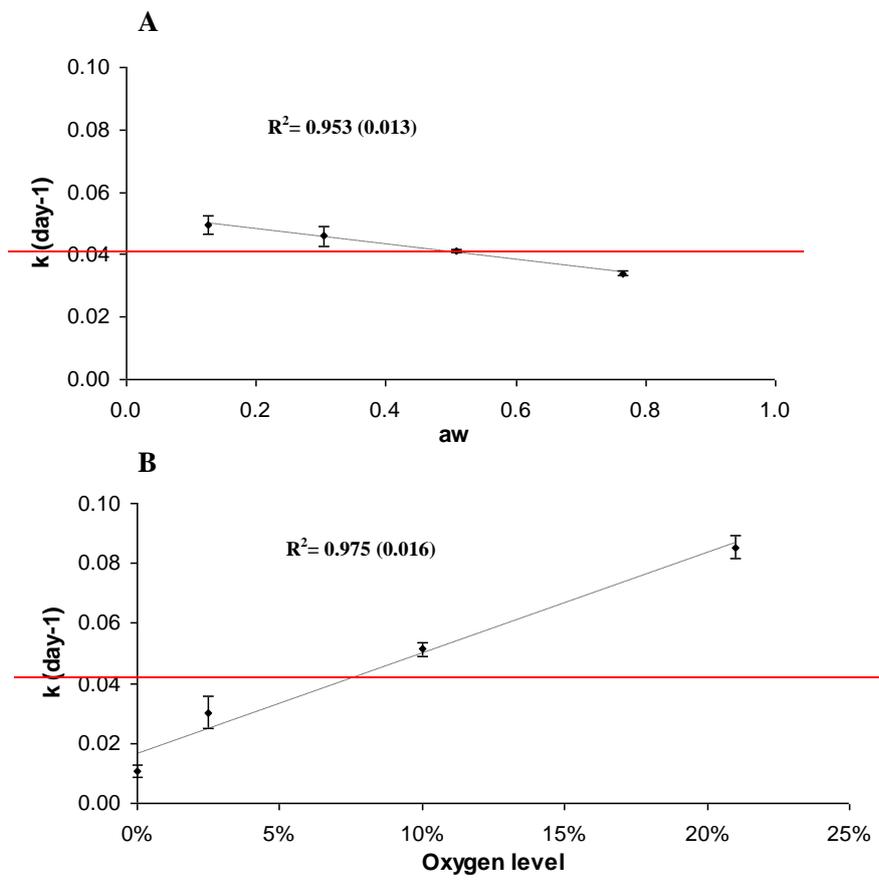


Fig. 4. Relationship between trans β -carotene rate constants (k) and water activity of dried sweet potato chips under air (A); and oxygen level (B) for the storage of sweet potato dried chips at 40°C. Error bars refer to standard deviation ($n=3$).

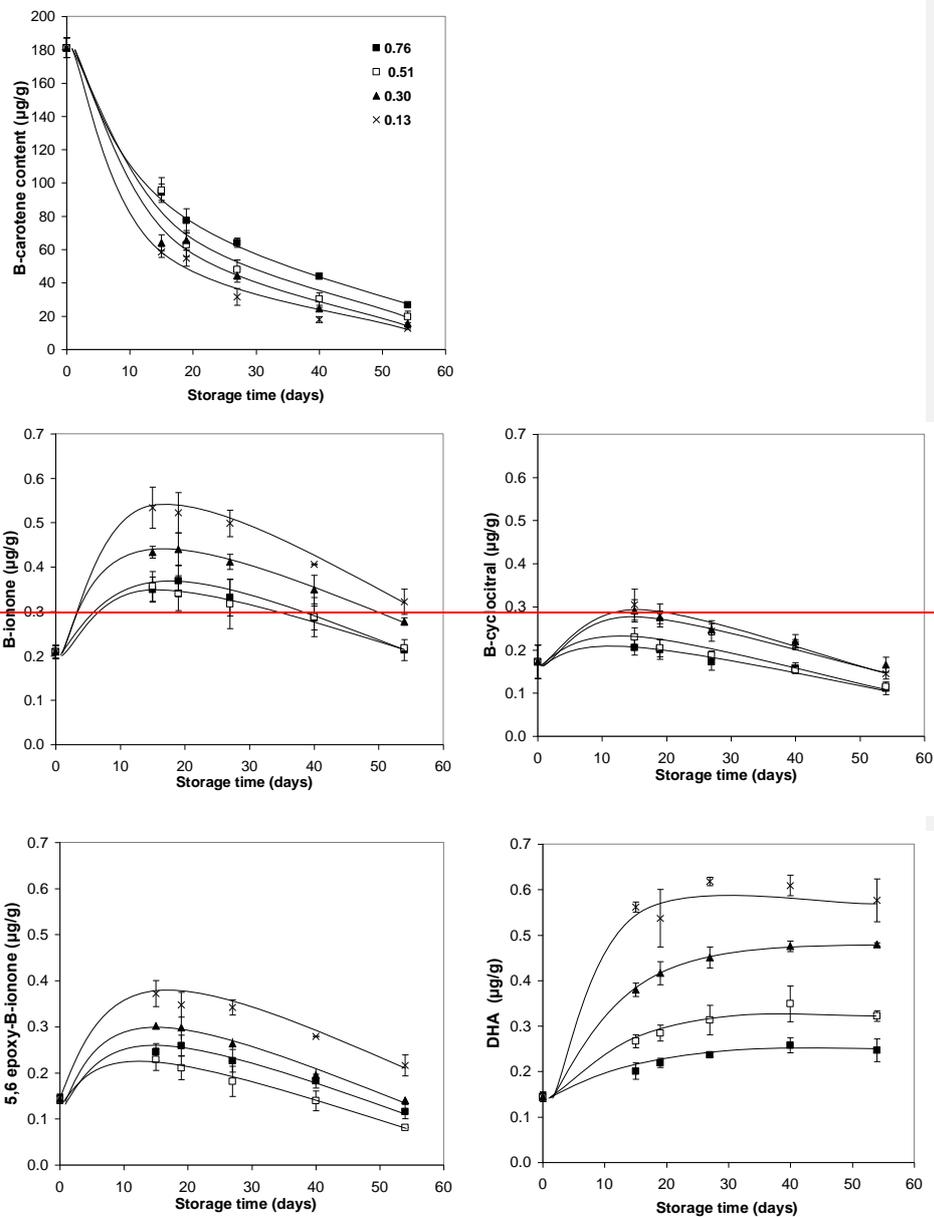
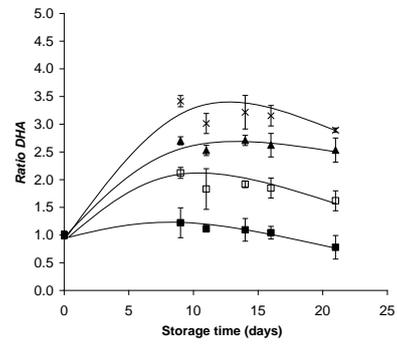
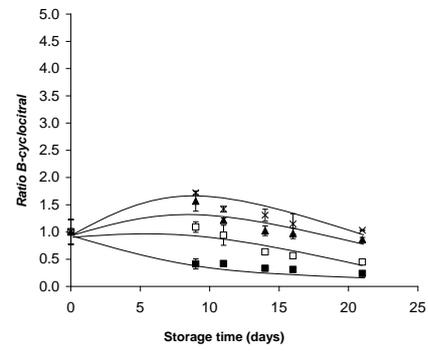
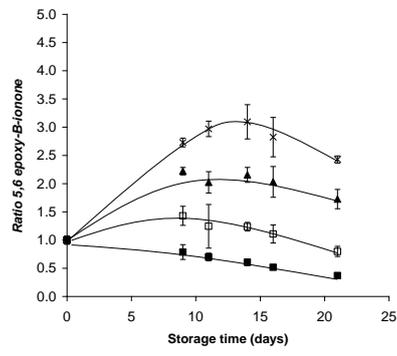
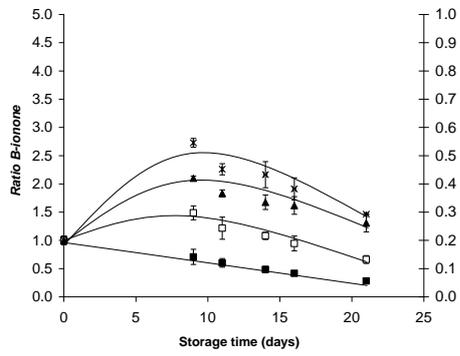
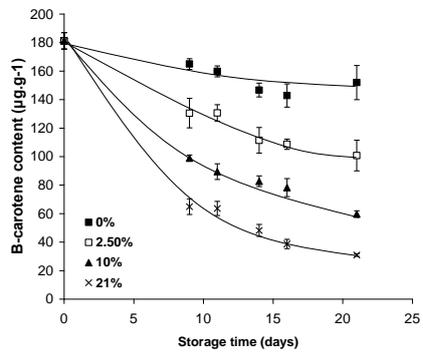


Fig. 53. Degradation of β -carotene and production of identified norispenoids during storage of dried sweet potato chips at 40 °C under air at different water activities (β -carotene and β -ionone expressed as $\mu\text{g}\cdot\text{g}^{-1}$ of dried sweet potato chips at 40 °C under air calculated on a fresh weight; volatile degradation products, as ratio: peak area at time t divided by peak area at initial time); -basis. Error bars refer to standard deviation (n=3).

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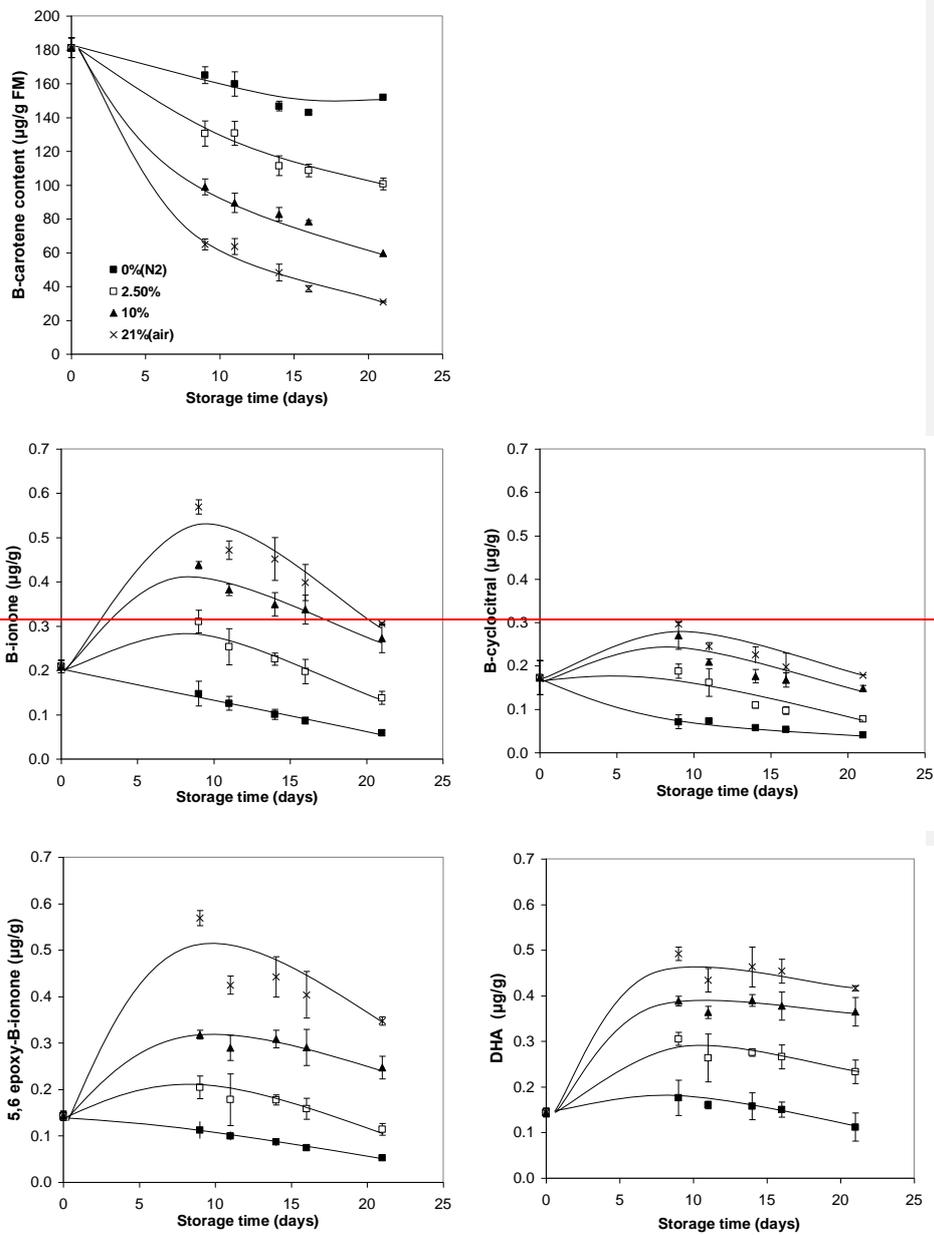


Fig. 64. Oxygen influence on trans β carotene and identified norisoprenoids in dried sweet potato chips during storage at 40 °C of dried sweet potato chips calculated on a fresh weight basis. Error bars refer to standard deviation (n=3).

Degradation of trans- β -carotene and production of identified norisoprenoids during storage of dried sweet potato chips at 40 °C at different oxygen levels (β -carotene and β -ionone expressed as $\mu\text{g}\cdot\text{g}^{-1}$ fresh weight; volatile degradation products as ratios: peak area at time t divided by peak area at initial time); Error bars refer to standard deviation (n=3).

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Table 1. Rate of degradation of carotenoids (k) expressed in day⁻¹ in dried sweet potato chips on a fresh weight basis at various temperatures ~~in dried sweet potato chips on a fresh weight basis~~. Oxygen level 21% (air) in air; a_w 0.52-0.65 (A); at various water activities at 40°C in air (B)-; at various oxygen (flushed) levels at 40°C (C). Mean of triplicate thermal treatment (standard deviation).

(A)

Temperature (°C)		10	20	30	40
<i>β</i> -carotene	k	0.0029 (0.0002)	0.0093 (0.0005)	0.0193 (0.0002)	0.0405 (0.0005)
	R ²	0.829 (0.071)	0.922 (0.031)	0.985 (0.005)	0.963 (0.007)
5,6 epoxy- <i>β</i> -carotene	k	0.0025 (0.0004)	0.0084 (0.0003)	0.0248 (0.0009)	0.0597 (0.0010)
	R ²	0.748 (0.167)	0.902 (0.045)	0.869 (0.020)	0.987 (0.009)
Total carotenoids	k	0.0047 (0.0002)	0.0088 (0.0003)	0.0179 (0.0005)	0.0298 (0.0009)
	R ²	0.864 (0.091)	0.982 (0.014)	0.987 (0.005)	0.986 (0.006)

(B)

Water activity		0.76*	0.51*	0.30*	0.13*
<i>β</i> -carotene	k	0.0341 (0.0010)	0.0413 (0.0005)	0.0460 (0.0032)	0.0493 (0.0029)
	R ²	0.984 (0.003)	0.977 (0.001)	0.974 (0.003)	0.949 (0.048)

*Coefficient of correlation between four k levels related to water activity: **0.953 (0.013)**

(C)

<u>Flushed** Oxygen level</u>		0%*	2.5%*	10%*	21%*
<i>β</i> -carotene	k	0.0107 (0.0021)	0.0303 (0.0053)	0.0514 (0.0022)	0.0853 (0.0038)
	R ²	0.675 (0.290)	0.944 (0.020)	0.968 (0.006)	0.961 (0.013)

*Coefficient of correlation between four k levels related to water activity: **0.975 (0.016)**

**90ml.min⁻¹

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Table 2. Parameters of the Arrhenius and Eyring models for the carotenoids degradation in dried sweet potato chips on a fresh weight basis between 10-40 °C. Oxygen level 21% (air); a_w 0.52-0.65. Mean of triplicate thermal treatment (standard deviation).

Carotenoid	Arrhenius model			Eyring model		
	Ln k_∞ (k_∞ days ⁻¹)	E_a (kJ.mol ⁻¹)	R^2	ΔH^* (kJ.mol ⁻¹)	ΔS^* (J.mol ⁻¹ .K ⁻¹)	R^2
β-carotene	21.5 (0.6)	64.2 (1.6)	0.990 (0.007)	61.7 (1.6)	-74.3 (5.1)	0.989 (0.008)
5,6 epoxy-β-carotene	27.5 (1.5)	78.8 (3.8)	0.997 (0.003)	76.3 (3.8)	-24.5 (12.5)	0.996 (0.003)
Total carotenoids	14.3 (0.6)	46.3 (1.5)	0.997 (0.002)	43.8 (1.5)	-134.5 (5.0)	0.997 (0.002)

Arrhenius model

$$k = k_\infty e^{-\frac{E_a}{RT}}$$

Where: T : temperature (K); k: degradation rate constant at T (day⁻¹); k_∞ : value of k at T = ∞ (day⁻¹); E_a : Activation energy (kJ.mol⁻¹); R: gas constant = 8.314 J · K⁻¹ · mol⁻¹

Eyring model

$$k = \frac{k_B}{h} T \cdot e^{-\frac{\Delta H^* - T\Delta S^*}{RT}}$$

Where: k_B : Boltzmann constant = 1.381 · 10⁻²³ J.K⁻¹; h: Planck constant = 6.626 · 10⁻³⁴ J.s; ΔH^* : activation enthalpy (kJ.mol⁻¹); ΔS^* : activation entropy (J.mol⁻¹.K⁻¹)

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Table 3. Validation of Arrhenius model for a sample of dried Ejumula sweet potato chips stored under ambient anisotherm conditions during 88 days in the UK^a and during 125 days in Uganda^b on a fresh weight basis. Oxygen level 21% (air).

	Storage time (days)	Initial	Final		Difference (%)	
		($\mu\text{g}\cdot\text{g}^{-1}$) ^c	Experimental ^c ($\mu\text{g}\cdot\text{g}^{-1}$)	Predicted by Arrhenius model ($\mu\text{g}\cdot\text{g}^{-1}$)		
Sample stored in UK ^a	88	Trans- β -carotene	181.2 (5.9)	74.6 (5.1)	72.0	3.5
		Total carotenoids	250.3 (1.1)	94.4 (1.1)	90.3	4.3
Sample stored in Uganda ^b	125	Total carotenoids	219.6 (1.6)	51.4 (1.5)	46.6	9.3

^a This present study (Calculated a_w from BET model: 0.460 (0.012) from chips dry matter (90.4 (0.3) g/100g)

^b Bechoff et al. (2009b) (a_w from BET model: a_w : 0.400 (0.255); range: [0.22-0.58] from chips dry matter 90.5 (3.5)g/100g; range [88-92.9g/100g])

^c Mean of triplicate (standard deviation).

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Effect of drying and storage on the degradation of carotenoids in orange-fleshed sweetpotato cultivars

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Complete List of Authors:	Bechoff, Aurelie; Natural Resources Institute, University of Greenwich Westby, Andrew; University of Greenwich, Natural Resources Institute Owori, Constance; National Agricultural Research Laboratories, Post Harvest Menya, Geoffrey; NARO, National Agricultural Research Laboratories Dhuique-Mayer, Claudie; CIRAD, UMR95 Dufour, Dominique; CIRAD, UMR95 Tomlins, Keith; University of Greenwich, Natural Resources Institute
Key Words:	Ipomoea batatas (L.) Lam, carotenoid, storage, drying, cultivar



Effect of drying and storage on the degradation of carotenoids in orange-fleshed sweetpotato cultivars

Running title: Carotenoid degradation in OFSP

Aurélie Bechoff^{1*}, Andrew Westby¹, Constance Owori^{2†}, Geoffrey Menya², Claudie Dhuique-Mayer³, Dominique Dufour^{3,4} and Keith Tomlins¹

¹*Natural Resources Institute, University of Greenwich, Central Avenue, Chatham Maritime, Kent ME4 4TB, United Kingdom.*

²*National Agricultural Research Laboratories (NARL), National Agricultural Research Organisation, PO Box 7065, Kampala, Uganda.* [†] posthumous.

³*Centre International de Recherche Agronomique pour le Développement (CIRAD) UMR Qualisud, Montpellier, France*

⁴*International Center for Tropical Agriculture (CIAT), Cali, Colombia*

*Correspondence to: Aurelie Bechoff, Natural Resources Institute, University of Greenwich, Central Avenue, Chatham, Kent ME4 4TB, UK. Email: a.bechoff@gre.ac.uk

Keywords: *Ipomoea batatas* (L.) Lam, carotenoid, storage, drying, cultivar.

Abstract: Ugandan orange fleshed sweetpotato cultivars were evaluated for their total carotenoid retention (as an estimate of provitamin A retention) after drying in solar dryers (tunnel dryer, plastic-covered-tents dryers with different light transmission properties) and directly in the sun. Cultivar effect was tested with six cultivars (Ejumula, Kakamega, SPK004/1, SPK004/1/1, SPK004/6 and SPK004/6/6) that differed in carotenoid content: Total carotenoid retention during drying was not dependent on the type of dryer (solar or

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3 sun). Sweetpotato cultivar, however, had a significant effect on retention in drying
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5 (p<0.05). Carotenoid loss was generally correlated with high initial moisture content and
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7 high carotenoid content in fresh sweetpotato roots. Losses of provitamin A during drying
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9 were generally low (15% or less). Dried sweetpotato chips were also evaluated for their
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11 carotenoid content after four months of storage at room temperature in Uganda. Losses of
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13 provitamin A were high (about 70%) and this was not dependent on the use of opaque or
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15 transparent packaging. Losses of carotenoids during storage were therefore considered to
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17 be more of a nutritional constraint to the utilisation of dried sweetpotato than losses
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19 occurring during drying.
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27 INTRODUCTION

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29 Uganda is the world's third largest producer of sweetpotato (*Ipomoea batatas* (L.) Lam.)
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31 with 2.6 million tonnes per annum¹. It is a staple food in many regions of the country,
32
33 particularly in the North-Eastern and Southern-Western parts. Vitamin A deficiency, a
34
35 major public health problem in developing countries² affecting 38% of the children under
36
37 the age of five years in Uganda³. Vulnerable groups susceptible to the effects of vitamin A
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39 deficiency include pregnant and lactating women and those who are at risk from
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41 immunodeficiency, such as those suffering from HIV and AIDS³. Two recent studies in
42
43 South Africa⁴ and Mozambique⁵ have demonstrated that consumption of orange fleshed
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45 sweetpotato (OFSP), rich in provitamin A, significantly increased the vitamin A status of
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47 children. White-fleshed sweetpotatoes are the most commonly cultivated in sub-Saharan
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49 Africa, while OFSP cultivars are rarer⁶ or more recently introduced. Recent work with
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51 women and children in Tanzania⁷ has however demonstrated that, for the majority of
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53 consumers, OFSP cultivars are equally as acceptable as the white fleshed ones.
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3 Substitution of white-fleshed cultivars could make a significant contribution to reducing
4 vitamin A deficiency in East Africa⁸.
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10 In addition to consumption of cooked fresh sweetpotato roots, dried sweetpotato products
11 have the potential to increase year round food availability and also provide a source of
12 income for farmers. Drying of sweetpotato is a traditional processing technique practiced
13 in North-Eastern Uganda, and it is also a means of producing a tradable product for use in
14 commercially-available composite flours or as a replacement of wheat flour in bakery
15 products.
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27 In Africa, a concern was that losses in carotenoids during the drying of OFSP were very
28 high (Bouis H, HarvestPlus pers. comm.) and that this would limit the potential of
29 processed OFSP products to contribute to alleviating vitamin A deficiency. Drying studies
30 undertaken in Kenya⁹ and Columbia¹⁰ reported high losses of between 72 and 83% for
31 sweet potato chips and between 41 and 62% in cassava respectively. Losses as low as 20%
32 have been reported in Kenya¹¹ but the drying time was very short and under shade (5
33 hours). However, in the USA¹² and France¹³ much lower losses of carotenoids during the
34 drying of sweet potato of 5-6%, and 16% to 23% respectively were reported which
35 suggests that if drying conditions can be consistently controlled that losses will not be so
36 high. The scarce literature encountered on the extent of provitamin A losses in OFSP under
37 African conditions shows a need for further research. On the other hand there is a need for
38 quantification of provitamin A after drying in order to determine the nutritional value of
39 the dried OFSP product compared to fresh roots; this would inform on whether the dried
40 product can contribute to improving nutrition and health and hence worth being promoted.
41 Moreover, a clearer understanding of the causes of provitamin A losses (type of dryer;
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3 environmental conditions; sweet potato variety etc) during drying of OFSP in developing
4 countries would contribute to the development of improved processing and/or handling
5 techniques, promotion and marketing.
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12 Exposure to light, especially sun or ultra-violet light, has been reported to induce *trans-cis*
13 photomerisation and photodestruction of carotenoids¹⁴. When exposed to sunlight
14 radiation, provitamin A is more sensitive to ultra-violet (UV) rays, especially at
15 wavelengths close to the maximum absorption of β -carotene of 450 nm; and, in general,
16 short wavelengths less than 470 nm caused the most β -carotene degradation^{15,16}. It has also
17 been reported that screening from direct sun light had an impact on total carotenoid losses
18 from mango and cowpea leaves¹⁷; total carotenoid losses were 94% and 63% in sun-
19 drying; 84% and 51% under polythene-covered sheeting (non-UV resistant) and 73% and
20 44% under visqueen-covered (UV-resistant) sheeting respectively.
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36 Degradation of provitamin A in sweet potato products during storage is important
37 determine as this would decrease the nutritional impact of the product for food security.
38 Typically at the household level dried sweetpotato is stored at ambient temperature for 4-6
39 months. A significant decrease was reported in *all-trans- β -carotene* content during storage
40 of sweetpotatoes flakes for four months in either plastic or foil packaging. Losses were
41 43% in foil packaging, 46% laminate paper and 54% in plastic bag at room temperature¹⁸.
42 Similar results were reported in Kenya⁹ where *trans- β -carotene* content fell by 50% in
43 sweetpotato chips of Kakamega and Jonathan cultivars stored at room temperature,
44 whereas levels remained steady in chips stored for three months at -20°C. However in
45 another study in Kenya¹⁹, storing dried slices from 24 sweetpotato cultivars in opaque
46 paper bags under ambient conditions for 11 months resulted in lesser total-carotenoid
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3 losses of 10%. Differences in room temperature during storage or packaging permeability
4 to oxygen may explain these disparities. This raises a need for further investigation in
5 recorded conditions.
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12 The objectives of this study were (a) to quantify the losses of total carotenoids from OFSP
13 chips dried in low-cost dryers in Uganda and subsequently stored, and (b) understand the
14 main factors that influence losses, such as type of dryer, effect of different plastic
15 coverage, sweetpotato cultivar and type of packaging of the stored product.
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24 **MATERIALS AND METHODS**

25 **Sweetpotato root samples**

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28 Sweetpotato roots were collected from three different locations: orange fleshed (Ejumula)
29 and yellow-fleshed roots (Kakamega) that had already been released to farmers were
30 harvested from a farm in Luwero District., Uganda. Four new cultivars under
31 consideration for release at the time of the study SPK004/1 (Naspot 7), SPK004/1/1
32 (Naspot 8), SPK004/6 (Naspot 9O) and SPK004/6/6 (Naspot 10O), and Ejumula and
33 Kakamega were obtained from the National Crop Resources Research Institute (NaCRRI)
34 at Namulonge and from an experimental field in Bombo, Luwero District.
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50 In all cases, mature roots were harvested after a growing season of six months. Roots were
51 spread on the floor at ambient temperature inside a room to prevent rotting and were
52 processed within 48 hrs of harvest. All cultivars (Table 1) have been previously reported
53 to be susceptible to sweetpotato weevil and moderately resistant to *Alternaria* blight and
54 Sweetpotato Virus Disease (SPVD) resulting of dual infections of Sweetpotato feathery
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3 mottle virus vectored by aphids, and Sweetpotato chlorotic stunt virus vectored by
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5 whiteflies^{20,21}.
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8 9 **Handling and processing**

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11 Sweetpotato roots were trimmed, weighed, washed, and drained in the open air for about
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13 30 minutes. Unpeeled roots were chipped using a rotary disc type chipper (Tonnet
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15 Company, Kampala, Uganda). Exposure to light was minimised by using black polythene
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17 bags to cover the samples. Chips (thickness 2 mm; width 5 mm; length 69 mm on average)
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19 were thoroughly mixed before drying.
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23 24 **Drying of sweetpotato chips**

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26 Sweetpotato chips were dried using dryers that varied in degree of exposure to direct sun
27
28 light. Different types of coverage were selected to have different screening effects on UV-
29
30 radiation for the tent dryers since it was hypothesised that UV- radiation would have a
31
32 significant effect on carotene degradation. Details of the dryers are given below:
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36 • Open air sun dryer
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38 • Tent dryer with UV resistant polythene (Lumitherm BPI-Visqueen®, Hertfordshire, UK)
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40 • Tent dryer with non-UV resistant polythene (locally bought in the market in Uganda)
- 41
42 • Tent dryer with red resistant polythene (Allplass®, Hertfordshire, UK)
- 43
44 • Tunnel dryer (UV-resistant polythene sheeting of unknown origin).
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49 The chips were spread over black plastic sheeting that absorbed the sun heat apart from the
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51 tunnel dryer where they were spread of cloth netting (mosquito mesh).
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55 Transmittance of the polythene sheetings of tent-dryers (UV resistant, non-UV resistant
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57 and red) were measured by spectrophotometer measurement²² between 200-800nm (Figure
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1). A rectangular section of 1 cm width was placed in the centre of the spectrophotometric cuvette so that beam light could perpendicularly cross the plastic sheeting²².

All dryers were positioned parallel East-West because of the incidence of the sun's radiation and prevailing wind. For the tent and tunnel dryers, air entered through the inlet placed at the base of the dryers while the moist warm air was evacuated through an outlet in the top corner.

Ambient temperature, humidity, wind speed and irradiance were recorded every 30 min when samples were on the dryers using on a Vantage Pro- meteorological station (Davis Instruments, California, USA). All samples were removed from dryers and placed under a shelter at night and when it rained. Temperature and humidity were recorded inside dryers using Tinytalk temperature/humidity sensors (RS Components Ltd, UK).

A loading density of 3.9 kg/m² was used when placing the sweetpotato chips on the dryers. The mean drying conditions were a temperature of 28.3°C with a standard deviation of 5.6°C and a mean humidity of 55.1% with a standard deviation of 23.8%. During drying, chips were weighed every four hours to estimate moisture loss. The end of drying was estimated by chips brittleness and sample weight.

Storage of the dried chips

Dried chips (> 1 kg per treatment for Ejumula and Kakamega cultivars) were collected, thoroughly mixed and split into samples of about 200g. Samples were stored under the following conditions: in a LPDE zipped polythene bags (VWR, Leicestershire, UK) protected from light; in knotted black polythene bags; in clear polythene bags placed under a window and so exposed to direct sunlight; or in sealed clear polythene bags stored inside

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3 knotted black polythene bags. Black and clear polythene bags were bought from shops in
4
5 Kawanda, Uganda. No information was available about the supplier.
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10 Samples (200g) per treatment were taken after drying and after storage for 4 months (125
11
12 days) at ambient room temperature. The temperature and humidity was recorded every four
13
14 hours using Tinytalk temperature/humidity sensors (RS Components Ltd, UK). A four
15
16 month-storage for dried chips was chosen because it is a typical duration of storage for
17
18 farmers in Uganda. Samples taken at each storage interval were kept in a freezer (-20°C)
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20 until analysed in triplicate.
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25 **Total carotenoids extraction and analysis**

26 Carotenoid analysis was undertaken in Uganda (NARL, Kawanda) and in the UK
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28 (University of Greenwich).
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35 Carotenoid Analysis in Uganda

36 Frozen fresh chips (500g) were defrosted at ambient temperature by soaking the plastic bag
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38 in tepid water. Fresh chips were then blended to a fine pulp using a Kenwood FP698 Multi
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40 Pro Food Processor.
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47 Total carotenoid extraction and analysis were carried out following existing method¹⁴.but
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49 with the following modifications: a portion of the homogeneous representative sample (1-
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51 6 g of fresh tissue or 0.5-2 g of flour) was re-hydrated for 20 minutes in 10 ml-desionised
52
53 water and was homogenised with 50mL methanol: tetrahydrofuran (THF) (1:1) using a
54
55 Polytron PT1200E (Kinematica, Switzerland) homogeniser for one minute. Total
56
57 carotenoid content was determined using a Genesys 10UV /UV-visible spectrophotometer
58
59 at 450 nm. Concentrations were determined by comparison to an external standard curve
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3 using pure β -carotene (SIGMA, UK) and an absorption coefficient of β -carotene in PE of
4
5 2592¹⁴.
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10 Carotenoid analysis in the UK

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12 Dried chips were transported to the UK by air flight and were immediately placed in a
13 freezer on arrival. Although during transport to the UK over a 24 hour period, the dried
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15 chips did not reach ambient temperature during transport over a 24 hour period outside the
16
17 freezer, but the carotenoid degradation was negligible; a maximum of carotenoid loss of
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19 0.88% was calculated for a sample stored at 25°C for 24h (Bechoff A, unpublished).
20
21 Samples were immediately placed in a freezer on arrival. Samples were stored in a freezer
22
23 (-20°C) for up to 2 months before analysis. Carotenoid content of samples stored in the
24
25 freezer was checked over a 4 month period and there was no significant decrease in total
26
27 carotenoid content ($p < 0.05$).
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36 Prior to analysis, chips were milled into flour. After careful mixing and sub-sampling
37
38 using a grain divider, a quarter of the 200g was milled in a Laboratory Mill (Models 3033 or
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40 3600, Perten Instruments, Segeltorp, Sweden). Flour obtained was packed in zipped
41
42 plastic bags from which excess air was removed manually. Flour samples were stored at
43
44 -20°C for no more than two weeks before analysis.
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51 The same extraction method was used in the UK by the same operator. Homogenisation
52
53 was carried out using an Ultra-turax IKA Janke and a Kunkel Labortechnik at 8000
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55 rpm/min. The total carotenoid content measured by Diode Array detector
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57 spectrophotometer (Hewlett Packard HP8452A).
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Dry matter determination

Samples were collected for dry matter determination, before and after drying at the same time as carotenoids analysis. Determinations were made by drying triplicate 5g samples at 105°C to constant weight (minimum 24h).

Statistical analyses

Analysis of variance (ANOVA) was carried out to determine whether there were significant differences between samples with one up to three factors; a significant difference between samples was determined using the Tukey test. Correlations were determined using Pearson tests on average losses. Inter-laboratory difference was tested by one way-ANOVA. All data were processed on SPSS 14.00 (SPSS UK Ltd. Woking Surrey) for Windows software.

RESULTS AND DISCUSSION

Provitamin A losses in solar and sun drying treatments

The inter-laboratory difference between the carotenoid extraction undertaken in the Uganda and UK laboratories (triplicate extractions of five dried samples of each of Ejumula and Kakamega roots) indicated that and there was no significant difference ($p < 0.05$).

The drying of Ejumula and Kakamega (SPK004) sweetpotato cultivars was investigated. Total carotenoid losses were on average 7.3% in Ejumula and 10.7% in Kakamega (Table 2). The mean total carotenoid losses (for the two cultivars analysed jointly) were 10.9% in one replicate study (trial 1) and 7.1% in the other replicate study (trial 2). The two trials had different weather conditions: it was wet for trial 1 and dry, sunny and windy for trial 2.

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Consequently drying times were reduced for trial 2 (7.2h on dryers in average) compared to trial 1 (11.9h in average). Shorter drying times may have resulted in lower levels of carotenoid loss. Low losses of *trans*- β -carotene were similarly reported in a recent study involving oven; solar and open sun drying of OFSP chips in Uganda²³. *Trans*- β -carotene losses were respectively 12%; 9% and 16% for the Ejumula cultivar for a drying time and temperature of 10 h at 57°C in oven drying; between 6-10 h in solar drying (45-63°C) and sun (30-52°C) respectively. In this present study, the low levels of carotenoid losses are in agreement with this more recently published finding.

Losses of total carotenoids from two cultivars of sweetpotato dried in dryers fitted with polythene sheeting were determined (Table 3). Independent of cultivar and dryer, losses of carotenoids during drying varied between 2.1% and 18.7% and dry matter contents in dried samples ranged between 88.0% and 92.4%.

Polythene sheeting presented different transmittance toward sun light. Red plastic sheeting absorbed at wavelengths between 300-600 nm which provitamin A is reportedly sensitive^{15,16} (part of UVA and visible). UV-resistant plastic reduced most UV-wavelengths: between 200-240 nm (UVB and UVC) and between 260-370 nm (UVB, UVA). Simple polythene was not wavelength selective (Figure 1).

There was no effect on the loss of total carotenoids from the use of type of dryer and different types of polythene sheeting in spite of the various wavelength selectivities ($p < 0.05$).

The greater provitamin A degrading effect of sun compared to solar drying has been previously reported by several authors^{10,17,24} and these are in contrast to the current results.

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3 Working with leafy vegetables²⁴, it has been demonstrated that solar-dried products retain
4 significantly more β -carotene than sun-dried products. However, when analysing
5 individual results from the paper, it appeared there were no significant differences between
6 solar and sun drying on five out of seven leafy vegetables²⁴.
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12 Previous reports^{23,24} have indicated that solar drying with natural air convection was faster
13 than sun drying. An explanation for the lack of differences in provitamin A retention
14 between sun and solar dryers may be that in this study, as opposed to previous reports, sun
15 drying was faster compared to solar drying. Furthermore, the lack of differences between
16 dryers may have been because the UV irradiation affected only the surface and did not
17 penetrate the inner tissue. The size and shape of chips may therefore have an impact on
18 carotenoid loss¹³. Starch being the main component of sweetpotato may have also played a
19 protective role in preventing carotenoid losses²⁵ and explain the lack of difference in-
20 between the solar dryers and also sun drying.
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36 The effect of trial and cultivar was significant (two-way ANOVA; $p < 0.05$). The effect of
37 trial (which means that not all the dryers behave the same way in the two replicates) can be
38 explained by lack of control over environmental factors in sun and solar drying. The
39 tunnel dryer had the most consistent results between the two replicates (for both cultivars),
40 probably because it provides more protection from wind and other natural elements
41 compared to the other dryers. The effect of cultivar is treated in the next part.
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51 52 53 54 **Effect of sweetpotato cultivar**

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56 Regarding total carotenoid determination in sweet potato cultivar, critics would say that it
57 may not be the best means of measuring provitamin A because it does not estimate the
58 level of *cis*-isomers of *trans*- β -carotene content and these can increase during processing,
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3 especially at temperatures greater to 35°C²⁶. Significant increase of 13- *cis*-isomer was
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5 encountered on drum dried sweet potato²⁷. However some authors^{11,13,23,24} have found that
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7 there is no increase in *cis* isomerisation of *trans*- β -carotene after solar and sun drying.
8
9 Moreover *trans*- β -carotene was greater than 90% of total carotenoids in these cultivars²³.
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11 Therefore total carotenoid determination could be an acceptable technique to estimate
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13 *trans*- β -carotene quite precisely on these Ugandan cultivars; no significant differences
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15 between total carotenoids and *trans*- β -carotene have been reported in Resisto, an orange
16
17 fleshed sweetpotato cultivar having around 90% *trans*- β -carotene²⁸.
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25 Total carotenoid losses from six OFSP cultivars dried in open sun drying at NaCRRI
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27 (Namulonge) and in Luwero District were on average 14.8% and 7.0% respectively (Table
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29 4). The weather conditions were similar (high wind; low humidity and high solar
30
31 radiation) for both trials but the drying times differed being half a day at the Namulonge
32
33 location and half day plus a night at Luwero (Table 4). Because the samples from the two
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35 locations were not dried on the same day a comparison between the provitamin A losses is
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37 therefore not possible. Losses in carotenoids per cultivar in both locations are presented in
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39 Table 5.
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46 Total carotenoid contents of fresh sweetpotato varied in the six cultivars between 78.5 and
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48 300.5 $\mu\text{g}\cdot\text{g}^{-1}$ at the NaCRRI and 41.7 and 223.1 $\mu\text{g}\cdot\text{g}^{-1}$ in Luwero District. These values are
49
50 in agreement with the recent study²³ that described *trans*- β -carotene content varying
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52 between 108.1-261.9 $\mu\text{g}\cdot\text{g}^{-1}$ on the same cultivars including Ejumula, Kakamega (SPK004),
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54 SPK004/1; SPK004/1; SPK004/6 and SPK004/6/6 more Sowola 9/94/9 also from the
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56 NaCRRI (Namulonge).
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3 There were significant differences in fresh chip carotenoid content (before drying)
4 associated with location (two-way ANOVA; $p < 0.05$). This has been reported previously²⁹.
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6 These differences were especially marked for the newly developed cultivars, SPK004/1
7 and SPK004/1/1 (Table 5). Sweetpotatoes grown on farmer's fields generally had lower
8 levels of total carotenoids than those grown at the research station.
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17 Independent of location, there was a significant influence of cultivar ($p < 0.05$) on the levels
18 of carotenoid loss. While the total carotenoid losses were not very consistent within
19 cultivars, there was a correlation between initial dry matter content and carotenoid losses
20 (Pearson coefficient $R = -0.518$; $p < 0.05$) (Figure 2). For an equivalent drying time, cultivars
21 with higher moisture contents tended to lose more carotenoids. Similar observations
22 regarding the influence of dry matter content and carotenoid losses during drying have
23 been made elsewhere: sweetpotatoes (dry matter content of 75.8 %) had greater β -carotene
24 retention compared to carrots (90.5%) respectively 4.0–5.8%, and 48.9–67.5%¹². These
25 results were further confirmed by analysis of data presented by another author¹⁹. The
26 effect of oven drying was tested on 24 white, yellow, purple and orange fleshed
27 sweetpotato cultivars¹⁹. Total carotenoid content ranged between 2 and 632 $\mu\text{g}\cdot\text{g}^{-1}$ dry
28 basis and dry matter in fresh roots between 19 and 34% respectively. Losses of total
29 carotenoids were variable among cultivars ranging between 0–80% with an average of
30 32%. Using the data presented¹⁹, a significant correlation was observed between fresh dry
31 matter and losses during drying in nine cultivars with total carotenoid content greater than
32 37 $\mu\text{g}\cdot\text{g}^{-1}$ (Pearson coefficient $R = -0.687$; $p < 0.05$). No correlation was observed on 12 other
33 cultivars with carotenoid content lower than 37 $\mu\text{g}\cdot\text{g}^{-1}$ ¹⁹.
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3 The cultivars used in this present study demonstrated a positive correlation between initial
4 total carotenoid content (in fresh chips) and carotenoid losses (Pearson coefficient
5 $R=0.589$; $p<0.05$) (Figure 3). Cultivars with higher initial carotenoid content tended to lose
6 more carotenoids during drying. The same trend was observed by analysis of other
7 reported data¹⁹ on 11 cultivars with total carotenoid content greater than $30 \mu\text{g.g}^{-1}$ (Pearson
8 coefficient $R=0.580$; $p<0.05$). As well as for the correlation between fresh dry matter and
9 provitamin A losses, the correlation between initial carotenoid content and loss was
10 stronger on cultivars with carotenoid contents greater than $37 \mu\text{g.g}^{-1}$ (Pearson coefficient
11 $R=0.713$; $p<0.05$); no correlation was observed on cultivars with lower carotenoid
12 content¹⁹.

30 **Effect of storage on provitamin A retention**

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32 Because the levels of loss of carotenes associated with drying were much less than
33 anticipated, changes during storage at ambient temperatures were investigated (Table 6). In
34 all cases the levels of losses of carotenoids during storage were high under these conditions
35 when compared to that lost during drying, averaging 68.2% with a range of 63.7 to 76.6%.
36 The combined levels of loss from drying and storage (overall loss) ranged from 75.8 to
37 85.4% over four months of storage (Table 6).

38
39 Oxygen (in the air), temperature and relative humidity have been reported to be the main
40 causes of carotenoid degradation in low moisture systems during storage and relationships
41 between these factors have been reported³⁰. The temperature and humidity in the room
42 where the samples were stored was generally very constant and consistent during the
43 periods of storage; the mean temperature and humidity were 23.1°C and 70.5%
44 respectively over an 8 month-period with minimum-maximum variations between 19.1-
45 27.7°C and 42.8-86.5% (Figure 4). The chips were stored in low density polythene (LDPE)

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4 bags because this was the most common way of storing them in Uganda and other
5
6 countries in Southern Africa. This type of packaging however did not offer a significant
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8 barrier to oxygen. In ambient temperature and relative humidity conditions during the
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10 period storage oxygen was proved to be the main factor of carotenoid degradation³⁰.
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12 Packaging permeability to oxygen (in air) was demonstrated to have a critical influence on
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14 β -carotene retention in sweet potato flakes during storage³¹. It was reported that
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16 degradation of the carotenoids was significantly reduced during storage at 23°C for 210
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18 days if oxygen was excluded. In our study, while the levels of losses in carotenoids were
19
20 substantial, there were no differences in losses when the dried sweetpotato chips were
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22 stored in sealed clear bags, sealed clear bags in black bags or a knotted black bags (two-
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24 way ANOVA; $p < 0.05$). This suggests that the packaging permeability to oxygen might
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26 have been a significant factor in causing these losses. Moreover this suggests that when
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28 stored at room temperature, restricting exposure to sun light did not influence the level of
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30 loss. The lack of effect of storage in the absence of light or dark has also been reported on
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32 the carotenoid loss of freeze-dried orange-peel, carrots and sweetpotato samples³². As
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34 compared with the effect of oxidation (packaged under nitrogen or air); the effect of
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36 photoisomerisation (can or clear bottle) was proved to be minor on mango puree³³.
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46 Effects of temperature³² and relative humidity that is related to water activity³⁴ on
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48 carotenoid degradation in dried sweet potato have been described. At higher temperatures
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50 carotenoids degrade more rapidly^{26,32}. Water activity also had an influence on carotenoid
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52 retention during storage³⁴. In our study, for both cultivars analysed collectively, losses
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54 were significantly higher where stored in zipped PE compared to all other packaging even
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56 though the moisture content was slightly lower in the zipped PE bag (90% compared to
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58 88% in other types of packaging) and zipped PE bags were protected from light. A
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3 possible explanation for these observations might be that water has a protective effect on
4 the carotenoids and consequently a drier sample would result in higher carotenoid loss.
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6 Similar results have been reported on low moisture systems of microcrystalline cellulose
7 containing β -carotene³⁰; and research on dehydrated sweet potato have also proved that β -
8 carotene degradation rate was faster at lower water activity³⁴. Moreover recent but yet
9 unpublished study of temperature related to water activity and oxygen on dried sweet
10 potato was in line with these results (Bechoff A, unpublished).
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22 In general levels of loss during storage in this study agreed with levels of loss encountered
23 in literature which were around 50% or more after 4 months storage at ambient
24 temperature/humidity and air on dried sweet potato chips⁹ or flakes^{18,31}
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34 **Effect of sweetpotato cultivar on provitamin A retention in storage**

35 The levels of total carotenoids in chips made from Ejumula, Kakamega, SPK004/1,
36 SPK004/1/1, SPK004/6 and SPK004/6/6 cultivars grown at NaCRRI, Namulonge after
37 drying and after storage for four months is illustrated in table 7.
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46 Although there was some variability between cultivars, levels of losses were high in all
47 cultivars and averaged 70.4% after four months storage at room temperature (overall losses
48 were 74.7%). Sample moisture contents increased during storage as previously observed
49 on Ejumula and Kakamega in various packaging types (Table 6). There was no correlation
50 between dry matter and total carotenoid content in fresh sweetpotatoes and losses in
51 storage ($p>0.05$).
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3 Levels of loss after 4 months from chips of the six cultivars (70.4%) are consistent with
4 levels of loss observed previously in various packaging after 4 months (68.2%).
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8 Considerable losses therefore occur in storage leading to a poor quality product.
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10 11 12 13 14 **Estimation of vitamin A activity in OFSP chips**

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16 Vitamin A activities of various OFSP chips were estimated and summarised in Table 8.

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18 Chips made from most of the cultivars had a high vitamin A activity after drying (>4,000
19 RE.kg⁻¹)³⁵ with an average of about 8,428 RE kg⁻¹³⁶, but after four months of storage none
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23 of the chips (regardless of cultivar) had vitamin A activities greater than 4,000 RE.kg⁻¹; the
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26 average was 2,281 RE kg⁻¹.
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31 It is speculated that further losses occurring during the preparation of OFSP flour into
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33 finished product (for example, atapa (traditional Ugandan porridge cassava/sweetpotato),
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35 mandazi (traditional doughnut), or bread) would represent an estimated loss of a further
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37 50% on a dry basis^{9,19}. Nonetheless, most of the cultivars immediately after drying have
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39 the potential to provide a major part of FAO/WHO recommended daily requirements of
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41 children³⁴ assuming that 100 g of finished product was consumed. However, after storage
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43 for four months, none of the dried samples would provide a significant source of vitamin A
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45 to the diet. In addition to the loss of provitamin A activity, other constraints in the quality
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47 of the dried product need to be taken into account, such as, rancidity, browning, and
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49 presence of insects. From a nutritional and quality perspective, it would not be
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51 recommended to store dried OFSP under these conditions for more than two months.
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58 59 **CONCLUSION** 60

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3 A major conclusion from the work on drying and storage of sweetpotato in Eastern Africa
4 was that sun drying is a relatively less important cause of loss of total carotenoid content
5 than anticipated. An important finding was that the low-cost, controlled direct sun-drying
6 (covering samples at night or in case of rain; and checking carefully the end of drying) was
7 demonstrated to be as efficient as solar drying in terms of provitamin A retention. Mean
8 losses were as low as 7.3% in Ejumula and 10.7% in Kakamega cultivars.
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11 Sweetpotato cultivar had a significant effect on carotenoid losses in drying ($p < 0.05$). An
12 interesting fact is that carotenoid loss appeared to be related to the initial carotenoid and
13 moisture content. Cultivars with higher initial moisture and carotenoid contents of
14 cultivars occurred to be related to higher levels of carotene loss in drying.
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22 Storage of OFSP chips had a far more significant effect on carotenoid content than drying;
23 Losses over 70% were obtained after room storage for 4 months. Moreover levels of loss
24 were consistent using various sweetpotato cultivars.
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32 OFSP chips contained a significant amount of provitamin A immediately after drying and
33 this could make a significant contribution to the diet. However, low-cost means of
34 reducing provitamin A losses during storage (eg. pre-treatments such as salting and
35 blanching) or limited shelf life are needed in order to increase the usefulness of drying as a
36 processing technique in rural areas of Southern Africa. Losses of carotenoids during
37 storage were therefore considered to be more of a constraint to the use of dried sweetpotato
38 than losses during drying.
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41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 **ACKNOWLEDGEMENTS**

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4 with orange fleshed sweetpotatoes in Uganda and Mozambique” project. The views
5 expressed are however those of the authors.
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Table 1. Source, flesh colour and yield of selected orange fleshed and yellow fleshed sweetpotato cultivars.

Cultivar	Original source	Flesh colour	Typical reported fresh root yield (tonnes per ha)
Ejumula	Eastern Uganda	Deep orange ²⁰	36.6 ²⁰
Kakamega (SPK004)	Western Kenya	Yellow/light orange ²⁰	31 ²⁰
SPK004/1		Yellow/light orange ²¹	7.4-59.7 ²¹
SPK004/1/1	Bred from SPK004-Uganda	Yellow/light orange ²¹	7.0-43.2 ²¹
SPK004/6		Orange ²¹	4.6-50.4 ²¹
SPK004/6/6		Orange ²¹	7.9-38.3 ²¹

Table 2. Total carotenoid loss (dry weight basis) related to processing trial, drying time and weather conditions for two cultivars combined (Ejumula and Kakamega) of sweetpotato dried on five types of dryers in Uganda

Trial	Wind Speed* (m/s)	T* (°C)	RH* (%)	Solar Radiation* (W/m ²)	Drying on dryers time* (h)	Total drying time** (h)	Total carotenoid loss (%)		
							Ejumula	Kakamega	Average-trials
1	1.8(1.4)	27.3(2.6)	62.4(16.4)	496.0(230.3)	11.9(1.8)	59.5(11.2)	8.4(3.3)	13.4(4.4)	10.9 (4.7)
2	4.1(2.1)	31.2(2.6)	32.3(8.7)	781.9(183.2)	7.2(1.9)	16.9(10.0)	6.3(1.9)	8.0(3.0)	7.1 (2.7)
Average-varieties							7.3 (2.8)	10.7(4.8)	

Mean (standard deviation)

T=Temperature; RH= Relative Humidity.

**These parameters were measured during the total period of drying on dryers (day time). One measurement was taken each half hour.*

***Including time under shelter at night and when raining.*

Table 3. Losses in total carotenoids during solar-drying of Ejumula and Kakamega cultivars of sweetpotato dried in various solar and sun dryers under wet and dry weather conditions

Cultivar	Treatment	Dry matter content* (%)	Drying time** (h)	Total carotenoid content*** ($\mu\text{g}\cdot\text{g}^{-1}$ db)	Loss (%)
Trial 1: Wet weather					
Ejumula	Fresh	31.4	0.0	250.6(9.1)	-
	Red polythene	90.0	14.0	240.4(2.9)	4.1
	Local polythene	92.4	13.2	227.1(1.7)	9.4
	UV resistant polythene	90.9	12.6	230.1(3.0)	8.2
	Tunnel dryer	90.0	10.4	232.8(4.2)	7.1
	Sun drying	89.7	9.7	217.8(6.2)	13.1
Kakamega	Fresh	38.1	0.0	75.2(4.4)	-
	Red polythene	88.0	14.1	62.1(0.9)	17.4
	Local polythene	90.0	13.2	65.4(3.3)	13.0
	UV resistant polythene	89.3	12.6	61.1(0.4)	18.7
	Tunnel dryer	91.5	10.4	67.4(4.1)	10.4
	Sun drying	89.7	9.3	69.8(0.2)	7.2
Trial 2: Dry weather					
Ejumula	Fresh	30.9	0.0	306.3(2.4)	-
	Red polythene	89.7	9.3	291.7(5.8)	4.8
	Local polythene	90.9	7.5	290.9(6.1)	5.0
	UV resistant polythene	89.7	7.3	283.6(5.1)	7.8
	Tunnel dryer	91.0	5.7	279.6(5.0)	8.7
	Sun drying	91.1	4.8	291.2(6.6)	4.9
Kakamega	Fresh	33.5	0.0	100.3(1.8)	-
	Red polythene	89.6	10.2	91.7(1.7)	8.6
	Local polythene	90.1	8.6	91.2(1.4)	9.1
	UV resistant polythene	90.6	8.0	98.1(3.4)	2.1
	Tunnel dryer	89.5	5.7	91.0(0.3)	10.7
	Sun drying	91.1	4.9	90.6(6.6)	9.6

*Mean; standard deviation is not given because <1% on triplicate extractions

Exposure in dryers *Mean (standard deviation) on triplicate extractions

Table 4. Total carotenoid losses related to drying time and weather conditions in open air drying of six OFSP cultivars in Uganda.

Trial	Wind Speed* (m/s)	T* (°C)	RH* (%)	Solar Radiation* (W/m ²)	Drying time on dryers (h)	Total drying time** (h)	Total carotenoid loss (%)
Namulonge	5.4 (2.0)	32.0(1.8)	31.7(12.6)	845.2(137.4)	4.9(0.2)	4.9 (0.2)	14.8(4.2)
Luwero	5.1(2.4)	32.0(1.6)	34.1(8.9)	752.3(277.9)	5.6(0.0)	24.5(0.0)	7.0(2.5)
Average							13.6 (4.7)

Mean (standard deviation)

T=Temperature; RH= Relative Humidity.

*These parameters were measured during the total drying time on dryers. One measurement was taken each half hour.

**Including time under shelter at night and when raining.

Table 5. Loss in carotenoids after open air sun-drying of different sweetpotato cultivars

Trial	Dry matter content in fresh roots (%)	Total carotenoid content ($\mu\text{g}\cdot\text{g}^{-1}$ db) before drying	Drying duration (h)	Dry matter content after drying (%)	Total carotenoid content ($\mu\text{g}\cdot\text{g}^{-1}$ db) after drying	Loss (%)
Namulonge						
Ejumula	31.5	300.5(5.1)	4.7	92.9	236.3(1.7)	21.4
Kakamega	32.1	107.9(1.2)	4.7	92.3	96.5(2.9)	10.6
SPK004/1	32.9	96.2(0.4)	4.7	92.6	84.4(2.2)	12.2
SPK004/1/1	30.3	78.5(6.1)	5.0	92.3	69.4(1.4)	11.6
SPK004/6	28.4	188.5(7.6)	5.2	92.4	160.0(2.3)	15.1
SPK004/6/6	28.8	172.9(1.9)	5.1	92.8	142.0(1.2)	17.9
Luwero						
Ejumula	32.4	223.1(4.3)	5.7	91.3	194.5(5.6)	12.8
Kakamega	32.7	94.7(3.3)	5.7	91.6	101.2(3.5)	-6.8^j
SPK004/1	31.7	47.9(1.5)	5.6	91.6	41.7(3.4)	13.0
SPK004/1/1	33.1	41.7(4.1)	5.6	91.7	42.2(1.0)	-1.1^j
SPK004/6	33.8	159.6(11.7)	5.6	91.6	152.7(2.9)	4.3
SPK004/6/6	22.8	168.1(2.3)	5.6	92.0	139.1(5.0)	17.2

*Mean; standard deviation is not given because <1% on triplicate extractions

Exposure in dryers *Mean (standard deviation) on triplicate extractions

^jNegative values do not differ significantly from total carotenoid content in fresh chips

Table 6. Losses of total carotenoids during the storage of OFSP dried chips at ambient temperature in polyethylene (PE) bags for 4 months (125 days).

Cultivar	Treatment	Dry matter content* (%)	Total carotenoid content ** ($\mu\text{g}\cdot\text{g}^{-1}$ db)	Loss in storage (%)	Overall loss (%)
Ejumula	Before storage	91.3	199.8(5.4)		
	Zippered PE bag	90.4	46.7(4.5)a	76.6	85.4
	Sealed clear PE bag in black PE bag	88.4	64.2(1.0)b	67.9	79.9
	Black PE bag with simple knot	88.4	58.2(4.6)b	70.9	81.8
	Sealed clear PE bag	88.1	69.5(5.7)b	65.2	78.3
Kakamega	Before storage	91.3	52.4(3.6)a		
	Zippered PE bag	90.3	12.0(0.8)b	77.2	84.8
	Sealed clear PE bag in black PE bag	88.8	18.0(0.5)b	65.7	77.2
	Black PE bag with simple knot	88.7	19.0(1.0)b	63.7	75.8
	Sealed clear PE bag	88.0	18.5(1.0)b	64.7	76.5

*Mean; standard deviation is not given because <1% on triplicate extraction

**Mean (standard deviation) on triplicate extractions. Values in the same column (same cultivar) followed with different letters are significantly different; ANOVA two ways Tukey test.

Table 7. Levels of total carotenoids ($\mu\text{g/g}$ on a dry weight basis) in dried sweetpotato chips of six cultivars grown at Namulonge stored at ambient temperature for four months (125 days) in locally purchased black polythene bags.

Cultivar	Dry matter content immediately after drying* (%)	Dry matter content after 4 month-storage* (%)	Total carotenoid content after 4 month-storage** ($\mu\text{g}\cdot\text{g}^{-1}\text{db}$)	Loss after 4 month-storage (%)
Ejumula	92.9	88.0	58.5(1.7)	75.3
Kakamega	92.3	87.9	29.2(0.7)	69.8
SPK004/1	92.6	88.1	29.1(0.7)	65.5
SPK004/1/1	92.3	88.0	21.5(1.0)	68.9
SPK004/6	92.4	87.8	48.4(1.0)	69.7
SPK004/6/6	92.8	88.1	38.0(0.6)	73.2

*Mean; standard deviation is not given because $<1\%$ on triplicate extraction.

**Mean (standard deviation) on triplicate extractions.

Table 8. Estimation of vitamin A activity in flours made from OFSP cultivars after drying and storage for four months at room temperature (RE. kg⁻¹ product on a fresh weight basis).

Cultivar	Estimated vitamin A activity RE. kg ⁻¹ product on a fresh weight basis*	
	Freshly dried chips	Stored chips (4 month)
Ejumula	15,202	3,561
Kakamega	6,163	1,774
SPK004/1	5,413	1,777
SPK004/1/1	4,430	1,313
SPK004/6	10,235	2,942
SPK004/6/6	9,124	2,317

*1 RE=13 µg of all-trans-β-carotene³⁶. All-trans-β-carotene content is estimated to 90% mean total carotenoids content^{14,23}. RE= Retinol Equivalents. Recommended daily requirements (RDA) of 2-6 year-olds is 400 RE.³⁵

For Peer Review

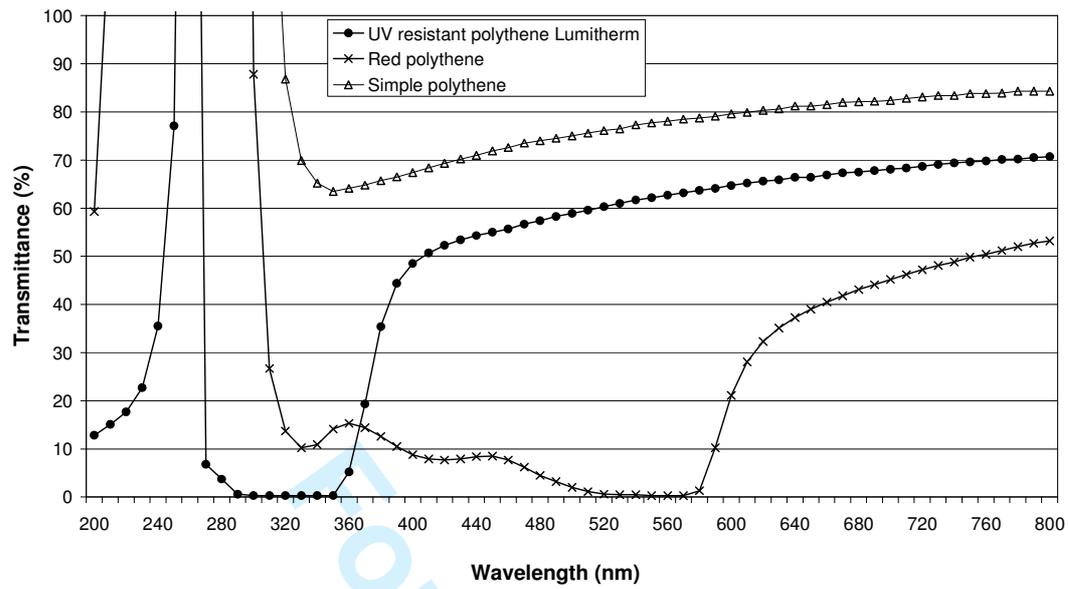


Figure 1. UV/visible spectrum of polythene sheeting used in drying studies.

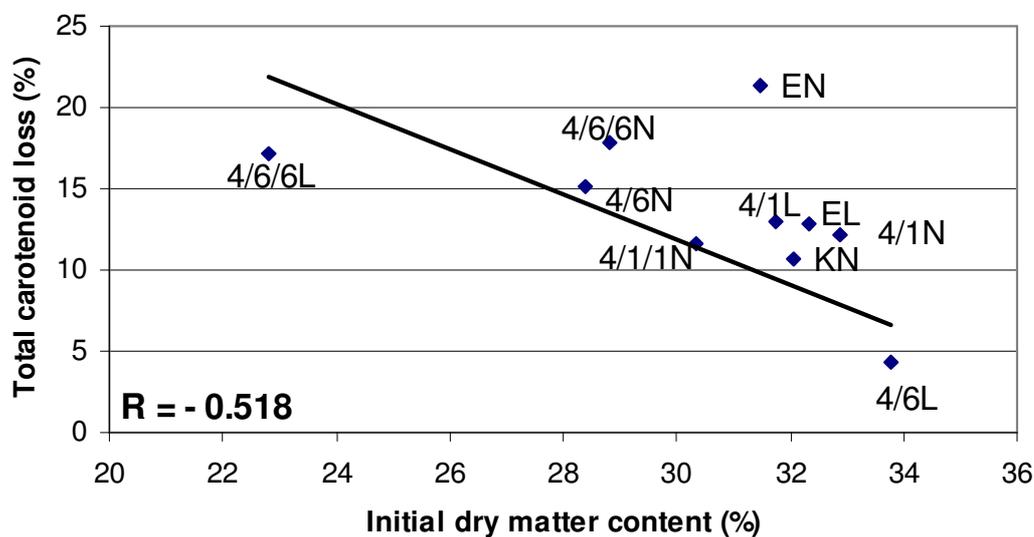


Figure 2. Relationship between initial dry matter content and total carotenoid loss in drying for six sweetpotato cultivars obtained from Namulonge and Luwero.

One point represents the average result per sample (12). Each sample was analysed in triplicate.

The abbreviations are: E, Ejumula; K, Kakamega; 4/1, SPK004/1; 4/1/1, SPK004/1/1; 4/6, SPK004/6; 4/6/6, SPK004/6/6; N, Namulonge; L, Luwero.

Cultivars KL and 4/1/1L with negative loss are not represented on the figure but taken into account in the calculation of the coefficient of correlation (R).

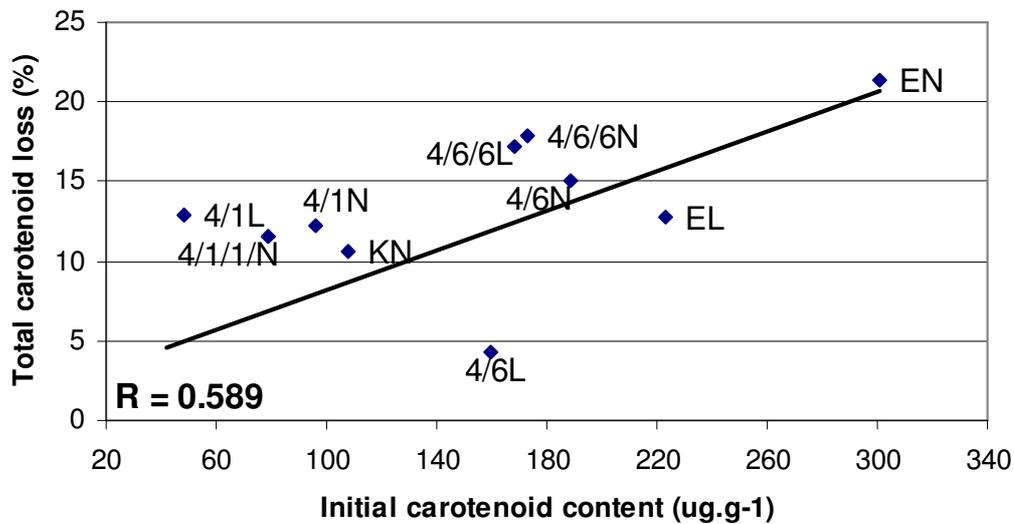


Figure 3. Relationship between initial total carotenoid content (dry basis) and total carotenoid loss in drying for the sweetpotato cultivars from harvested from Namulonge and Luwero. One point represents the average result per sample (12). Each sample was analysed in triplicate.

One point represents the average result per sample (12). Each sample was analysed in triplicate.

The abbreviations used are: E, Ejumula; K, Kakamega; 4/1, SPK004/1; 4/1/1, SPK004/1/1; 4/6, SPK004/6; 4/6/6/, SPK004/6/6; N, Namulonge; L, Luwero.

Cultivars KL and 4/1/1L with negative loss are not represented on the figure but taken into account in the calculation of the coefficient of correlation (R).

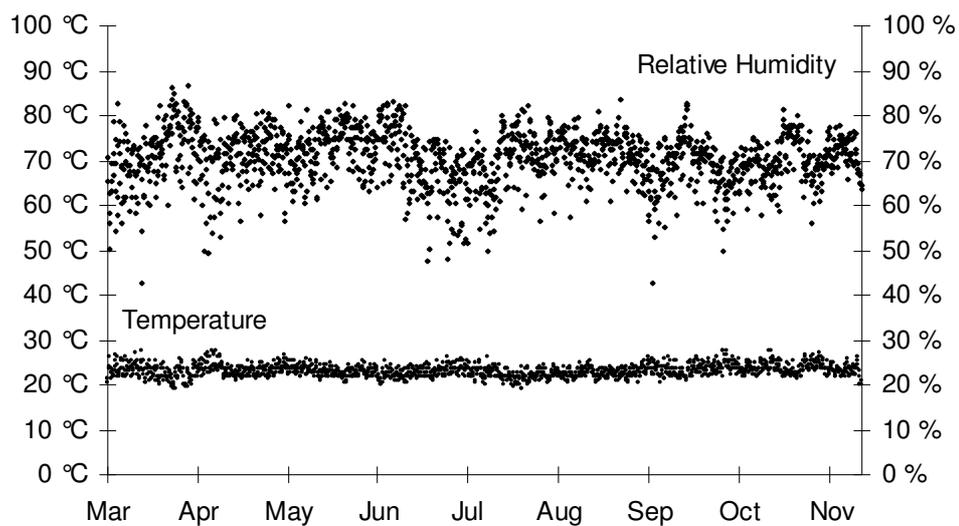


Figure 4. Variation in temperature and relative humidity with storage for sweet potato chips stored over 8 months (record was every 4h using Tinytalk temperature/humidity sensors)